

A graph  $G$  is *f-treewidth-fragile* if for every integer  $k$ , there exists a partition of  $V(G)$  to parts  $X_1, \dots, X_k$  such that  $\text{tw}(G - X_i) \leq f(k)$  for  $i = 1, \dots, k$ . A graph class  $\mathcal{G}$  is *f-treewidth-fragile* if every graph in  $G \in \mathcal{G}$  is *f-treewidth-fragile*. A class  $\mathcal{G}$  is *treewidth-fragile* if it is *f-treewidth-fragile* for some function  $f$ , and it is *effectively treewidth-fragile* if there exists a polynomial-time algorithm taking  $G \in \mathcal{G}$  and  $k \geq 1$  as an input and outputting the corresponding partition  $X_1, \dots, X_k$ . Our goal is to show that all proper minor-closed classes are (effectively) treewidth-fragile, and to give some applications.

## 1 Applications of treewidth-fragility

A property  $\pi$  of graphs is *a-hereditary* if for every graph  $G$  having the property  $\pi$  and for every  $X \subseteq V(G)$ , there exists  $Y \subseteq V(G)$  such that  $X \subseteq Y$  and  $|Y| \leq a|X|$  and  $G - Y$  has the property  $\pi$ . For example,

- the properties “ $G$  has no edges” and “ $G$  is 3-colorable” are 1-hereditary, and
- the property “ $G$  can be covered by vertex-disjoint triangles” is 3-hereditary.

Let  $\alpha_\pi(G)$  denote the size of the largest set  $X \subseteq V(G)$  such that  $G[X]$  has the property  $\pi$ . We say that  $\pi$  is *tractable in graphs of bounded treewidth* if for every  $b$ , there exists a polynomial-time algorithm determining  $\alpha_\pi$  for graphs of treewidth at most  $b$ .

**Lemma 1.** *Suppose a class  $\mathcal{G}$  of graphs is effectively treewidth-fragile and a property  $\pi$  is a-hereditary for some  $a \geq 1$  and tractable in graphs of bounded treewidth. Then for every  $p \geq 1$ , there exists a polynomial-time algorithm that for a graph  $G \in \mathcal{G}$  returns  $Z \subseteq V(G)$  such that  $G[Z]$  has the property  $\pi$  and  $|Z| \geq (1 - 1/p)\alpha_\pi(G)$ .*

*Proof.* Without loss of generality, we can assume  $a$  and  $p$  are integers (by rounding them up if necessary). Let  $f$  be the function such that every graph from  $\mathcal{G}$  is *f-treewidth-fragile*. Let  $k = ap$ . In polynomial time, we can find a partition  $X_1, \dots, X_k$  of  $V(G)$  such that  $\text{tw}(G_i) \leq f(k)$  for  $i = 1, \dots, k$ . For  $i = 1, \dots, k$ , use the algorithm for bounded treewidth to find  $Z_i \subseteq V(G - X_i)$  of size  $\alpha_\pi(G - X_i)$  such that  $G[Z_i]$  has the property  $\pi$ , and return the largest set  $Z$  among  $Z_1, \dots, Z_k$ .

Consider a set  $T \subseteq V(G)$  such that  $G[T]$  has property  $\pi$  and  $|T| = \alpha_\pi(G)$ . For  $i = 1, \dots, k$ , let  $X'_i = X_i \cap T$ ; there exists  $i$  such that  $|X'_i| \leq |T|/k$ . Since  $\pi$  is *a-hereditary* and  $G[T]$  has the property  $\pi$ , there exists a set  $X''_i \subseteq T$

such that  $X'_i \subseteq X''_i$ ,  $|X''_i| \leq a|X'_i| \leq |T|/p$ , and  $G[T \setminus X''_i]$  has the property  $\pi$ . Since  $T \setminus X''_i \subseteq V(G) \setminus X_i$ , we have

$$|Z| \geq |Z_i| = \alpha_\pi(G - X_i) \geq |T \setminus X''_i| \geq (1 - 1/p)|T| = (1 - 1/p)\alpha_\pi(G),$$

as required.  $\square$

**Lemma 2.** *Suppose a class  $\mathcal{G}$  of graphs is effectively treewidth-fragile. Then the chromatic number can be approximated for graphs in  $\mathcal{G}$  up to a factor of 2.*

