Fully Characterizing Lossy Catalytic Computation

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Abstract

A catalytic machine is a model of computation where a traditional space-bounded machine is augmented with an additional, significantly larger, "catalytic" tape, which, while being available as a work tape, has the caveat of being initialized with an arbitrary string, which must be preserved at the end of the computation. Despite this restriction, catalytic machines have been shown to have surprising additional power; a logspace machine with a polynomial length catalytic tape, known as *catalytic logspace* (CL), can compute problems which are believed to be impossible for L.

A fundamental question of the model is whether the catalytic condition, of leaving the catalytic tape in its exact original configuration, is robust to minor deviations. This study was initialized by Gupta et al., who defined *lossy catalytic logspace* (LCL[e]) as a variant of CL where we allow up to e errors when resetting the catalytic tape. They showed that LCL[e] = CL for any e = O(1), which remains the frontier of our understanding.

In this work we completely characterize lossy catalytic space (LCSPACE[s, c, e]) in terms of ordinary catalytic space (CSPACE[s, c]). We show that

 $\mathsf{LCSPACE}[s, c, e] = \mathsf{CSPACE}[\Theta(s + e \log c), \Theta(c)]$

In other words, allowing e errors on a catalytic tape of length c is equivalent, up to a constant stretch, to an equivalent errorless catalytic machine with an additional $e \log c$ bits of ordinary working memory.

As a consequence, we show that for any e, LCL[e] = CL implies $SPACE[e \log n] \subseteq ZPP$, thus giving a barrier to any improvement beyond LCL[O(1)] = CL. We also show equivalent results for non-deterministic and randomized catalytic space.

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1 Introduction

1.1 Catalytic computation

Within space-bounded computation, the *catalytic computing* framework, first introduced by Buhrman, Cleve, Koucký, Loff, and Speelman [BCK⁺14], models the question of whether or not full memory can be a computational resource. Their main object of study is a *catalytic logspace* (CL) machine, in which a traditional logspace-bounded Turing machine is given access to a second work tape, polynomial in length, called the catalytic tape; while this tape is exponentially longer than the logspace work tape, it is already full with some string τ at the outset, and this string τ must be preserved by the overall computation.

Surprisingly, [BCK⁺14] show that CL can be much more powerful than L, with the catalytic tape being at least as powerful a resource as non-determinism (NL \subseteq CL), randomness (BPL \subseteq CL), and more (TC¹ \subseteq CL). They also showed that its power is nevertheless limited and falls far short PSPACE, namely CL \subseteq ZPP.

This work spawned a long sequence of explorations of the power of catalytic space. Given the base model of CL there are many possible variations and structural questions to be asked, such as the power of randomness [DGJ⁺20, CLMP24], non-determinism [BKLS18], non-uniformity [Pot17, RZ21, CM22, CM24], and other variants [GJST19, BDS22]. There have also been many works connecting the catalytic framework to broader questions in complexity theory, such as space-bounded derandomization [Pyn24, DPT24, LPT24], as well as adaptations of catalytic techniques to solve longstanding open questions such as compositional upper bounds for space [CM20, CM21, CM24] (see [Kou16, Mer23] for surveys on the topic).

1.2 Lossy catalytic computation

Besides these more standard structural questions, there are also catalytic variants which are more specific to the catalytic space restriction. In particular, Gupta et al. [GJST24] initiated the study of *lossy* catalytic computing, wherein the catalytic tape need not be exactly reset to its initial configuration. This model, which we refer to as LCSPACE, essentially asks how robust the core definition of catalytic space is to seemingly small relaxations; for example, in the *quantum* setting some computation error (albeit of a different form) is necessary for converting between different definitions based on allowed operations.

To begin, note that CL with $e \leq \operatorname{poly}(n)$ errors trivially contains the class $\mathsf{SPACE}[e]$ by simply erasing the first e bits of the catalytic tape and using them as free memory. Because we have not managed to prove that any space-bounded class beyond L which is contained in ZPP, we should not expect to be able to prove CL is the same as CL with $e = \omega(\log n)$ errors. The question, then, is to understand where, in the range of e = 0 to $e = O(\log n)$, is the acceptable number of errors that CL can provably tolerate.

As an initial answer to the previous question, [GJST24] show that CL gains no additional power from allowing any constant number of errors on the catalytic tape, i.e., LCL[O(1)] = CL. This remains the frontier of our knowledge, and Mertz [Mer23] posed it as an open question to improve this result to any superconstant number of errors, or, alternatively, to provide evidence against being able to prove such a collapse.¹ Recently, Cook et al. [CLMP24] showed that a different error-prone model, namely *randomized* CL, is no more powerful than the base CL model, indicating that perhaps such an improvement is possible.

¹We cannot expect an unconditional separation between CL and any LCL, as even separating PSPACE from e.g. $TC^{1}(\subseteq CL)$ remains wide open.

1.3 Our results

In this work we completely characterize lossy catalytic space in terms of ordinary catalytic space. Let $\mathsf{CSPACE}[s, c]$ denote catalytic machines with free space s and catalytic space c, and let $\mathsf{LCSPACE}[s, c, e]$ be the same with up to e errors allowed in resetting the catalytic tape. We show that these e errors are equivalent to an additional $e \log c$ free bits of memory, up to constant factor losses.

Theorem 1. Let s := s(n), c := c(n), e := e(n) be such that $e \leq c^{1-\Omega(1)}$. Then

 $\mathsf{LCSPACE}[s, c, e] = \mathsf{CSPACE}[\Theta(s + e \log c), \Theta(c)]$

Besides characterizing LCSPACE[s, c, e], this allows us to understand the lay of the land for LCL[e], i.e., CL with e errors. In particular, this recovers the result of [GJST24], which says that LCL[O(1)] = CL. Furthermore, it gives intuition that this theorem is the best we can hope for with respect to e, again assuming SPACE[$e \log n$] cannot be shown to be in ZPP for any $e = \omega(1)$.

Corollary 2. For any e := e(n),

 $\mathsf{LCL}[e] = \mathsf{CL} \quad implies \quad \mathsf{SPACE}[O(e \log n)] \subseteq \mathsf{ZPP}$

We also show that our proof extends to catalytic machines with additional power beyond errors, namely *non-deterministic* and *randomized* catalytic space.

Theorem 3. Let $C \in \{\text{NCSPACE}, \text{BPCSPACE}\}$, and let s := s(n), c := c(n), e := e(n) be such that $e \leq c^{1-\Omega(1)}$. Then

$$\mathsf{L}\mathcal{C}[s, c, e] = \mathcal{C}[\Theta(s + e\log c), \Theta(c)]$$

We briefly remark that the $e \leq c^{1-\Omega(1)}$ restriction in all our results is only needed to get the constant stretch in the catalytic tape; we discuss the unrestricted setting in Section 3.2.

1.4 Open problems

Errors in expectation. A related question asked in [Mer23] is whether or not CL is equivalent to CL with O(1) errors allowed *in expectation* over all starting catalytic tapes. This represents a different notion of distance between catalytic tapes, in opposition to Hamming distance, that may be more applicable to settings such as quantum computation. However, no results are known for expected errors, and all techniques in this paper fail to restore the tape in pathological cases where a few starting tapes end up with potentially many errors.

Randomized error-prone catalytic space. Recent work of Cook et al. [CLMP24] shows that CSPACE[s, c] = BPCSPACE[O(s), poly(c)], which, in conjunction with Theorem 3, seems to indicate that our theorems can be unified to show the connection between ordinary CSPACE and CSPACE which is both randomized and lossy, i.e. $CSPACE[s + e \log c, c] = LBPCSPACE[O(s), poly(c), e]$. This would characterize how deterministic catalytic space handles both natural kinds of "error", namely both error in the output from the randomness and error in resetting the catalytic tape.

