

# A $\phi$ -Competitive Algorithm for Scheduling Packets with Deadlines

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Joint work with Marek Chrobak (UC Riverside), Łukasz Jeż (Wrocław),  
and Jiří Sgall (Charles University, Prague)

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# Outline

- Introduction to competitive analysis
- Model & result
- Algorithm
- Analysis techniques
- Further research directions

# Introduction to competitive analysis

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These are worst-case scenarios

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Offline computation



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- Decisions influence the *objective function* (*rewards served orders*)



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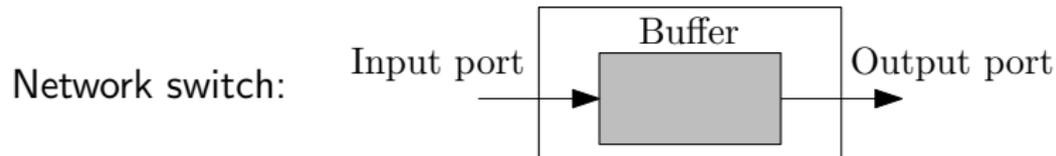
- Game: the algorithm vs. an adversary



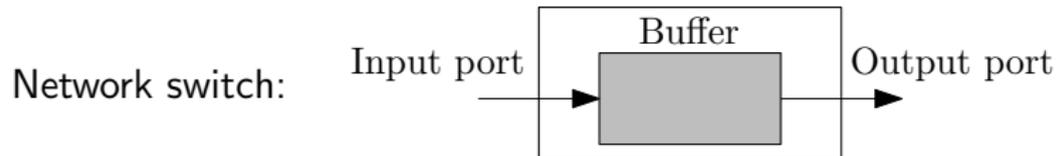
- ▶ The adversary decides on further input to maximize  $OPT/ALG$