*Proof.* Let  $f$  be the function such that every graph from  $\mathcal{G}$  is  $f$ -treewidth-fragile. For  $G \in \mathcal{G}$ , let  $X_1, X_2$  be a partition of  $V(G)$  such that  $\text{tw}(G - X_1), \text{tw}(G - X_2) \leq f(2)$ . Color the graphs  $G - X_1$  and  $G - X_2$  optimally by disjoint sets of colors, obtaining a coloring of  $G$  by

$$\chi(G - X_1) + \chi(G - X_2) \leq \chi(G) + \chi(G) = 2\chi(G)$$

colors.  $\square$

## 2 Graphs on surfaces

**Lemma 3.** *Suppose  $G$  is a graph drawn on a surface of Euler genus  $g$ . If  $G$  has radius  $r$ , then  $\text{tw}(G) \leq (2g + 3)r$ .*

*Proof.* Without loss of generality,  $G$  is a triangulation. Applying BFS to  $G$ , we obtain a rooted spanning tree  $T$  of  $G$  of depth  $r$ ; let  $q$  be the root of  $T$  and for each vertex  $v \in V(G)$ , let  $t(v)$  denote the set of at most  $r$  vertices on the path from  $v$  to  $q$  in  $T$ , including  $v$  but excluding  $q$ . Let  $G^*$  be the dual of  $G$ , and let  $S$  be the spanning subgraph of  $G^*$  whose edges correspond to those in  $E(G) \setminus E(T)$ . Each vertex  $f$  of  $G^*$  corresponds to a face of  $G$ , bounded by a cycle  $xyz$ ; let us define  $t(f) = t(x) \cup t(y) \cup t(z)$  and note that  $|t(f)| \leq 3r$ .

Note the graph  $S$  is connected. Indeed, we can “walk around” the tree  $T$  in  $G$ , passing along edges of  $S$  and visiting all faces of  $G$  (vertices of  $S$ ). Let  $S_0$  be a spanning tree of  $S$  and let  $X = E(S) \setminus E(S_0)$ . We have

$$\begin{aligned} |X| &= |E(S)| - |E(S_0)| = (|E(G)| - |E(T)|) - |E(S_0)| \\ &= |E(G)| - (|V(G)| - 1) - (|V(G^*)| - 1) \\ &= (|V(G)| + |V(G^*)| + g - 2) - (|V(G)| - 1) - (|V(G^*)| - 1) = g. \end{aligned}$$

Let  $X'$  be the set of vertices of  $G$  incident with the edges corresponding to  $X$ , and let  $Z = \bigcup_{v \in X'} t(v)$ ; we have  $|Z| \leq 2gr$ . For  $f \in V(G^*)$ , let us define

$\beta(f) = t(f) \cup Z \cup \{q\}$ ; we have  $\beta(f) \leq (2g + 3)r + 1$ . Hence, it suffices to argue that  $(S_0, \beta)$  is a tree decomposition of  $G$ .

For any edge  $uv \in E(G)$ , we have  $\{u, v\} \subseteq \{q\} \cup t(u) \cup t(v) \subseteq \beta(f)$  for a face  $f \in V(S_0)$  incident with this edge. Consider any vertex  $v \in V(G)$ . If  $v \in \{q\} \cup Z$ , then  $v$  appears in all bags of  $(S_0, \beta)$ . Otherwise, let  $T_v$  be the subtree of  $T$  rooted in  $v$ , and note that  $v \in \beta(f)$  exactly for the faces  $f$  incident with vertices of  $T_v$ . Any two such faces are connected by a walk in  $S$  obtained by “walking around”  $T_v$ ; the edges of this walk must belong to  $S_0$ , since  $v \notin Z$  implies no edge of  $S$  corresponding to an edge of  $G$  incident with a vertex of  $T_v$  belongs to  $X$ . Therefore,  $\{f : v \in \beta(f)\}$  induces a connected subtree of  $S_0$ .  $\square$

### 3 Outgrowths

Recall:

**Definition 4.** A graph  $H$  is a vortex of depth  $d$  and boundary sequence  $v_1, \dots, v_k$  if  $H$  has a path decomposition  $(T, \beta)$  of width at most  $d$  such that

- $T = v_1v_2 \dots v_k$ , and
- $v_i \in \beta(v_i)$  for  $i = 1, \dots, k$

**Definition 5.** For  $G_0$  drawn in a surface, a graph  $G$  is an outgrowth of  $G_0$  by  $m$  vortices of depth  $d$  if

- $G = G_0 \cup H_1 \cup H_m$ , where  $H_i \cap H_j = \emptyset$  for distinct  $i$  and  $j$ ,
- for all  $i$ ,  $H_i$  is a vortex of depth  $d$  intersecting  $G$  only in its boundary sequence,
- for some disjoint faces  $f_1, \dots, f_k$  of  $G_0$ , the boundary sequence of  $H_i$  appears in order on the boundary of  $f_i$ .