However, the proof of [CLMP24] only works when $c = 2^{\Theta(s)}$, and our connection to error-prone space incurs an $e \log c$ blowup in free space, putting us outside this regime. A generalization of their result, i.e. showing CSPACE[s, c] = BPCSPACE[O(s), poly(c)] for every s and c,² would tie off this connection.

²Note that the polynomial blowup allowed in the catalytic tape means this result would not yield novel derandomization for ordinary space; even for $s, c = O(\log n)$ this would only show that derandomization overheads can be pushed into a polylogarithmic length catalytic tape, which was already shown by Pyne [Pyn24].

Lossy catalytic branching programs. Due to the flexibility in the conditions of Theorem 1, the results of Theorem 3 are likely to extend to other settings catalytic settings; for example, it is immediate to extend both results to CSPACE with *advice*. We focus on non-determinism and randomness simply because these are two of the most well-studied catalytic variants, and future works are free to adapt these proofs to their own settings.

In terms of notable omissions, however, one setting where one direction does not yet extend, and which is very related to advice, is the *catalytic branching program* model, which is a syntactic, and by extension non-uniform, way of capturing CSPACE. The issue here is simply that such machines can read and write their entire work tape in one step, which our simulation of CSPACE by LCSPACE is unequipped to handle. As we will note in Appendix A, showing such branching programs are *reversible* would be sufficient to close this off.

Exact Simulation Space Requirements In the current simulation of errors using clean space, we use $4e \log c$ clean space. By contrast, in our simulation of clean space using errors, we use only e more errors. If errors can be simulated in clean space $e \log c$ instead, then there is only very low overhead in switching between the two perspectives. This would tighten the correspondence between errors and space that we establish. However, since the distance between two codewords required to correct e errors is 2e + 1, a different error correction code would be necessary to reach clean space $e \log c$.

2 Preliminaries

We begin by defining catalytic machines as introduced by Buhrman et al. [BCK⁺14].

Definition 1 (Catalytic space). A catalytic Turing Machine is a space-bounded Turing machine with two work tapes: 1) a read-write work tape of length s(n) which is initialized to $0^{s(n)}$, and 2) a read-write catalytic tape of length $c(n) \leq 2^{s(n)}$ which is initialized to an arbitrary state $\tau \in \{0, 1\}^{c(n)}$. On any input $x \in \{0, 1\}^n$ and initial catalytic state τ , a catalytic Turing machine has the property that at the end of the computation on input x, the catalytic tape will be in the initial state τ .

In this work we focus on a relaxation of catalytic space by Gupta, Jain, and Sharma [GJST24], where we are allowed to make some errors in resetting the catalytic tape.

Definition 2 (Lossy catalytic space). A lossy catalytic Turing Machine with e(n) errors is a catalytic machine where at the end of the computation on any input $x \in \{0, 1\}^n$ and initial catalytic state τ , instead of requiring that the catalytic tape be in state τ , the catalytic tape can be in any state τ' such that τ and τ' differ in at most e(n) locations.

Lastly we specify the basic complexity classes arising from our two catalytic definitions, as well as their specification to the "logspace" setting, where most research interest at the moment lies.

Definition 3. We write

- CSPACE[s, c]: the class of languages which can be recognized by catalytic Turing Machines with work space s := s(n) and catalytic space c := c(n).
- LCSPACE[s, c, e]: the class of languages which can be recognized by lossy catalytic Turing Machines with work space s := s(n), catalytic space c := c(n), and e := e(n) errors.

We additionally write

- $CL := CSPACE[O(\log n), poly n]$
- $\mathsf{LCL}[e] := \mathsf{LCSPACE}[O(\log n), \operatorname{poly} n, e]$

3 Main theorem

In this section we will prove Theorem 1. We will do so via a simulation argument for each direction in turn.

3.1 Simulating errors with space

First, we show that $\mathsf{LCSPACE}[s, c, e] \subseteq \mathsf{CSPACE}[O(s + e \log c), O(c)]$. In fact, we will not need any increase in the length of our catalytic tape.

Theorem 4. Let s := s(n), c := c(n), e := e(n). Then

$$\mathsf{LCSPACE}[s, c, e] \subseteq \mathsf{CSPACE}[s + O(e \log c), c]$$

We note that this was also proven in [GJST24] for the case of LCL[O(1)], but we will pursue a different proof, based on error-correcting codes, which will allow us to generalize to other catalytic models in Section 4.

Proof. Let M_e be an LCSPACE[s, c, e] machine. We will devise a CSPACE[$s + O(e \log c), c$] machine M_0 which simulates M_e . Note that in this section, we will not use our parameter restriction on e; this direction holds for every setting of s, c, and e. We will presume that $e \leq \frac{c}{\log(c)}$, otherwise the inclusion becomes trivial.

Our simulation will go via an error-correcting code. In particular we will use *BCH codes*³ (BCH), named after Bose, Ray-Chaudhuri, and Hocquenghem [BRC60, Hoc59], which we define as per [DRS04, DORS06]. We define the mapping BCH and prove the following lemma in Appendix B (see Corollary 18, Lemma 21 and Lemma 22).

Lemma 5. Let $q := 2^{\lceil \log(c+e) \rceil}$. There exists a mapping $\mathsf{BCH} : \mathbb{F}_q^q \to \mathbb{F}_q^q$ with the following operations:

• Encoding: Enc_{BCH} takes as input a string S of length c, plus an additional $(2e+1)\lceil \log(c+e) \rceil$ bits initialized in 0, and outputs a codeword S_{enc} :

$$S + [0]_{(2e+1)\lceil \log(c+e) \rceil} \rightarrow_{\mathsf{Enc}} S_{enc}$$

Furthermore, all outputs S_{enc} generated this way have minimum distance $\delta := 2e + 1$ from one another.

• **Decoding:** Dec_{BCH} takes as input a string S'_{enc} of length $c + (2e+1)\log(c+e)$, with the promise that there exists a string S of length c such that $\operatorname{Enc}_{BCH}(S + [0]_{2e\log(c+e)})$ differs from S'_{enc} in at most $\delta/2 - 1 = e$ locations, and outputs this string S:

$$S'_{enc} \rightarrow_{\mathsf{Dec}} S + [0]_{(2e+1)\log(c+e)}$$

Furthermore, both Enc_{BCH} and Dec_{BCH} can be computed in space $O(e \log c)$.

We now move on to the simulation of our $\mathsf{LCSPACE}[s, c, e]$ machine M_e . Our $\mathsf{CSPACE}[s + O(e \log c), c]$ machine M_0 acts as follows:

1. Initialization: use the function $\mathsf{Enc}_{\mathsf{BCH}}$ to encode the initial state τ of the catalytic tape into a codeword, using $(2e+1)\lceil \log(e+e) \rceil$ additional bits from clean space,

 $\tau + [0]_{(2e+1)\lceil \log(c+e)\rceil} \to_{\mathsf{Enc}} \tau_{enc}.$

³Technically because of our parameters, they can even be considered Reed-Solomon codes, which are a special case of BCH codes; nevertheless we follow the presentation of the more general code form.