## Model & Result

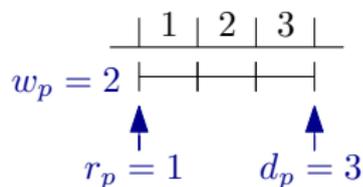
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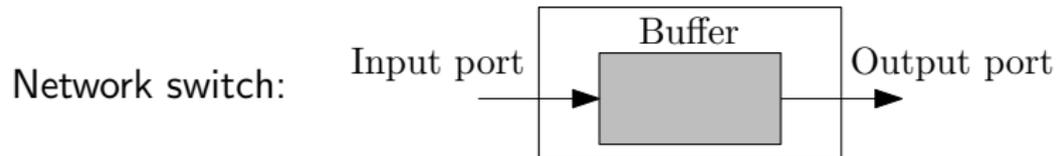
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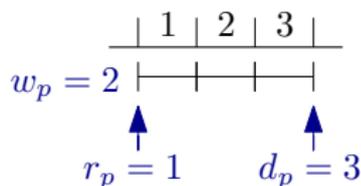
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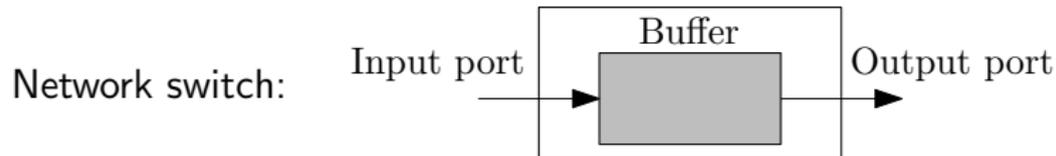
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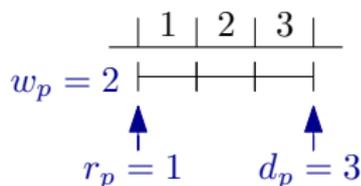
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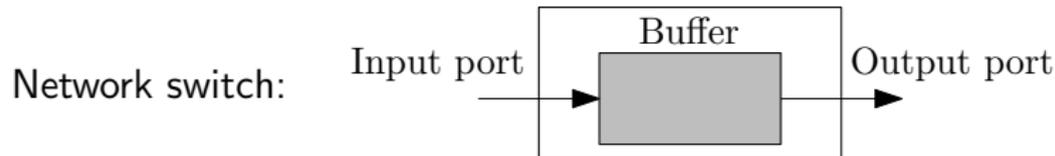
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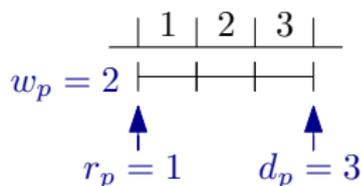
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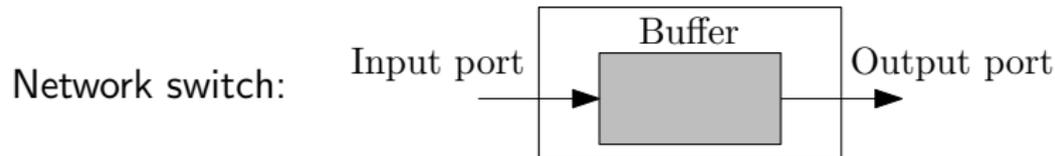
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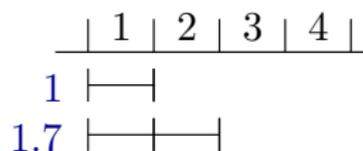
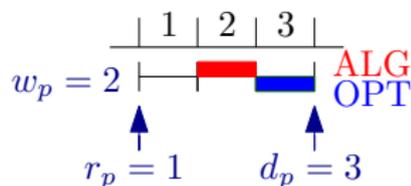
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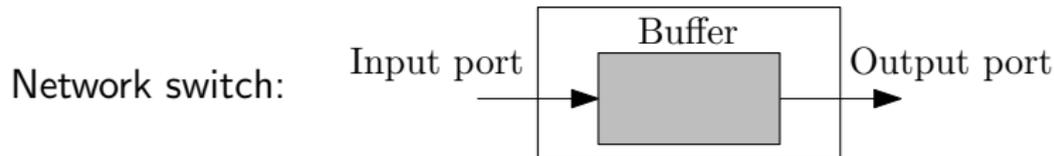
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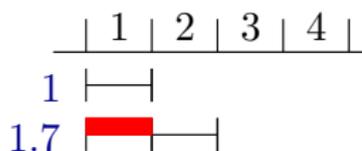
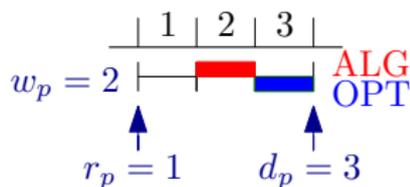
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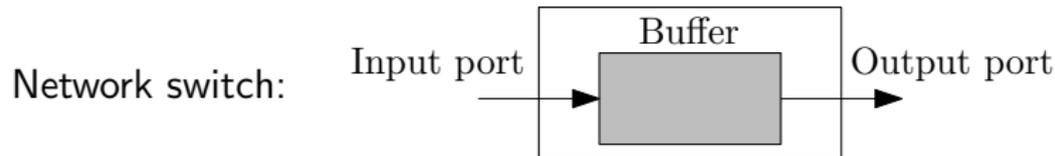
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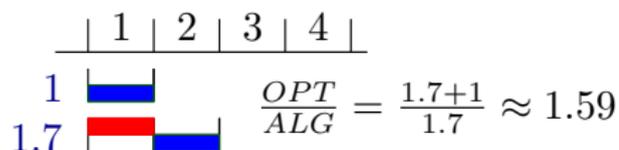
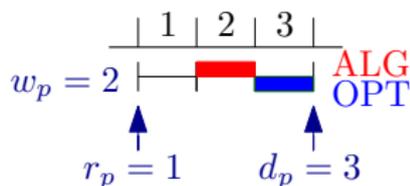
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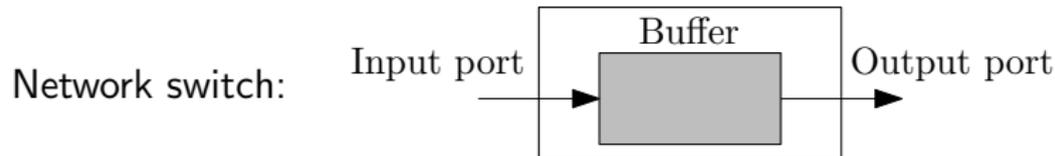
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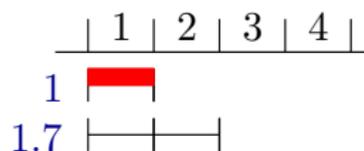
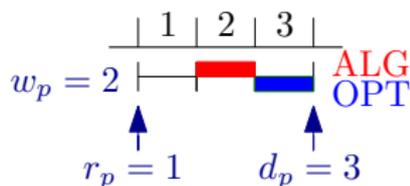
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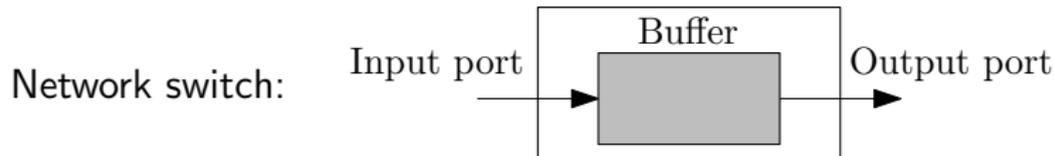
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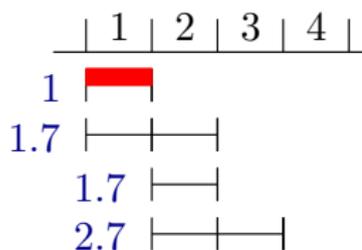
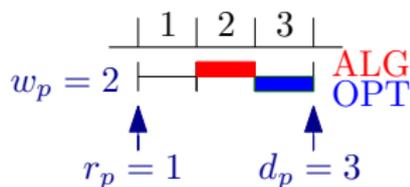
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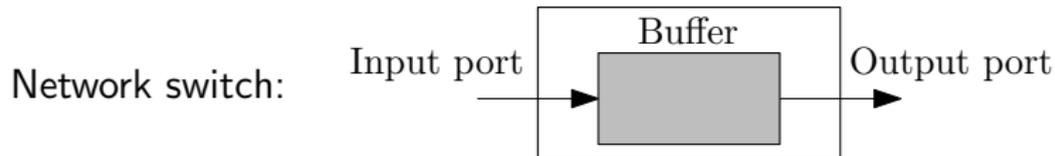
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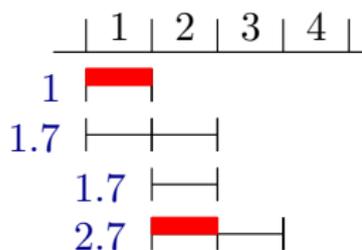
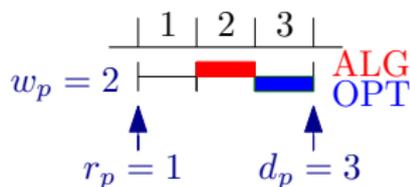
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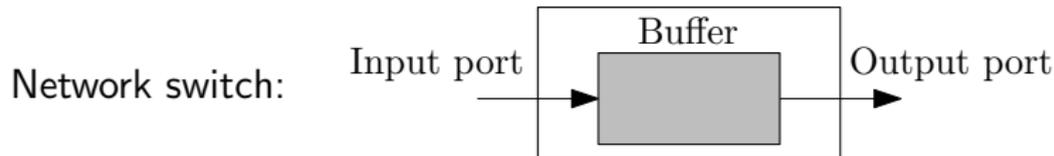
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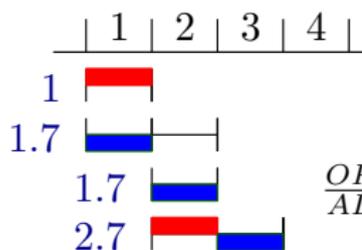
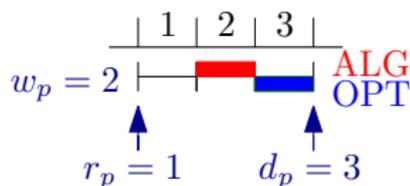
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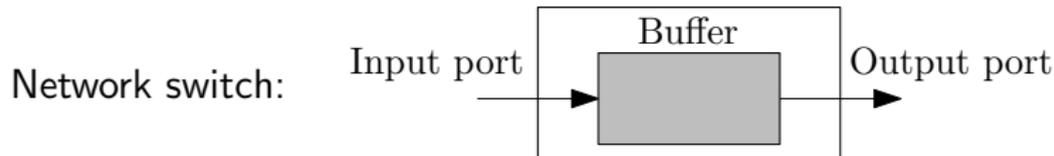