Let us now generalize Lemma 3.

**Lemma 6.** Suppose  $G$  is an outgrowth of graph  $G_0$  drawn on a surface of Euler genus  $g$  by (any number of) vortices of depth  $d$ . If  $G$  has radius  $r$ , then  $tw(G) < (2(2g + 3)r + 1)(d + 1)$ .

*Proof.* Let  $f_1, \dots, f_k$  be the faces of  $G_0$  to which the vortices  $G_1, \dots, G_k$  attach. For  $i = 1, \dots, k$ , let  $(T_i, \beta_i)$  be the corresponding decomposition of  $G_i$ ; we can assume  $T_i$  is a path in  $G_0$ . Let  $G'_0$  be obtained from  $G_0$  by, for  $i = 1, \dots, k$ , adding a vertex adjacent to all vertices incident with  $f_i$ ; note

that  $G'_0$  has radius at most  $2r$ . Let  $(T, \beta_0)$  be the tree decomposition of  $G_0$  obtained by Lemma 3; we have  $|\beta(x)| \leq 2(2g + 3)r + 1$  for  $x \in V(T)$ . For  $v \in V(G_0)$ , if there exists (necessarily unique) index  $i$  such that  $v \in V(T_i)$ , let  $\alpha(v) = \beta_i(v)$ , otherwise let  $\alpha(v) = \{v\}$ . For  $x \in V(T)$ , let  $\beta(x) = \bigcup_{v \in \beta_0(x)} \alpha(v)$ . Then  $(T, \beta)$  is a tree decomposition of  $G$  of width less than  $(2(2g + 3)r + 1)(d + 1)$ .

Indeed, consider any  $v \in V(G)$ . If there exists  $i$  such that  $v \in V(G_i)$ , then there exists a connected subpath  $T_v \subseteq G_0$  of  $T_i$  such that  $v \in \beta_i(x)$  exactly for  $x \in V(T_v)$ , and let  $T'_v$  be the connected subtree of  $T$  induced by the vertices  $x$  such that  $\beta_0(x) \cap V(T_v) \neq \emptyset$ ; otherwise, let  $T'_v = \emptyset$ . If  $v \in V(G_0)$ , then let  $T''_v$  be the connected subtree of  $T$  induced by the vertices  $x$  such that  $v \in \beta_0(x)$ ; otherwise, let  $T''_v = \emptyset$ . Note that  $\{x \in V(T) : v \in \beta(x)\} = V(T'_v \cup T''_v)$ , and that if  $T'_v \neq \emptyset \neq T''_v$ , then  $v \in V(T_i)$ , and thus  $T'_v \cap T''_v \neq \emptyset$ , implying that  $T'_v \cup T''_v$  is connected.  $\square$

Let  $\mathcal{G}_{g,d}$  be the class of outgrowths of graphs drawn on a surface of Euler genus  $g$  by (any number of) vortices of depth  $d$ . For a vortex with decomposition  $(T, \beta)$ , a vertex  $x$  is *boundary-universal* if it is adjacent to all vertices of  $T$ . Let  $\mathcal{G}'_{g,d}$  be the class of outgrowths of graphs drawn on a surface of Euler genus  $g$  by (any number of) vortices of depth  $d$ , each of them containing a boundary-universal vertex.

**Corollary 7.** *For any  $g, d, b, r$ , consider a graph  $G \in \mathcal{G}'_{g,d}$ . If  $Z$  is the set of vertices of  $G$  at distance at least  $b$  and at most  $b + r$  from some vertex  $v_0$  in the embedded part of  $G$ , then  $tw(G[Z]) < (2(2g + 3)(r + 5) + 1)(d + 1)$*

*Proof.* Without loss of generality, we can assume  $G$  is connected. Let  $G_0$  be the embedded part of  $G$ . For each vortex  $G_i$  of  $G$ , let  $(T_i, \beta_i)$  be the corresponding decomposition. Let  $H$  be obtained from  $G$  as follows. Delete all vertices at distance greater than  $b + r$  from  $v_0$  that are not in the boundary of any vortex, except for the boundary-universal vertices at distance exactly  $b + r + 1$  from  $v_0$ . For each vortex  $G_i$ ,

- (a) if all vertices of  $T_i$  are at distance greater than  $b + r$  from  $v_0$ , then delete  $V(T_i)$ , and
- (b) if all vertices of  $T_i$  are at distance less than  $b$  from  $v_0$ , then contract  $G_i$  to a single vertex and do not consider it to be a vortex any more.