- 2. Simulation: Run M_e using clean space s and the first c bits of τ_{enc} as the catalytic tape. When M_e finishes the calculation, we record the answer in a bit of the free work tape. The catalytic tape is, at this point, in a state τ'_{enc} which differs in at most e locations from τ_{enc} .
- 3. Cleanup: use the function $\mathsf{Dec}_{\mathsf{BCH}}$ to detect and correct our resulting catalytic tape τ'_{enc} :

$$\tau'_{enc} \rightarrow_{\mathsf{Dec}} \tau + [0]_{(2e+1)\lceil \log(c+e) \rceil}$$

Once we finish this process, we output our saved answer and halt.

The correctness of M_0 is clear, as it gives the same output as M_e . By our error guarantee on M_e and the correctness of Dec, our catalytic tape is successfully reset to τ . Our catalytic memory is c as before, while for our free work space we require s bits to simulate M_e , an additional $(2e+1)\lceil \log(c+e) \rceil = (2+o(1))e \log c$ zero bits for our codewords, and $O(e \log c)$ space for Enc_{BCH} and Dec_{BCH}, for $s + O(e \log c)$ space in total.

Note 3.1. There is an alternative proof of this point, one which gets better parameters and relies on an interesting characterization of space, namely the *reversibility* of space. This proof is a simplification and extension of the one originally provided in [GJST24], and we provide it in Appendix A for those interested.

3.2 Simulating space with errors

We now show the other direction of Theorem 1, i.e. $\mathsf{CSPACE}[s+e \log c, c] \subseteq \mathsf{LCSPACE}[O(s), O(c), O(e)].$

Theorem 6. Let s := s(n), c := c(n), e := e(n), and $\epsilon > 0$ be such that $e = o(c^{\epsilon/(1+\epsilon)})$. Then

$$\mathsf{CSPACE}[s + e \log c, c] \subseteq \mathsf{LCSPACE}[s + \log c, (1 + o(1))c, (1 + \epsilon)e]$$

Since $s \ge \log c$ by the definition of a catalytic machine, this achieves the reverse direction of Theorem 1 with very small blowups in s and c, and for e bounded by a small polynomial in c we get a negligible error blowup as well. Note that we allow $\epsilon > 1$, and so our proof is not limited to $e < c^{1/2}$; however, we will pay for larger values of e in the error blowup, and for $e = c^{1-o(1)}$ this factor becomes superconstant.

To understand our construction, we will first prove a version with looser space parameters. This result is incomparable to Theorem 6; although we lose a factor of e in our catalytic space, in exchange we have no restrictions on e and no loss in e either.

Theorem 7. Let s := s(n), c := c(n), e := e(n) be such that c is a power of 2. Then

$$\mathsf{CSPACE}[s + e \log c, c] \subseteq \mathsf{LCSPACE}[s + (\log e + \log c + 2), (e + 1)c, e]$$

Proof. Let M_0 be a CSPACE $[s + e \log c, c]$ machine. We will devise a LCSPACE $[s + (\log e + \log c + 2), (e+1)c, e]$ machine M_e which simulates M_0 . By the definition of a Turing machine, we will assume that in any time step M_0 only reads and writes at most one bit on the work tape.

For any string σ and set of indices S, let $\sigma^{\oplus S}$ denote σ after flipping the bits in the locations in S; we abuse notation for singleton sets S and use $\sigma^{\oplus j}$ in place of $\sigma^{\oplus \{j\}}$. Our key construction will use the following folklore⁴ construction:

⁴This construction is based on the solution to the so-called "almost impossible chessboard puzzle"; interested readers can find the setup and solution in videos on the YouTube channels 3Blue1Brown (https://www.youtube.com/watch?v=wTJI_WuZSwE) and Stand-up Maths (https://www.youtube.com/watch?v=as7Gkm7Y7h4). It can also be seen as the syndrome of the Hamming code.

Lemma 8. For every k, there exists a mapping mem : $\{0,1\}^{2^k} \to \{0,1\}^k$, computable in space k+1, such that the following holds: for any $\tau \in \{0,1\}^{2^k}$ and any $S \subseteq [k]$,

$$\operatorname{mem}(\tau)^{\oplus S} = \operatorname{mem}(\tau^{\oplus \operatorname{val}(S)})$$

where $\operatorname{val}(S) = \sum_{i \in S} 2^i$ is the value in $[2^k]$ given by the characteristic vector of S.

Intuitively, Lemma 8 gives us an easily computable mapping where any transformation of the k-bit output string can be achieved by flipping one bit of the 2^k -bit input string, with the location of this single bitflip being determined only by the locations where the current and target output strings differ.

Proof of Lemma 8. For each $J \in [2^k]$, consider the bitstring $bin(J) \in \{0,1\}^k$ corresponding to the binary representation of J. We will define our mapping mem for each input $\tau \in \{0,1\}^{2^k}$ as

$$\mathsf{mem}(\tau)_j = \bigoplus_{J \in [2^k]} \mathsf{bin}(J)_j \cdot \tau_J$$

This is clearly computable in space k + 1, as we need only store J and our current sum. Now note that for any S, flipping the value $\tau_{\mathsf{val}(S)}$ flips every $\mathsf{mem}(\tau)_j$ value where $\mathsf{bin}(\mathsf{val}(S))_j = 1$, which are exactly the values $j \in S$, and leaves all other $\mathsf{mem}(\tau)_j$ values unchanged.

At a high level, our LCSPACE[$s + (\log e + \log c + 2), (e + 1)c, e$] machine M_e works as follows. M_e will use its s bits of free memory and the first c bits of catalytic memory to represent their equivalent blocks in M_0 , i.e. s bits of free memory and c bits of catalytic memory. We will break the remaining $e \cdot c$ bits of our catalytic tape of M_e into e blocks $B_1 \dots B_e$ of size c each, and we denote by τ_i the memory in block B_i . We apply Lemma 8 with $k = \log c$ —recall that we assume c is a power of 2—and use each mem_i := mem(τ_i) to represent an additional $\log c$ bits of free memory, giving us an additional $e \log c$ bits of memory in total. Our additional workplace memory will be used to compute the mapping, serve as pointers, and other assorted tasks.

Before formally stating M_e , we mention a special case of the construction of Lemma 8, which will allow us to use it in a reversible operational manner.

Claim 9. Let $\tau_0, \tau_1, \tau_2 \dots \tau_{t-1}, \tau_t \in \{0, 1\}^{2^k}$ be such that 1) τ_i and τ_{i-1} differ in exactly one coordinate for all $i \in [t]$; 2) mem (τ_i) and mem (τ_{i-1}) differ in exactly one coordinate for all $i \in [t]$; and 3) mem $(\tau_t) = \text{mem}(\tau_0)$. Then $\tau_t = \tau_0$.

Proof. For all $i \in [t]$, let $b_i \in [k]$ be the location where $\mathsf{mem}(\tau_i)$ and $\mathsf{mem}(\tau_{i-1})$ differ, and let $\beta_i = 2^{b_i}$ be the location where τ_i and τ_{i-1} differ. Since $\mathsf{mem}(\tau_t) = \mathsf{mem}(\tau_0)$, each value $j \in [k]$ must appear an even number of times in the list $b_1 \dots b_t$, and since flipping any location $j \in [k]$ can only be obtained by flipping a unique location $J \in [2^k]$, it follows that each value $J \in [2^k]$ must appear an even number of times in the list $\beta_1 \dots \beta_t$. This means that τ_t is τ_0 with each bit flipped an even number of times, or in other words $\tau_t = \tau_0$.