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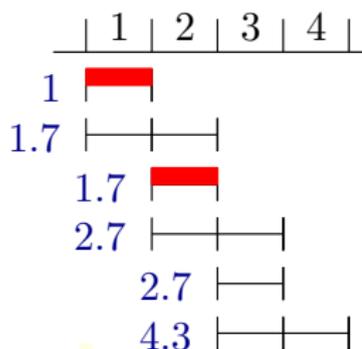
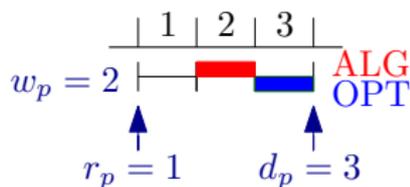


$$\frac{OPT}{ALG} = \frac{2 \cdot 1.7 + 2.7}{1.7 + 2.7} \approx 1.39$$

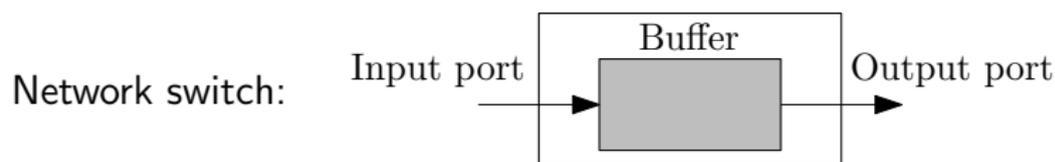
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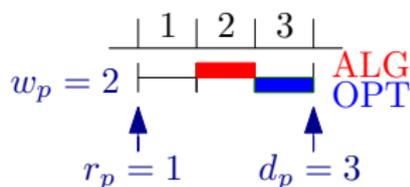
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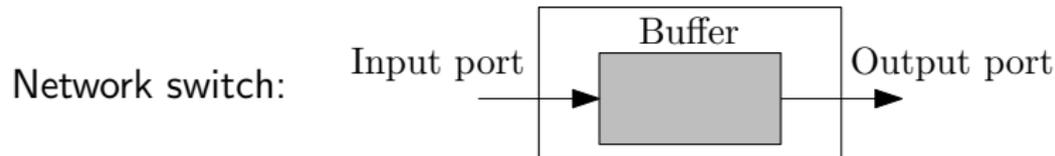


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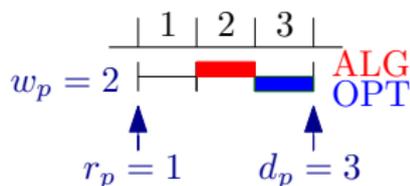


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A.k.a. BUFFER MANAGEMENT IN QUALITY OF SERVICE SWITCHES

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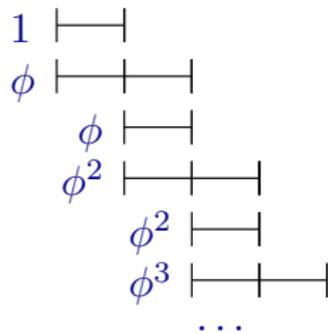
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- Lower bound of the golden ratio

$\phi = \frac{1}{2}(\sqrt{5} + 1) \approx 1.618$  [Hájek '01, Andelman *et al.* '03, Chin & Fung '03]

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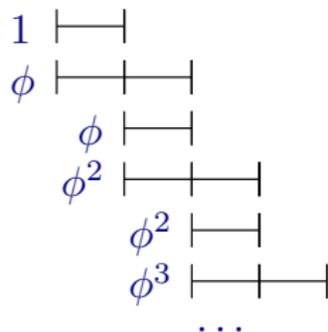
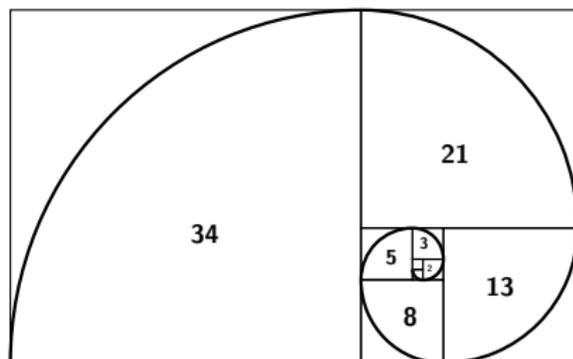