Finally, contract all edges joining vertices  $u$  and  $v$  at distance less than  $b$  from  $v_0$  such that at least one of  $u$  and  $v$  is not contained in a boundary of a vortex.

Let  $H'$  be the subgraph of  $G$  induced by vertices at distance at least  $b$  and at most  $b + r$  from  $v_0$  that are contained in vortices  $G_i$  such that all vertices of  $T_i$  are at distance less than  $b$  from  $v_0$  (i.e., the vortices eliminated in (b) above). Note that  $H'$  is a union of components of  $G[Z]$ , treewidth of  $H'$  is less than  $d$ , and  $G[Z \setminus V(H')]$  is a subgraph of  $H$ . Hence, it suffices to argue that  $\text{tw}(H) < (2(2g + 3)(r + 5) + 1)(d + 1)$ . Note also that  $H \in \mathcal{G}_{g,d}$ , and thus by Lemma 6, it suffices to argue  $H$  has radius at most  $r + 5$ .

Indeed, consider any vertex  $v' \in V(H)$ , and let  $v$  be one of vertices of  $G$  which have been contracted to  $v'$ . Let  $P$  be a shortest path from  $v_0$  to  $v$  in  $G$ ; the construction of  $H$  and the fact that vortices contain boundary-universal vertices implies that  $P$  has length at most  $b + r + 2$ . Consider any edge  $xy$  of  $P$ , where both  $x$  and  $y$  are at distance less than  $b - 2$  from  $v_0$ . If one of these vertices is a boundary vertex of a vortex, then since the vortex contains a boundary-universal vertex, all the vertices of the boundary are at distance less than  $b$  from  $v_0$ , and thus the vortex was contracted in (b) to a single vertex. Otherwise, the edge  $xy$  was contracted in the last part of the construction of  $H$ . Therefore,  $P$  is contracted to a path of length at most  $r + 5$ .  $\square$

**Corollary 8.** *For every  $g$  and  $d$ , then class  $\mathcal{G}_{g,d}$  is treewidth-fragile.*

*Proof.* Consider a graph  $G \in \mathcal{G}_{g,d}$ ; without loss of generality, we can assume  $G$  is connected. For each vortex  $G_i$  of  $G$ , let  $(T_i, \beta_i)$  be the corresponding decomposition, and let  $G'$  be obtained by, for each  $i$ , adding a vertex  $v_i$  adjacent to all vertices of  $T_i$  to the graph and putting  $v_i$  to all bags of  $\beta_i$ ; clearly,  $G \in \mathcal{G}'_{g,d+1}$ . Let  $v_0$  be an arbitrary vertex of the embedded part of  $G'$ .

Consider any integer  $k \geq 1$ . For  $i = 1, \dots, k$ , let  $X'_i$  consist of vertices whose distance from  $v_0$  in  $G'$  modulo  $k$  is  $i - 1$ , and let  $X_i = X'_i \cap V(G)$ . It suffices to argue that the treewidth of  $G' - X'_i \supseteq G - X_i$  is bounded. This follows from Corollary 7, since  $G' - X'_i$  is a disjoint union of subgraphs induced by vertices at distances at least  $tk + i$  and at most  $tk + i + k - 1$  for  $t \in \mathbb{Z}$ .  $\square$

## 4 Apices and clique-sums

Recall:

**Definition 9.**  *$G$  is obtained from  $H$  by adding  $a$  apices if  $H = G - A$  for some set  $A \subseteq V(G)$  of size  $a$ .*

For a class  $\mathcal{G}$ , let  $\mathcal{G}^{(a)}$  denote the class of graphs obtained from those in  $\mathcal{G}$  by adding at most  $a$  apices. For a function  $f$ , let  $f^{(a)}(k) = f(k) + a$ .

