

**Definition.** Let  $\mathcal{A}$  be a family of sets (without duplicates) over a universe  $\mathcal{U}$ . A *sunflower* with  $k$  petals and a core  $Y$  is a collection of sets  $S_1, \dots, S_k \in \mathcal{A}$  such that  $S_i \cap S_j = Y$  for all  $i \neq j$ ; the sets  $S_i \setminus Y$  are *petals* and we require none of them to be empty. Note that a family of pairwise disjoint sets is a sunflower (with an empty core).

**Sunflower lemma.** Let  $\mathcal{A}$  be a family of sets (without duplicates) over a universe  $\mathcal{U}$ , such that each set in  $\mathcal{A}$  has cardinality exactly  $d$ . If  $|\mathcal{A}| > d!(k-1)d$ , then  $\mathcal{A}$  contains a sunflower with  $k$  petals and such a sunflower can be computed in time polynomial in  $|\mathcal{A}|, |\mathcal{U}|, k$ .

**Problem:**  $d$ -HITTING SET

**Input:** A family of sets  $\mathcal{A}$  (without duplicates) over a universe  $\mathcal{U}$ , such that each set in  $\mathcal{A}$  has cardinality exactly  $d$ , integer  $k \in \mathbb{N}$ .

**Output:** Is there a subset  $H \subseteq \mathcal{U}$  of size at most  $k$  such that every set  $S \in \mathcal{A}$  contains at least one element of  $H$ ?

1. Design a kernel for  $d$ -HITTING SET with at most  $d!k^d d$  sets and at most  $d!k^d d^2$  elements.
2. Have you ever wondered how to prove that a problem with an FPT algorithm does not have a polynomial kernel? In this exercise I will sketch something like that. More details are in Section 15 of the book.

In the LONGEST PATH problem, we are given a graph  $G = (V, E)$ , and an integer  $k$ . The goal is to find a path of length at least  $k$  (meaning that it has to visit  $k$  different vertices).

Suppose there exists a kernel for LONGEST PATH of size  $k^3$ . Now, let us take  $k^7$  instances of LONGEST PATH and create a new instance  $I$  from their disjoint union. Clearly,  $I$  is a YES-instance if and only if one of the original instances was a YES-instance.

Once we apply the kernelization procedure on  $I$ , something has to be wrong with the resulting “kernel”. Can you find what it is?

This result is conditional: in literature you will see statements like “Problem X does not admit a polynomial kernel unless  $\text{NP} \subseteq \text{coNP/poly}$ ”.

3. We will now make a guided proof of Sunflower lemma.

We prove it by induction on  $d$ . The statement is trivial for  $d = 1$  as a family of pairwise disjoint sets form a sunflower.

So suppose  $d \geq 2$ , let  $\mathcal{A}$  be a family of sets of cardinality  $d$  over universe  $\mathcal{U}$  such that  $|\mathcal{A}| > d!(k-1)^d$ . Let  $\mathcal{G} = \{S_1, \dots, S_\ell\} \subseteq \mathcal{A}$  be an inclusion-wise maximal family of pairwise disjoint sets in  $\mathcal{A}$ .

- If  $\ell \geq k$ , then we are done. Why?

So suppose  $\ell < k$ . Let  $S = \bigcup_{i=1}^{\ell} S_i$ . Because  $\mathcal{G}$  is maximal, every set  $A \in \mathcal{A}$  intersects at least one set from  $\mathcal{G}$ , i.e.,  $A \cap S \neq \emptyset$ .

- Using this fact, show that there is an element  $u \in \mathcal{U}$  contained in many sets from  $\mathcal{A}$  (maybe by using the averaging argument; then find an upper bound on  $|S|$ ). We will remove this element  $u$ , apply the induction hypothesis on sets which used to contain  $u$  to obtain a sunflower and add it back to get a sunflower. So make sure that “many” is large enough.
- And use the proof to give a polynomial algorithm for finding a sunflower.

4. Show that FEEDBACK VERTEX SET parameterized by solution size  $k$  on undirected  $d$ -regular graphs admits a kernel of size  $\mathcal{O}(k)$ .
5. An undirected graph  $G$  is called *perfect* if for every induced subgraph  $H$  of  $G$ , the size of the largest clique in  $H$  is same as the chromatic number of  $H$ . Obtain a kernel with  $\mathcal{O}(k^2)$  vertices for ODD CYCLE TRANSVERSAL on perfect graphs.

**Hint:** Give a necessary and sufficient condition for a perfect graph being bipartite.

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No homework this week :)