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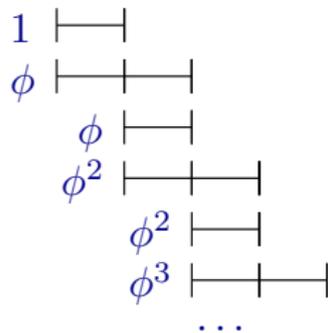
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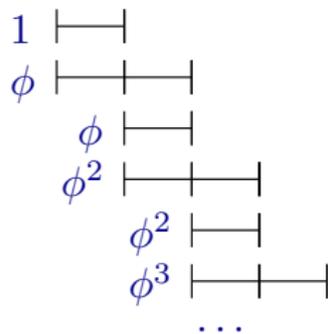
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- $\phi$ -competitive algorithms for some special instances [Kesselman *et al.* '01, Chin *et al.* '04, Li *et al.* '05, Bienkowski *et al.* '13, Böhm *et al.* '16]



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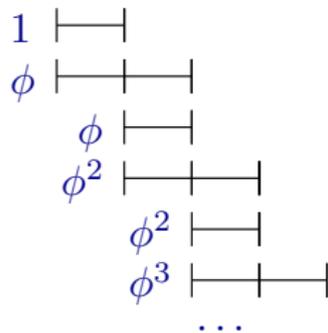
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Is there a  $\phi$ -competitive algorithm?

# Previous work

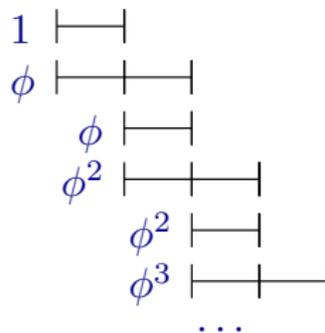
- We focus on deterministic algorithms
- Greedy algorithm 2-competitive
  - ▶ Schedules always the heaviest pending packet

- Lower bound of the golden ratio

$\phi = \frac{1}{2}(\sqrt{5} + 1) \approx 1.618$  [Hájek '01, Andelman *et al.* '03, Chin & Fung '03]

$$1 + \frac{1}{\phi} = \phi$$

- $2\sqrt{2} - 1 \approx 1.828$ -competitive algorithm [Englert & Westermann '07]
- $\phi$ -competitive algorithms for some special instances [Kesselman *et al.* '01, Chin *et al.* '04, Li *et al.* '05, Bienkowski *et al.* '13, Böhm *et al.* '16]



Is there a  $\phi$ -competitive algorithm?

Yes!

# New result

## Theorem

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## Key technique: Plan

- Max-weight *feasible* subset of pending packets in step  $t$ 
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- Optimal future profit unless new packets arrive
- Scheduled plans (a.k.a. provisional schedules) used already by  
[Li *et al.* '05, Li *et al.* '07, Englert & Westermann '07]

# Algorithm

# Algorithm PLAN( $\phi$ )

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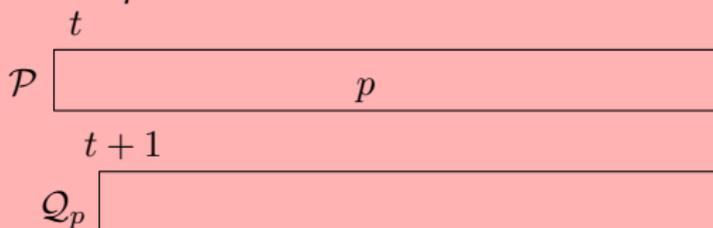
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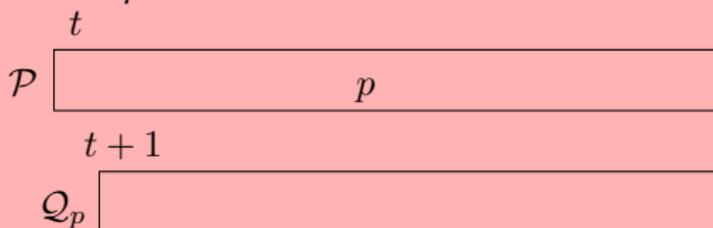
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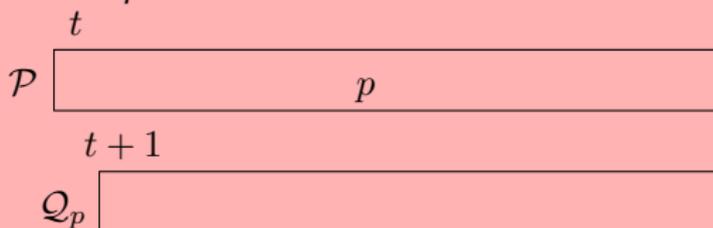
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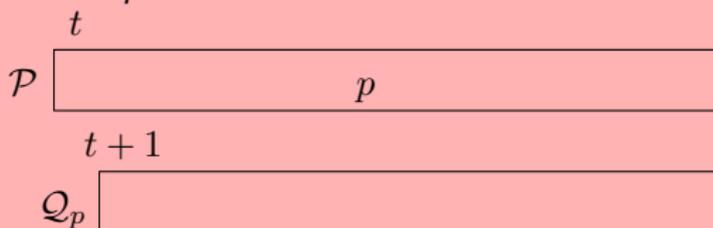
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  - ... but *not*  $\phi$ -competitive