**Observation 10.** *If  $\mathcal{G}$  is  $f$ -treewidth-fragile, then  $\mathcal{G}^{(a)}$  is  $f^{(a)}$ -treewidth-fragile.*

*Proof.* Consider a graph  $G \in \mathcal{G}^{(a)}$ , and let  $A$  be a set of size at most  $a$  such that  $G - A \in \mathcal{G}$ . Let  $X'_1, \dots, X'_k$  be a partition of  $V(G - A)$  such that  $\text{tw}(G - A - X'_i) \leq f(k)$  for each  $i$ . Let  $X_1 = X'_1, \dots, X_{k-1} = X'_{k-1}, X_k = X'_k \cup A$ . Then  $\text{tw}(G - X_i) \leq f(k) + a$  for each  $i$ .  $\square$

**Observation 11.** *If  $\mathcal{G}$  is  $f$ -treewidth-fragile, then  $\omega(G) \leq 2f(2) + 2$  for every  $G \in \mathcal{G}$ .*

*Proof.* Let  $X_1, X_2$  be a partition of  $V(G)$  such that  $\text{tw}(G - X_1), \text{tw}(G - X_2) \leq f(2)$ . Then

$$\omega(G) \leq \omega(G - X_1) + \omega(G - X_2) \leq (\text{tw}(G - X_1) + 1) + (\text{tw}(G - X_2) + 1) \leq 2f(2) + 2.$$

$\square$

**Lemma 12.** *Let  $\mathcal{G}$  be a class of graphs and let  $\mathcal{H}$  be the class of graphs obtained from those in  $\mathcal{G}$  by clique-sums. If  $\mathcal{G}$  is  $f$ -treewidth-fragile, then  $\mathcal{H}$  is  $f^{(2f(2)+2)}$ -treewidth-fragile.*

*Proof.* Note that for every  $H \in \mathcal{H}$ , we have  $\omega(H) \leq 2f(2) + 2$ . Consider any  $k \geq 1$ . We will inductively show a stronger claim: For every  $H \in \mathcal{H}$  and a partition  $K_1, \dots, K_k$  of a clique  $K$  in  $H$ , there exists a partition  $X_1, \dots, X_k$  of  $H$  such that  $\text{tw}(H - X_i) \leq f(k) + 2f(2) + 2$  and  $K \cap X_i = K_i$  for each  $i$ . This is clear for graphs  $G \in \mathcal{G}$ : Take the partition obtained by  $f$ -treewidth-fragility of  $G$  and move all vertices of  $K$  to the appropriate part, increasing the treewidth of  $G - X_i$  by at most  $|K|$ .

Suppose we now perform a clique-sum of  $H_1, H_2 \in \mathcal{H}$  on a clique  $Q$ , to obtain a graph  $H$ , and let  $K$  be a clique in  $H$  and  $K_1, \dots, K_k$  its partition. We can by symmetry assume  $K \subseteq V(H_1)$ . Let  $X'_1, \dots, X'_k$  be the inductively obtained partition of  $V(H_1)$  such that  $\text{tw}(H_1 - X'_i) \leq f(k) + 2f(2) + 2$  and  $K \cap X'_i = K_i$  for each  $i$ . Let  $Q_i = Q \cap X'_i$  for each  $i$ , and let  $X''_1, \dots, X''_k$  be the inductively obtained partition of  $V(H_2)$  such that  $\text{tw}(H_2 - X''_i) \leq f(k) + 2f(2) + 2$  and  $Q \cap X''_i = Q_i$  for each  $i$ . Letting  $X_i = X'_i \cup X''_i$ , we obtain a partition of  $V(H)$  such that  $K \cap X_i = K_i$  for each  $i$ . Moreover,  $H - X_i$  is a clique-sum of  $H_1 - X'_i$  and  $H_2 - X''_i$ , implying  $\text{tw}(H - X_i) \leq f(k) + 2f(2) + 2$ .  $\square$

## 5 Proper minor-closed classes

Recall:

**Definition 13.** *A graph  $G$  is  $a$ -near-embeddable in a surface  $\Sigma$  if for some graph  $G_0$  drawn in  $\Sigma$ ,  $G$  is obtained from an outgrowth of  $G_0$  by at most  $a$  vortices of depth  $a$  by adding at most  $a$  apices.*

**Theorem 14** (The Structure Theorem). *For every proper minor-closed class  $\mathcal{G}$ , there exists  $a$  and  $g$  such that graphs in  $\mathcal{G}$  are clique-sums of graphs that are  $a$ -near-embeddable in surfaces of genus at most  $g$ .*

Combining the structure theorem with Lemma 12, Observation 10, and Corollary 8, we obtain the following claim.

**Corollary 15.** *Every proper minor-closed class is treewidth-fragile.*