We now concretely define our machine M_e :

1. Initialization: for each block B_i , calculate mem_i and flip the mem_i th element of B_i :

$$\tau_i \to \tau_i^{\oplus \mathsf{mem}(\tau_i)} \qquad \forall i \in [e]$$

Define τ_i^{enc} to be the memory after this process. Note that we now have exactly e errors on the tape, one in each τ_i^{enc} , and we are guaranteed that

$$\mathsf{mem}(\tau_i^{enc}) = 0^{\log c} \qquad \forall i \in [e]$$

2. Simulation: run M_0 using s free work bits and c catalytic bits, with the concatenation of the values mem_i as the other $e \log c$ free work bits. To do this, whenever we read or write a bit in our $e \log c$ bits of memory, we find the B_i responsible for this bit, calculate mem_i , and update τ_i using one bitflip to reflect how mem_i changes according to the operation of M_0 :

 $\tau_i^{enc} \to (\tau_i^{enc})^{\oplus 2^j}$ update occurs in bit *j* of block *i*

If mem_i is unchanged, we make no updates to τ_i .

3. Cleanup: when we reach the end of M_0 's computation, record the answer on the free work tape and set each mem_i value to $0^{\log c}$ one bit at a time:

$$\tau_i^{enc} \to (\tau_i^{enc})^{\oplus 2^j} \qquad \forall i \in [e], j : \mathsf{bin}(\mathsf{mem}(\tau_i^{enc}))_i = 1$$

Once we finish this process, we output our saved answer and halt.

The correctness of M_e is clear, as we output the same value as M_0 . Our catalytic space usage is c + ec by construction, while our free space usage is s to simulate M_0 , one extra bit to save the output, and any additional space required to handle the simulation of the additional $e \log c$ work bits. In particular, we need $\log e$ bits for a pointer into B_i and $\log c + 1$ bits for the computation of mem_i by Lemma 8, for a total space usage of $s + \log e + \log c + 2$ as claimed.

We also claim that our lossy catalytic condition is satisfied. By the property of M_0 , we make no errors on the *c* catalytic bits used for the simulation of M_0 's catalytic space. The initialization step introduces at most one error per τ_i , thus giving at most *e* errors on to the catalytic tape. After the initialization step, each other update to τ_i corresponds to changing a single bit in mem_i , with the final value being the same as the value after initialization. Thus by Claim 9 we restore the catalytic tape to its position after the initialization phase, and so our end state corresponds to our original catalytic tape with at most *e* errors, i.e. those induced by the initialization phase, as required. \Box

We now return to Theorem 6, which requires only a small modification of the above proof, namely to break the the catalytic tape into more, smaller blocks, which reduces its required length, at the cost of a few extra errors. This modification works because the number of pure bits represented is logarithmic in the length of the block, and so making the blocks smaller barely affects the number of bits represented (for example, c/2 bits in a block still lets you represent $\log(c) - 1$ bits, so half the size only loses one bit per block).

Proof of Theorem 6. Let M_0 be a $\mathsf{CSPACE}[s + e \log c, c]$ machine. We will devise a $\mathsf{LCSPACE}[s + \log c, (1 + o(1))c, (1 + \epsilon)e]$ machine M_e which simulates M_0 , where ϵ satisfies $e = o(c^{\epsilon/(1+\epsilon)})$.

An easy manipulation gives us $c = \omega(e^{1+1/\epsilon})$, and so there exists a function $\delta = \omega(1)$ such that $c \ge (\delta e)^{1+1/\epsilon}$. We will have the same approach as Theorem 7, but now we use $(1 + \epsilon)e$ blocks of length $2^{\lceil \log c/(\delta e) \rceil}$ each, i.e., using Lemma 8 for $k = \lceil \log c/(\delta e) \rceil$. Since we introduce one error per block, the number of errors the machine makes is at most $(1 + \epsilon)e$, while our total catalytic tape has length

$$c + (1+\epsilon)e \cdot 2^{\lceil \log c/(\delta e) \rceil} \le c + (1+\epsilon)e \cdot \frac{2c}{\delta e} = c \cdot (1+o(1))$$

Lastly, we can use our additional catalytic memory to simulate a work tape of length

$$(1+\epsilon)e \cdot \log 2^{\lceil \log(c/\delta e) \rceil} \geq e \cdot (1+\epsilon)\log \frac{c}{\delta e}$$

and by manipulating our starting assumption we get that

$$c \ge (\delta e)^{1+1/\epsilon}$$
$$(c/\delta e)^\epsilon \ge \delta e$$

 $\epsilon \log(c/\delta e) \ge \log \delta e$ $\log c - \log \delta e + \epsilon \log(c/\delta e) \ge \log c$ $e \cdot (1+\epsilon) \log(c/\delta e) \ge e \log c$

thus giving us a simulation of $e \log c$ work bits as claimed. The correctness and lossy catalytic condition can then be argued as above, and our free space usage is s plus an additional $\log(c \cdot o(1)) + 2 \leq \log c$ bits, for a total space usage of $s + \log c$ as claimed.

4 Further consequences

With this, we have concluded our main theorem and proof. We now move to corollaries and extensions.

4.1 Lossy catalytic logspace with superconstant errors

As stated in the introduction, it immediately follows from Theorem 1 that proving LCL[e] = CL is likely difficult, if not false, for superconstant values of e.

Proof of Corollary 2. This follows immediately from the fact that

$$\mathsf{LCSPACE}[O(\log n), \operatorname{poly} n, e] = \mathsf{CSPACE}[O(\log n + e \log(\operatorname{poly} n)), \operatorname{poly} n]$$
$$= \mathsf{CSPACE}[O(e \log n), \operatorname{poly} n]$$
$$\supseteq \mathsf{SPACE}[O(e \log n)]$$

combined with the fact that $CL \subseteq ZPP$ by [BCK⁺14].

4.2 Lossy catalytic space with other resources

As mentioned in Section 1, there are many extensions of the base catalytic model besides LCSPACE, such as randomized, non-deterministic, and non-uniform CSPACE. So far, however, there has been little discussion of classes where more than one such external resource has been utilized. In this section, we will discuss two of the aforementioned models—randomized and non-deterministic CSPACE—in the presence of errors.

Definition 4. Let f be a Boolean function on n inputs.

- A non-deterministic catalytic Turing machine for f is a catalytic machine M which, in addition to its usual input x, has access to a read-once witness string w, of length at most exponential in the total space of M, based on x, which has the following properties:
 - if f(x) = 1, then there exists a witness w such that M(x, w) = 1
 - if f(x) = 0, then for every witness string w, M(x, w) = 0

Furthermore, for every witness string w, M(x, w) restores the catalytic tape to its original configuration.

• A randomized catalytic Turing machine for f is a catalytic machine M which, in addition to its usual input x, has access to a read-once uniformly random string r, of length at most exponential in the total space of M, such that

$$\mathbf{Pr}_r[M(x,r) = f(x)] \ge 2/3.$$

Furthermore, for every witness string w, M(x, w) restores the catalytic tape to its original configuration.

We write

- NCSPACE[s, c]: the class of languages which can be recognized by non-deterministic catalytic Turing Machines with work space s := s(n) and catalytic space c := c(n).
- BPCSPACE[s, c]: the class of languages which can be recognized by randomized catalytic Turing Machines with work space s := s(n) and catalytic space c := c(n).

Furthermore, for $C \in \{\text{NCSPACE}, \text{BPCSPACE}\}\)$, we define LC[s, c, e] to be the class C[s, c] with the catalytic resetting definition replaced by the LCSPACE resetting definition, i.e., where e errors are allowed to remain on the catalytic tape at the end of any computation.