# Plan and its Structure

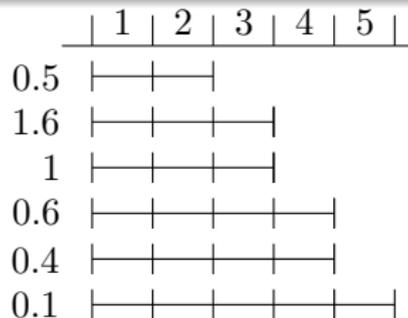
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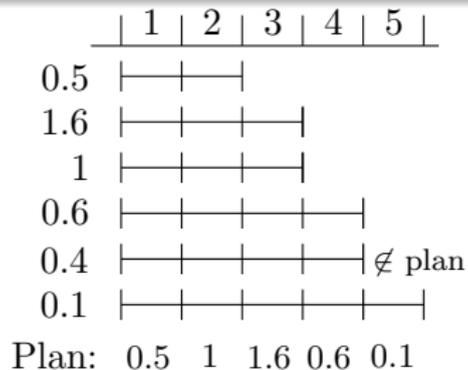
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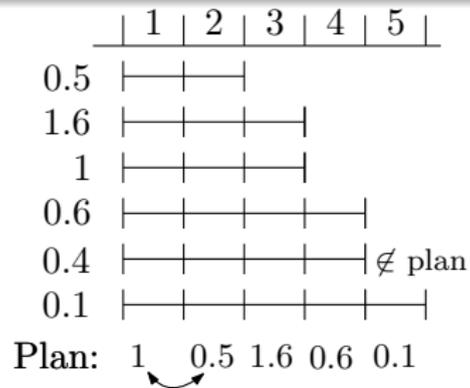
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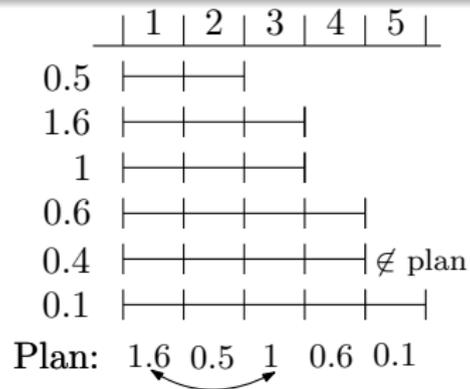
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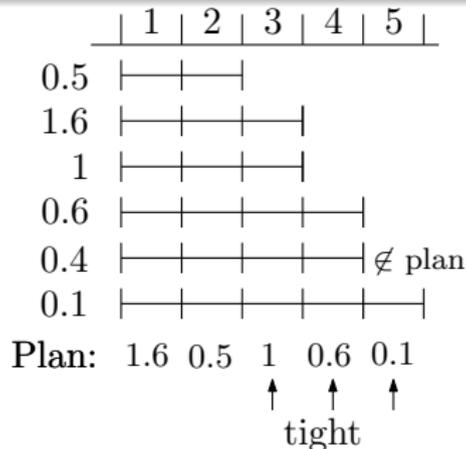
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## Definition

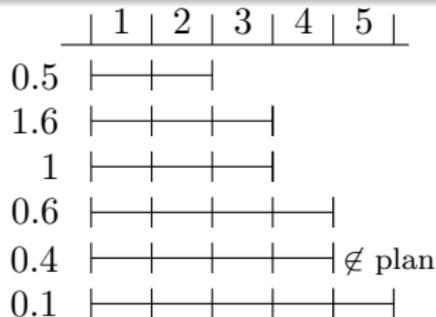
Slot  $\tau$  is *tight* w.r.t. plan  $\mathcal{P}$  iff

$\#$  of slots till  $\tau = \#$  of packets  $j \in \mathcal{P} : d_j \leq \tau$

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Plan: 1.6 0.5 1 0.6 0.1

Segments:

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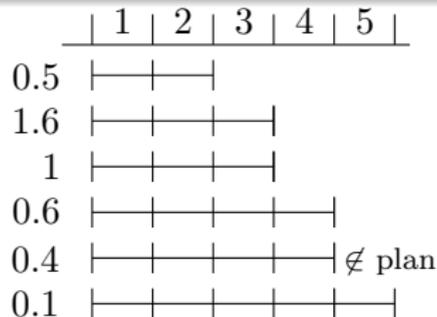
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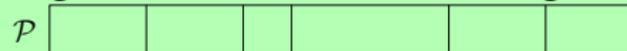
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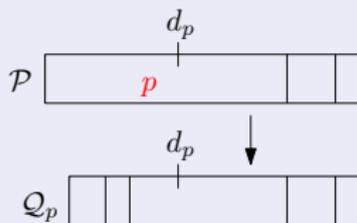
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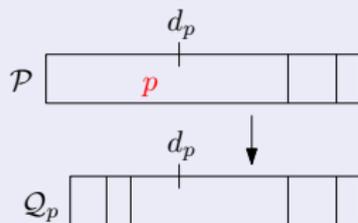
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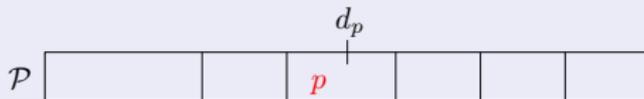


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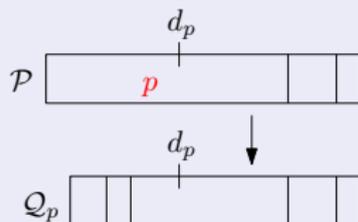


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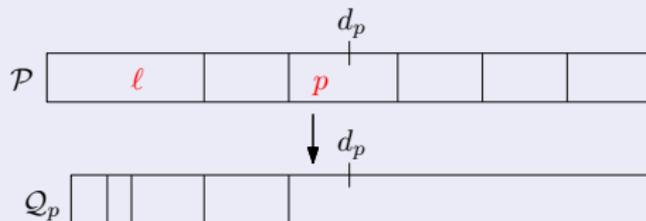


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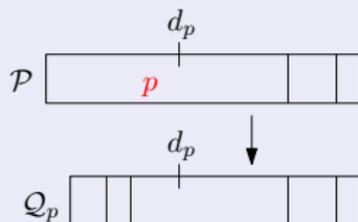
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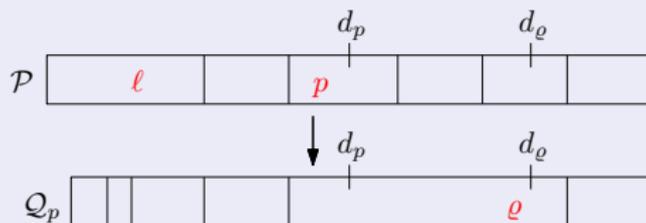
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- $\ell$  = lightest in the 1st segment
- $q$  = heaviest not in  $\mathcal{P}$  which can replace  $p$ 
  - ▶ replacement packet for  $p$

# Problem of $\text{PLAN}(\phi)$ : Weight Decreases in the Plan

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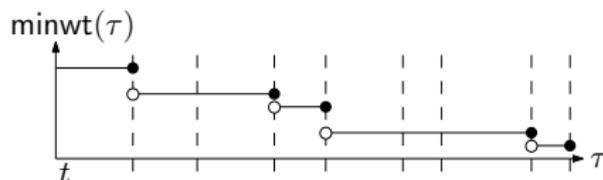
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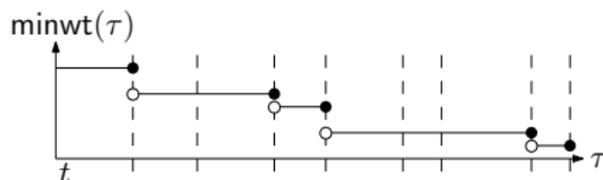
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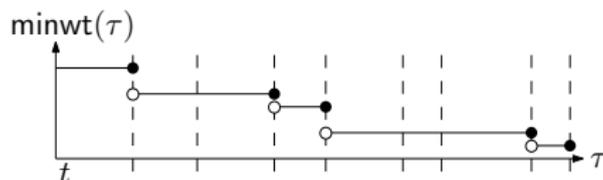


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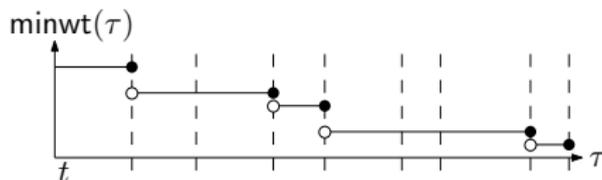


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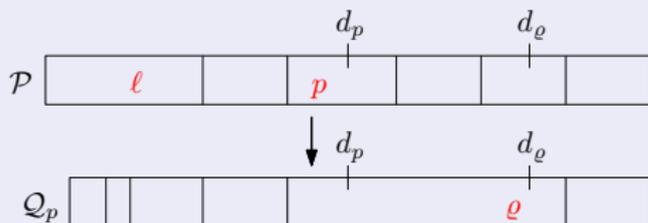
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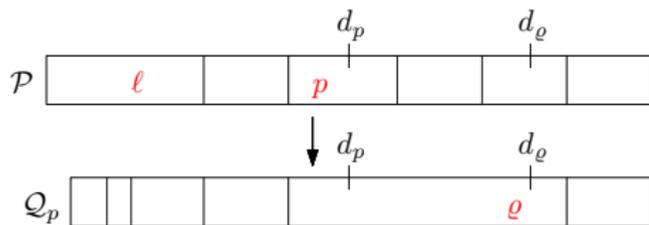
$$q \notin \mathcal{P} \Rightarrow w_q < \text{minwt}(d_q)$$

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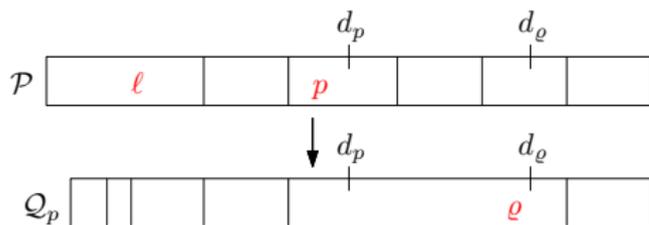


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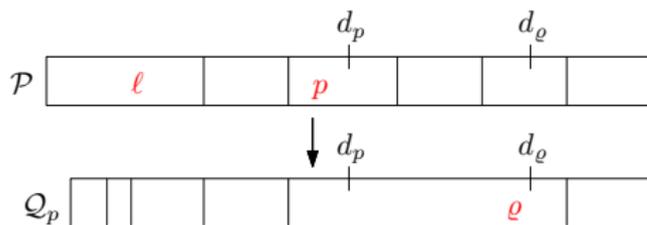
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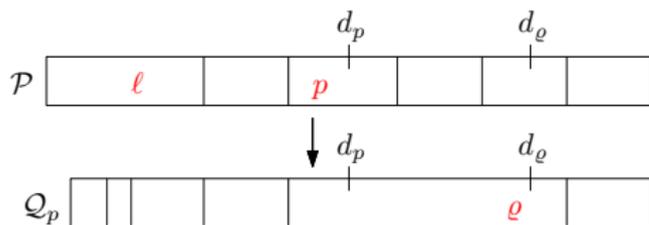
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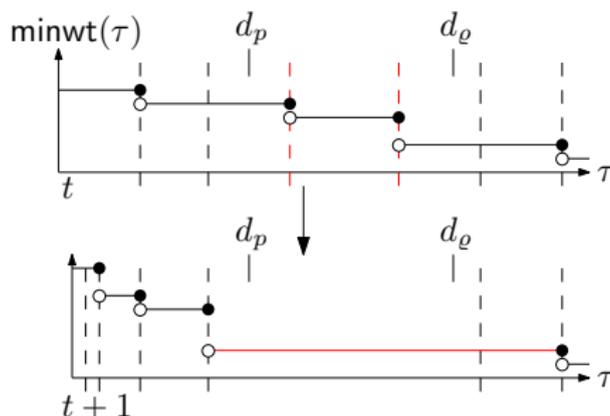
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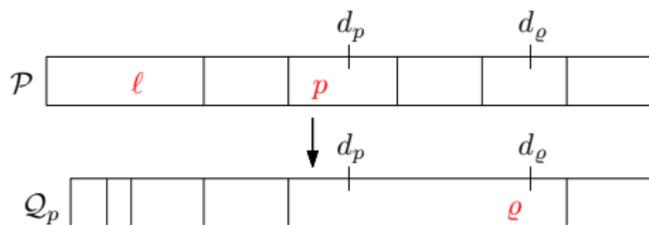
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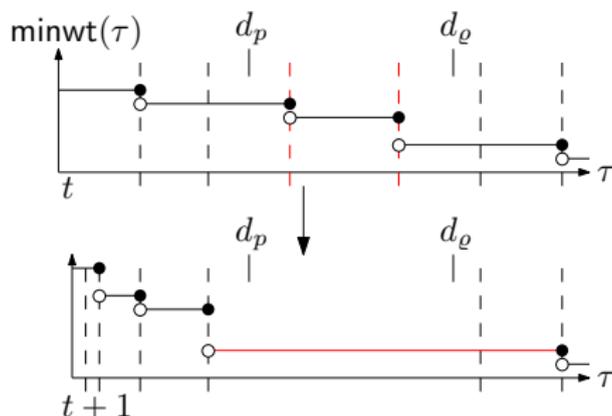
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- $\Rightarrow$  avoid merging segments