Note that we allow the errors to depend on the witness and randomness, respectively. Without delving too deep into these models, however, it is clear that our proof of Theorem 1 carries over to all the above definitions.

Proof sketch of Theorem 3. As earlier, we need to show both directions. We will prove the same two equivalences as in Theorems 4 and 6, namely

- 1. $\mathcal{LC}[s, c, e] \subseteq \mathcal{C}[s + O(e \log c), c]$
- 2. $\mathcal{C}[s + e \log c, c] \subseteq \mathcal{LC}[s + \log c, (1 + o(1))c, (1 + \epsilon)e]$

Both directions will follow immediately via the same simulation as before. For the forward direction, we simulate our LC machine by a C machine as usual, and then at the last step we correct the changes using our BCH code as before; this works just as before because the code allow us to correct any e errors on the catalytic tape, regardless of how they came about.

For the reverse direction, again our C machine will only read or write one bit per time step, and so we use the same mem_i approach to simulating our additional $e \log c$ bits of free memory, which does not change based on the operation of the rest of the machine. As in the forward direction, simulating the actual workings of the C machine via the LC machine, given our method of simulating the $e \log c$ additional bits of memory, is straightforward, and our resetting step at the end again resets our extra catalytic tape regardless of the computation path the C machine takes.

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A Simulating errors with space via reversibility

In this section we give an alternate proof of simulating LCSPACE via CSPACE, with sharper parameters than those in Theorem 4.

Theorem 10. Let s := s(n), c := c(n), e := e(n). Then

$$\mathsf{LCSPACE}[s, c, e] \subseteq \mathsf{CSPACE}[s + (e+1)\log c, c].$$

For this proof, we need to invoke a property of space-bounded machines known as *reversibility*, which we define now.

Definition 5. A Turing machine M is (strongly) reversible if the following conditions hold:

- 1. For any input x, every node v in its configuration graph G_x has both in-degree and out-degree at most one. Let $for_x(v)$ indicate the unique node with a directed edge $(v, for_x(v))$, and let $back_x(v)$ indicate the unique node with a directed edge $(back_x(v), v)$.
- 2. There exist machines M_{\rightarrow} and M_{\leftarrow} such that for every node v in the configuration graph of M, $M_{\rightarrow}(x)$ sends v to $for_x(v)$ and M_{\leftarrow} sends v to $back_x(v)$.

A classical result of Lange, McKenzie, and Tapp [LMT00] shows that every $\mathsf{SPACE}[s]$ machine can be made reversible with no additional space. Dulek [Dul] showed the same result for catalytic machines, while Gupta et al. [GJST24] extended this to catalytic machines with error; both of the latter results use a very similar Eulerian tour argument to [LMT00]. **Lemma 11.** Let M be a CSPACE[s, c] (resp. LCSPACE[s, c, e]) machine recognizing language L. Then there exists a reversible CSPACE[s, c] (resp. LCSPACE[s, c, e]) machine M' which recognizes L.

In light of Lemma 11, it seems that there is nothing interesting to be said about LCSPACE; after all, can we not simply reverse our machine to the starting point, wherein there are no errors on the catalytic tape? While this is technically true, there may be many different starting configurations which reach the same halting state (τ, v) . All such start states can and will be reached by running M_{\leftarrow} from (τ, v) for long enough, but without knowledge of which particular start state we began with, this naïve reversing procedure cannot reset our catalytic tape free of error.

Nevertheless, a small tweak on this idea, using our additional $(e+1) \log c$ bits, immediately works.

Proof of Theorem 10. Let M_e be a LCSPACE[s, c, e] machine, and by Lemma 11 we will assume M_e is reversible. We will devise a CSPACE $[s + (e + 1) \log c, c]$ machine M_0 which simulates M_e .

We will assume without loss of generality that all start and end states of a catalytic machine M are distinguished; for example, we traditionally assume any state with an all-zeroes work tape is a start state. We write $\mathsf{start}(\tau)$ to indicate the unique start state of M with initial catalytic tape τ , while we write $\mathsf{end}_x(\tau)$ to indicate the unique end state reached by M from initial state $\mathsf{start}(\tau)$ on input x.

Now let $S_{x,(\tau,v)} := {\text{start}(\tau_i)}_i$ be the set of start states such that $\text{end}_x(\tau_i) = (\tau, v)$. Since M_e is an LCSPACE[$s + \log c, c, e$] machine, each τ_i can differ from τ in at most e locations, and thus

$$|S_{x,(\tau,v)}| \le \binom{c}{\le e} \le \frac{c^{e+1}}{2}$$

Our machine M_0 thus works as follows:

- 1. initialize a counter num_start with $\log \binom{c}{\langle e}$ bits to 0
- 2. simulate M_e using $s \log c$ work bits and c catalytic bits, incrementing num_start each time we encounter a start state $start(\tau_i)$, until we reach an end state (τ, v)
- 3. record our answer and run M_e in reverse, decrementing num_start each time we encounter a start state
- 4. halt when we reach a start state and $num_start = 0$, and return our recorded answer

Clearly our algorithm outputs the correct answer, resets the catalytic tape exactly, and uses at most $s + 1 + (e + 1) \log c - 1$ bits of work memory plus c bits of catalytic memory.

We also note that reversibility can be used for Theorem 6, taking the place of the one-bit write condition.

Proof sketch of Theorem 6. We will construct an LCSPACE machine M_e to simulate our CSPACE machine M_0 . By Lemma 11 we will assume M_0 is reversible. We will act as in the old proof of Theorem 6, but instead of relying on Claim 9, i.e. resetting via flipping each bit an even number of times, we will simply run M_0 backwards at the end of our simulation. More formally our machine M_e works as follows:

- 1. initialization: for each block B_i , calculate mem_i and flip the mem_i th element of B_i . Note that after this procedure, each mem_i is the string $0^{\log c}$ and we have exactly e errors on the tape.
- 2. simulate M_0 using s free work bits and c catalytic bits, with the concatenation of the values mem_i as the other $e \log c$ free work bits. To do this, whenever we read or write to memory in B_i , we calculate the mem_i value containing this bit and update mem_i according to the operation of M_0 , using one bitflip to τ_i if mem_i is changed and doing nothing otherwise.

3. when we reach the end of M_0 's computation, we record our answer on the free work tape and run M_0 in reverse, outputting our saved answer when we finish.

By the construction in Lemma 8, it is clear that if we flip a bit b in τ to transform $mem(\tau)$ into $mem(\tau)'$, flipping the same bit b causes us to transform back into $mem(\tau)$. Therefore, the reversing procedure exactly resets the catalytic tape used to simulate our $e \log c$ bits of memory as before. \Box

We defer these discussions to the appendix for two reasons. First, the error-correcting approach more directly applies in both directions of Theorem 1; while Lemma 11 connects to Theorem 6, the Hamming code connection via Lemma 8 is still the driving force behind the construction. Second, the reliance on reversibility makes the proof unsuitable to our later generalizations from Section 4.2; in particular, both randomized and non-deterministic catalytic computations are only reversible in a limited sense, one which rules out using Lemma 11. However, as discussed in Section 4, if some other model, such as the catalytic branching program model, is amenable to reversing, these proofs may provide a direct way to extend Theorem 1.

B Space Efficient Linear Algebra on Finite Fields

B.1 The Space Complexity of Solving Linear Systems

We prove the space efficiency of various common arithmetic and linear algebra operations necessary in order to encode and decode BCH codes. First, we introduce the concept of well-endowed rings [BCP83]. This allows us to use earlier results to argue about the efficiency of various operations on rings without having to reprove those ourselves. The fields of interests are fields of the form $GF(p^{r_n})$ for a fixed prime p and a sequence r_n . Our results will apply to a field whose size increases asymptotically. Hence the uniformity of the calculations involved is important. But we assume that p is fixed for all fields.