# Algorithm PLANM( $\phi$ ) Maintaining Slot-Monotonicity

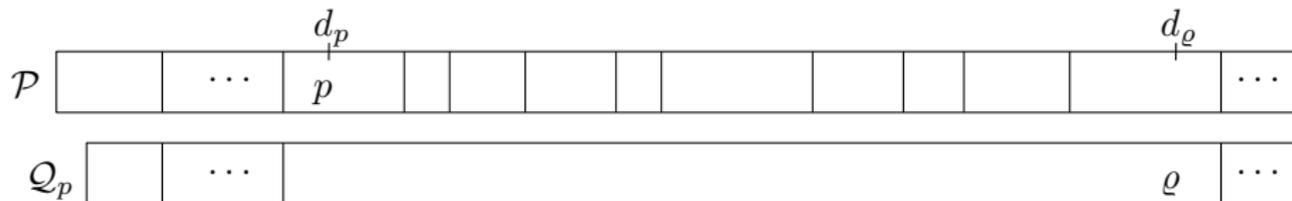
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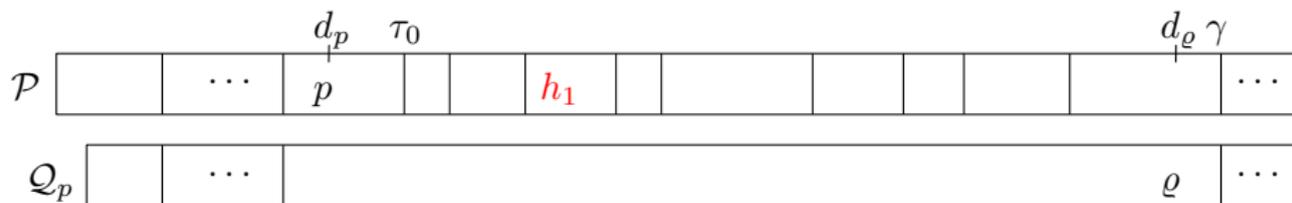
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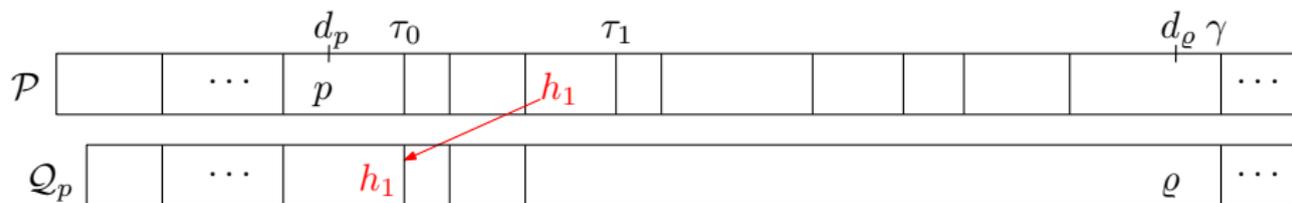
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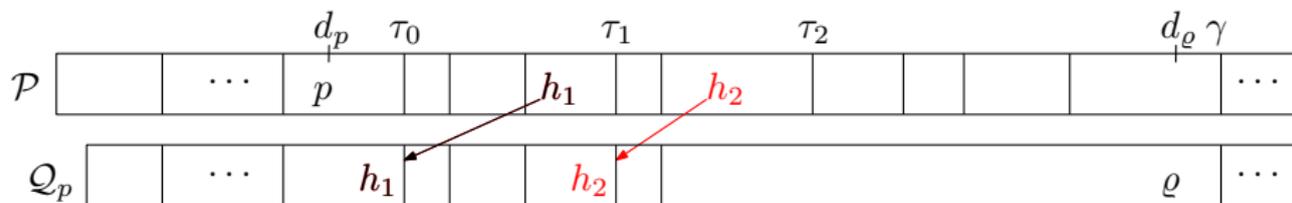
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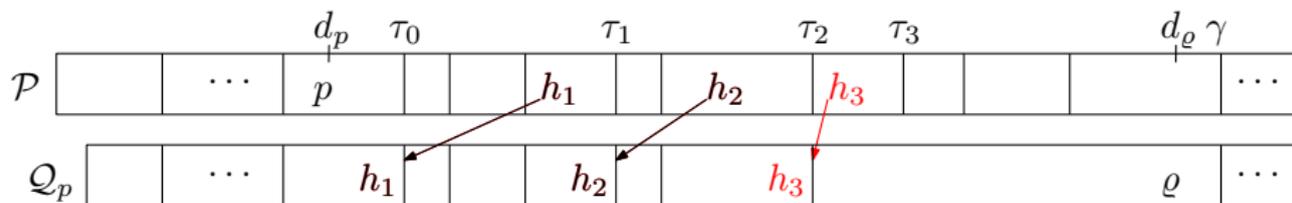
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- ▶  $h_2 =$  heaviest packet in  $(\tau_1, \gamma]$ ,
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# Algorithm PLANM( $\phi$ ) Maintaining Slot-Monotonicity