All these results are expressed in their asymptotic complexity in terms of the size of the ring or a length function, which may be seen as a measure of the number of bits necessary to write down a value in a ring.

Definition 6. A length function ρ for a ring R is a function satisfying that for any $x, y \in R$

1.
$$\rho(x+y) \le \max\{\rho(x), \rho(y)\} + O(1)$$

2.
$$\rho(xy) \le \rho(x) + \rho(y) + O(\log \max\{\rho(x), \rho(y)\})$$

An example is the number of bits of an integer.

From here we can define well-endowed rings as those with efficient implementations of addition, negation and multiplication.

Definition 7. A ring R with length function ρ is *well endowed* if there is a succinct uniform representation in which it has efficient implementations of addition, negation and multiplication. Addition and negation are considered efficient if they can be implemented in (logspace uniform) NC⁰ and multiplication is considered efficient if it can be implemented in (logspace uniform) NC¹. The parameter for NC¹ functions is always the length function of the ring.

We now argue that basic arithmetic can be done space efficiently. This is done in the following steps. First, we argue that the polynomial ring $GF(\xi)$ is well endowed and therefore we can perform polynomial addition, negation and multiplication efficiently. Then we argue that we can use this to compute the remainder of polynomial division efficiently. This allows to find an irreducible polynomial to represent the field $GF(p^{r_n})$ in order to perform addition, negation and multiplication efficiently. We finally show that we can evaluate multiplicative inverses inefficiently and use this to do division. With inefficiently we mean in space $O(\log |F|)$ for a field F whereas addition, negation and multiplication can be performed in space $O(\log \log |F|)$. **Lemma 12.** For fixed p, the ring $GF(p)[\xi]$ is well endowed.

Proof. We argue that finite fields are well-endowed rings. First observe that GF(p) for a fixed p is always well endowed since the size of the ring is independent of n so addition, negation and multiplication can be performed in NC⁰. By Proposition 3.9 from [BCP83] this means that polynomials over $GF(p)[\xi]$ are also well endowed. The length function here is O(d) for a polynomial of degree d. Since they are well endowed, one can perform addition, negation and multiplication in space $O(\log d)$ for polynomials.

We use this to compute the remainder.

Lemma 13. Given polynomials $N(\xi)$ and $D(\xi)$ in $GF(2)[\xi]$ of degree at most r_n , it is possible to compute the remainder $R(\xi)$ using an additional $r_n + O(\log r_n)$ space. If we can overwrite $N(\xi)$ in place, the additional space necessary is $\lceil \log r_n \rceil + O(1)$.

Proof. Suppose that $\chi \in GF(p)$ is the leading coefficient of D(x), we can compute and store χ^{-1} in constant space since 2 is constant. We perform a kind of Gaussian elimination to compute the remainder:

- 1. If the degree of $D(\xi)$ exceeds the degree of $N(\xi)$ then return $N(\xi)$.
- 2. Let ψ be the leading coefficient of $N(\xi)$. Compute $N(\xi) + -\psi\chi^{-1}D(\xi)$ overwriting $N(\xi)$ in the process. Since we use fixed field GF(2), this can be done in constant depth. Repeating this for each coefficient uses $O(\log r_n)$ space for a counter. We use O(1) to store ψ and the coefficient of $N(\xi)$ during the computation. We then compute $N(\xi) + -\psi^{-1}D(\xi)$ coefficient by coefficient.
- 3. return to step 1.

Overall, we manage to compute the remainder in space $r_n + O(1)$ by copying the final remainder to a new part of the space and then updating it in place during every iteration. If we can overwrite $N(\xi)$ in the process, then the additional space required is only r_n to keep track of a counter.

We can now search for irreducible polynomials.

Lemma 14. Given a sequence of positive integers r_n and a constant prime p, it is possible to find a degree r_n irreducible polynomial in $GF(p)[\xi]$ in space $3r_n + O(\log r_n)$.

Proof. It costs d space to store a polynomial over GF(p) of degree at most d. Therefore, one can iterate over all such polynomials. If we store two such polynomials and iterate over all pairs, the first can be a candidate irreducible polynomial, while the second can be a candidate factor of the first polynomial. By using Lemma 13 to test whether or not the candidate irreducible polynomial is divisible by the candidate factor in additional space $r_n + O(\log r_n)$, we can test if the candidate irreducible. This uses an additional d space to store the remainder as it is calculated. Irreducible polynomials are guaranteed to exist, so we must find one eventually.

Together these results allow us to do division in $GF(q^r)$.

Lemma 15. Given a sequence of finite fields $F_n = GF(p^{r_n})$ for a constant prime p, it is possible to compute the multiplicative inverse of an element $x \in GF(p^{r_n})$ in additional space $4r_n + O(\log r_n)$ counting the space needed to store the irreducible polynomial.

Proof. If x = 0 then there is no multiplicative inverse. Otherwise, try multiplying x by every other possible y and taking the remainder using Lemma 13 in place in space $4r_n$) until one finds a y such that xy = 1. It takes r_n space to iterate over all possible y. For every x, y, we use another register to store xy. Storing xy needs an additional $2r_n$ space, since we first need to compute the product as a product of polynomials and only take the remainder later. Computing xy uses an additional space $O(\log r_n)$ since the ring of polynomials over GF(p) is well endowed. Finally, we can use Lemma 13 to take the remainder in place in only $\lceil \log r_n \rceil + O(1)$.

We can now finally solve linear systems.

Lemma 16. Given a sequence of finite fields $F_n = GF(p^{r_n})$ for a constant prime p, it is possible to solve a linear system of t_n equations and t_n unknowns in $2t_nr_n + 5r_n + O(\log^2 r_n + \log t_n)$ space if $t_n = O(|F_n|)$ and we count the space used to store the irreducible polynomial for our representation of $GF(p^{r_n})$.

Proof. By Proposition 4.2 from [BCP83] it is possible to compute the determinant over a well endowed ring in NC². By Lemma 12, we can perform this computation by treating elements of F_n as polynomials over GF(p) first. By Theorem 4 from [Bor77] this can be done in space $O(\log^2 \log |F_n|)$. The cost of storing the polynomial representing the remainder is $t_n r_n$ since each entry of F_n uses r_n bits and the determinant is a sum of the product of at most t_n elements. Then we can find an irreducible polynomial (or preferably access one that has been precomputed) in space $3r_n + O(\log r_n)$ by Lemma 14 and take the remainder in place with using additional space $\lceil \log t_n r_n \rceil$ by Lemma 13. This allows us to calculate determinants of $t_n \times t_n$ matrices over F_n .

We can then use Cramer's rule to solve our equation. Cramer's rule gives the solution to our system as a fraction of determinants. We use Lemma 15 to compute the multiplicative inverse of the denominator in additional space $3r_n + O(\log r_n)$. Multiplying the inverse of the denominator with the numerator using their properties as well-endowed rings and writing into a (double) register used for the multiplicative inverse in additional space $O(\log r_n)$. If we then take the remainder in place in space $O(\log r_n)$, we can compute the solution to the system in F_n .

B.2 An Overview of BCH Codes

The codes used to correct errors in our catalytic tape are so-called BCH codes (Bose–Chaudhuri–Hocquenghem codes) as described by [DORS06]. A BCH code has the following components.

- 1. An alphabet represented by a 'small' field GF(q).
- 2. Codeword length $n = q^m 1$. Each position of the codeword is represented by a member of F^* where F^* is the multiplicative group of $F = GF(q^m)$ for a fixed value m. We may call $F = GF(q^m)$ the larger field.
- 3. Distance δ .

And we make the following choices.

- 1. We set $q = p^{r_n}$ for a prime number p and r_n that depends on the size of the input tape of the machine. Here p is fixed and we set it to p = 2 in this work.
- 2. m = 1, therefore the small field equals the large field F = GF(q).
- 3. $\delta = 2e + 1$. It is well known that one needs a distance of at least 2e + 1 to be able to correct e errors.

Together these choices form a $[p^{r_n}-1, p^{r_n}-1-\delta, \delta]$ -code over an alphabet of size p^{r_n} . Ensuring that p = 2 means that we can interpret the catalytic tape as a sequence of elements in $GF(q) = GF(2^{r_n})$. Furthermore, we wish to have the property that by extending a word by a small amount we can turn any word into a codeword. We observe that codewords are defined as words that satisfy the following property for $i = 1, \ldots, \delta - 1$.

$$s_i = \sum_{x \in F^*} d_x x^i = 0 \tag{1}$$

Here the d_x represents the value of the codeword stored at position x. Now presume we have a word of length n then we extend the word by adding entries, we call the list of added entries $C \subseteq F^*$. The added values can be set arbitrarily, therefore we obtain the following equations:

$$s_i = \sum_{x \in F^*} d_x x^i = \sum_{x \in F^* \setminus C} d_x x^i + \sum_{x \in C} d_x x^i = s'_i + \sum_{x \in C} d_x x^i = 0$$
(2)

for

$$-s_i' = \sum_{x \in \mathcal{F}^* \setminus C} d_x x^i \,. \tag{3}$$

We observe that for every value of $i = 1, ..., \delta - 1$, we obtain an equation. Each equation is linear in the d_x for $x \in C$. These are the new data points we must calculate in order to turn an arbitrary word into a codeword. Overall this yields the encoding linear system with parameter $\delta - 1$ and $i = 1, ..., \delta - 1$

$$\sum_{x \in C} d_x x^i = -s'_i \tag{4}$$

In order to argue that a solution to this system always exists, we need the small field to equal the large field of the BCH code. This means m = 1. This is necessary because the value s'_i lies in the large field of the code while the values of d_x lie in the small field of the code. If these are the same, we can treat this as a linear algebra problem.

Lemma 17. By setting $|C| = 2e = \delta - 1$, adding this many members of the alphabet of a BCH code with m = 1, it is always possible to turn any string into a codeword.

Proof. As discussed, it is sufficient to show that Equation 4 always has a solution. In order to see this, observe that Equation 4 forms a linear system over the field GF(q) and that the matrix of this system is a Vandermonde matrix. Vandermonde matrices are always invertible. Thus a solution to this system always exists.

Corollary 18. Let S be a data string of n bits and $e \leq \frac{1}{2}c/\log(c)$, then there exists a BCH code, with distance $\delta = 2e + 1$ and codeword length $n + (2e + 1)\lceil \log(n + e) \rceil$.

Proof. We set $r_n = \lceil \log(n+e) \rceil$ therefore $q = 2^{\lceil \log(n+e) \rceil}$, therefore the alphabet of is of size $2^{\lceil \log(n+e) \rceil}$. We break the initial catalytic tape into blocks of length $\lceil \log(n+e) \rceil$, these blocks form the initial letters of the word. If $\lceil \log(n+e) \rceil \nmid n$, we pad the last block of the catalytic tape with additional 0's of free space, this requires at most $\lceil \log(n+e) \rceil - 1$ bits of free space. This gives a word consisting of $\lceil \frac{n}{(\lceil \log(n+e) \rceil)} \rceil$ letters. Now we use Lemma 17 and add 2e letters of size $\lceil \log(n+e) \rceil$, using $2e \lceil \log(n+e) \rceil$ of free space, such that these new members abide by Equation 4. This creates a codeword of length $n + (2e+1) \lceil \log(n+e) \rceil$ as required. \Box

Remark B.1. Note that q in this lemma is taken larger than strictly necessary. There are two requirements on q, namely q is the total number of letters we can use to construct a code-word, and that $\log(q)$ the number of bits of which one codeword exists. In this work we set r_n to the number of bits required to represent one letter of the word, which gives the following equation for r_n :

$$2^{r_n} \ge 2e + \frac{n}{r_n},$$

which our choice of r_n satisfies, but might not always be optimal.

Given that this code exists and has the correct space complexity we will show that it can be space efficiently computed. Even before doing encoding and decoding, it is required to do an initialization step:

We now argue the initialization can be done space efficiently.

Algorithm 1 Initialization

- 1: Input: $r \in \mathbb{N}$
- 2: Compute an irreducible polynomial of degree 2 in $GF(2)[\xi]$ via the procedure described in the proof of 14
- 3: Pick and save an element that is not 0 and not 1 in $GF(2^r)$. We can always pick this to be the polynomial ξ .
- 4: **return** An irreducible polynomial of degree 2^r and a generator of the multiplicative group of $GF(2^r)$.

Lemma 19. Given a sequence of fields $F_n = GF(2r_n)$, Algorithm 1 can be performed in space $3r_n + O(\log r_n)$.

Proof. We review each step of Algorithm 1 and review their space cost:

- 1. For step 1, use 14 to find an irreducible polynomial in space $3r_n + O(\log r_n)$. Only r_n is needed to store the result.
- 2. For step 2, we can pick and save the element of $GF(2)[\xi]$ corresponding to ξ . This uses O(1) space if always done the same. This does not work for $r_n = 1$, but we can assume always $r_n > 2$.

Encoding requires solving the linear equations given by Equation 4, finding the values d_x for $x \in C$, the additional blocks that were appended. Solving these linear equations requires first calculating the quantities s'_i , given by Equation 3. We use the following algorithm to calculate a specific value s_i . By stopping prematurely, we can compute s'_i .

Algorithm 2 ComputeChecks

- 1: Input: Integer i for $0 < i < \delta$, $0 \le t < q$
- 2: Open five registers to store elements of $GF(2^{r_n})$ labelled G, I, P, M, S, E for Generator, Index, Power, Multiplication, Sum and End.
- 3: Set all registers except G to 0.
- 4: Open two registers to store elements of $\{0, 1, ..., \delta 1\}$ called C and one to store i that never changes.
- 5: Assume G stores a generator of the multiplicative group of $GF(p^r)$.
- 6: $E \leftarrow G^t$ via iterated multiplication. Use register M as a counter.
- 7: $I \leftarrow G$
- 8: $P \leftarrow I^i$ via iterated multiplication. Use register C as a counter in this process.
- 9: $M \leftarrow P * d_x$.
- 10: $S \leftarrow S + M$
- 11: $P, M \leftarrow 0$.
- 12: $I \leftarrow I * G$
- 13: Return to step 8 until E = G.
- 14: **return** The value s_i in register S computed on the word.

Now we give the space complexity of Algorithm 2.

Lemma 20. Given a sequence of positive integers r_n , the s_i and s'_i can be computed in space $6r_n + 2\lceil \log \delta \rceil + O(\log r_n)$, counting the r_n space used to store the generator of the multiplicative group of $GF(2^{r_n})$ and an irreducible polynomial to represent $GF(2^{r_n})$. We assume that the generator is simple so does not use much space.