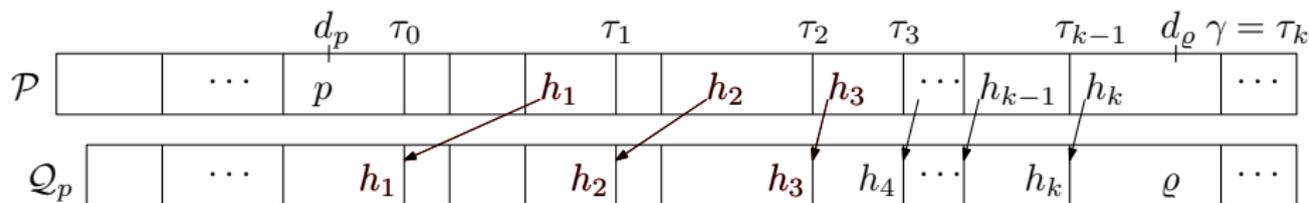
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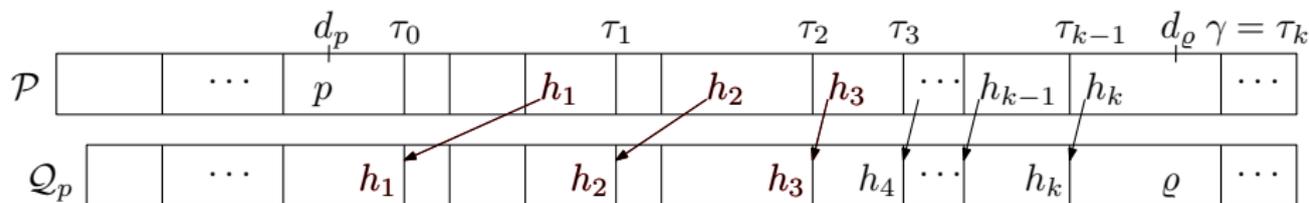
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## In a nutshell

Avoid merging segments and  $\text{minwt}$  decreases in a right way

- ★ Done by decreasing deadlines and increasing weights of certain packets

# Analysis

# Analysis Overview

- Competitive analysis
  - ▶ Goal:  $w(\text{OPT}) \leq \phi \cdot w(\text{ALG})$  for any instance

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- 2 Potential function
- 3 Modifications of the adversary (optimal) schedule ADV

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	①	2	3	4	5	
ADV	<input type="text"/>	Adversary's gain:				
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 Adversary's gain:  $w_a + w_b + (w_d - w_f)$

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## Invariant (A)

ADV consists of two types of packets:  $\left\{ \begin{array}{l} \text{(real) packets in plan } P \\ \text{all other packets are treasures} \end{array} \right.$



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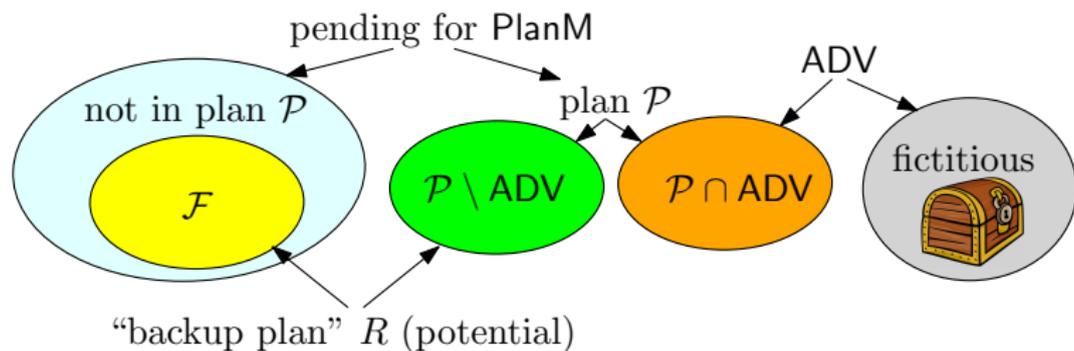
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# Conclusions

# Summary

$\phi \approx 1.618$ -competitive deterministic algorithm

- Schedule packet  $p \in \mathcal{P}$  maximizing  $\phi \cdot w_p + w(Q_p)$ 
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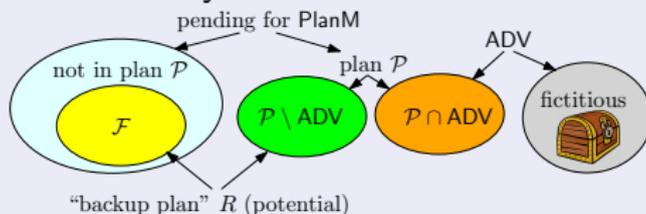
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## $\phi \approx 1.618$ -competitive deterministic algorithm

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  - ▶  $Q_p$  is the plan after  $p$  is scheduled and time is incremented ( $p \notin Q_p$ )
- Maintain slot-monotonicity of minwt
  - ▶ Done by increasing weights and decreasing deadlines of certain packets

## Analysis

- Potential function
  - ▶ Advantage of the algorithm over the adversary in future steps
  - ▶ Invariant ensures that this advantage is feasible
- Modifications of adversary schedule to maintain certain invariants



# Further Research Directions

$m \geq 1$  packets are sent in each step

- Our algorithm is  $\phi \approx 1.618$ -competitive for any  $m \geq 1$
- The best algorithm has ratio  $\frac{1}{1 - (\frac{m}{m+1})^m} \rightarrow \frac{e}{e-1} \approx 1.58$  [Chin *et al.* '04]
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- Improve randomized algorithms using plans
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Thank you!