Proof. Every element of $GF(2^{r_n})$ uses space r_n . We use six of these. We also use two registers of size $\lceil \log \delta \rceil$. Multiplication and addition use overhead $O(\log r)$.

We present the BCH encoding algorithm, and argue that it is space efficient.

Algorithm	3	$Encode_{BCH}$
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- 1: Initialization: We assume that Algorithm 1 has been performed in advance.
- 2: Compute and store the s'_i .
- 3: Solve Equation 4.
- 4: Store the solution.
- 5: return (with the added entries, we have a codeword now)

Lemma 21. It is possible to encode a word of length $2^{r_n} - \delta$ with an alphabet $F_n = GF(2^{r_n})$ and distance δ as a codeword of length 2^{r_n} with an additional space overhead of $O(e \log n) = (4e+6)r_n + O(\log^2 r_n)$, for $r_n = \log(e+c) \leq \log(c) + 1$. This implements the function $Encode_{BCH}$.

Proof. We look at the space complexity of every step of the encoding procedure.

- 1. Initialization costs $3r_n + O(\log r_n)$ space by Lemma 19.
- 2. For step 2, use Algorithm 2. This means we store $2er_n$ values and use $6r_n + 2\lceil \log(\delta) \rceil + O(\log r_n)$ space.
- 3. For step 3, we use Lemma 16 which uses $(4e+5)r_n + O(\log^2 r_n)$ space.

Overall, this adds up to a space cost $(6e + 11)r_n + \lceil \log(\delta) \rceil + O(\log^2 r_n)$.

That completes encoding. We now move to our analysis of decoding. We review the mathematics of the decoding.

We now describe the theory of the decoding algorithm. Decoding follows the procedure described in [DRS04, DORS06] with some simplifications since we prioritize space over time. First, the syndrome syn(p) of a message p is computed. The syndrome is defined as the collection of the s_i values defined before. From the syndrome we compute the support of the error, $supp(p) = \{(x, p_x)_{x:p_x \neq 0}\}$ which is defined as the value of the error p_x together with its position x. Then the error can be 'subtracted' from the word to give back the original codeword. The error correction method only works if the number of errors is at most $(\delta - 1)/2$ and hence we set $\delta = 2e + 1$. It is important for space efficiency that we store only the support of the error, instead of a full error string which would require too much space. The support on the other hand uses exactly $O(e \log c)$ space.

The decoding algorithm is a variation of Berlekamp's BCH decoding algorithm. First, define the following polynomials using $M = \{x \in \mathcal{F}^* | p_x \neq 0\}$

$$\sigma(z) = \prod_{x \in M} (1 - xz) \qquad \qquad \omega(z) = \sigma(z) \sum_{x \in M} \frac{p_x xz}{1 - xz} \tag{5}$$

which both have degree at most $|M| \leq (\delta - 1)/2$. Here $\sigma(z)$ is known as the error locator polynomial since the multiplicative inverses of its roots are the locations of the errors. Similarly, $\omega(z)$ is known as the evaluator polynomial since it gives the error since $\omega(x^{-1}) = p_x \prod_{y \in M, y \neq x} (1 - yx^{-1})$. Note that since these polynomials have no common zeroes, $gcd(\sigma(z), \omega(z)) = 1$.

It turns out that $\sigma(z)$ and $\omega(z)$ are the almost unique solutions to the congruence (with parameter $\delta - 1$)

$$S(z)\sigma(z) \equiv \omega(z) \pmod{z^{\delta}} \tag{6}$$

where $S(z) = \sum_{l=1}^{\delta-1} r_l z^l$. Suppose that $\sigma'(z), \omega'(z)$ are other solutions to this congruence then

$$\omega(z)\sigma'(z) \equiv \sigma(z)S(z)\sigma'(z) \equiv \sigma(z)\omega'(z) \pmod{z^{\delta}}.$$
(7)

Therefore if we restrict the degree of both $\omega(z)$ and $\sigma(z)$ to be polynomials of degree at most $(\delta - 1)/2$ then as polynomials it is also true that $\omega(z)\sigma'(z) = \sigma(z)\omega'(z)$ and therefore that $\omega(z)/\sigma(z) = \omega'(z)/\sigma'(z)$. So if we also require that $\omega(z)$ and $\sigma(z)$ are relatively prime and $\sigma(z)$ has constant coefficient 1, then $\omega(z), \sigma(z)$ are unique. We call the linear system over GF(q) from Equation 6 the decoding linear system with parameter δ .

After setting the constant term of $\sigma(z)$ to be 1, the above congruence gives a linear system with δ unknowns and δ equations with coefficients in the field $GF(q^m)$. We use almost the same procedure as described in the encoding step and making use of Lemma 16 in order to solve this system. If less than $(\delta - 1)/2$ errors are made, a solution is guaranteed to exist. However, we cannot force $\sigma(z)$ and $\omega(z)$ to be coprime in the linear system and as a result the solution may not be unique. Suppose $\sigma(z)$ and $\omega(z)$ have a common factor $\tau(z)$. Since $\omega(z)$ must have a constant coefficient 1, the constant coefficient of $\tau(z)$ must also be 1. But then $\tau(z)$ must have degree at least 1 and therefore $\sigma(z)$ and $\omega(z)$ both have degree at least 1 too high. Therefore, if more than one solution to the system exists, some of these solutions will have too high a degree. But we can test whether or not the system has more than one solution by evaluating the determinant of the matrix of that system. If the determinant is 0, we can repeat our procedure but now with δ replaced by $\delta - 2$ to get a smaller linear system. Repeating this procedure, either we find that the determinant is always 0, meaning that there are no errors to correct, or eventually that the determinant is nonzero and we can solve for polynomials $\sigma(z), \omega(z)$.

Having solved for polynomials $\sigma(z)$ and $\omega(z)$ we can iterate over all possible values of $z \in \mathcal{F}^*$ to find all roots to $\sigma(z)$ and then compute their inverses using a similar procedure to that described in the encoding step. The evaluation of this polynomial can be done space efficiently, similar to the evaluation of s_i but much simpler in fact. Afterwards, we can evaluate $\omega(z)$ to compute the errors. This is not necessary when q = 2 and the error is guaranteed to be 1. Once these have been computed, storing the support of the error is space efficient and the catalytic tape can be corrected. This completes the decoding step. This procedure is performed by the following algorithm, and we give it space complexity.

Algorithm 4 $Decode_{BCH}$

- 1: Initialization: We assume that Algorithm 1 has been performed in advance.
- 2: Compute the syndrome using Algorithm 2
- 3: Compute the determinant Δ of linear system 6 with $j = \delta 1$. Use the method described in the proof of Lemma 16.
- 4: while $\Delta = 0$ and j > 0 do
- 5: $j \leftarrow j 2$
- 6: Compute the determinant Δ of linear system 6 with parameter j.
- 7: if $\Delta = 0$ then
- 8: Terminate the algorithm (no errors detected).
- 9: Use Lemma 16 to solve linear system 6 with parameter j.
- 10: for $i \leftarrow 0; i < j; i \leftarrow i+1$ do
- 11: Find the *i*th root, x_i^{-1} of the error locator polynomial according to some ordering.

12: Compute the quantity
$$\alpha_{x_i}^{-1} = \left(\prod_{y \in M, y \neq x} (1 - yx^{-1})\right)$$

- 13: Evaluate the evaluator polynomials and compute the errors by multiplying $\omega(x^{-1})$ by $\alpha_{x_i}^{-1}$.
- 14: Correct the corresponding error.

15: **return** (up to e errors have now been corrected)

Lemma 22. Algorithm 4 can be performed with space overhead $O(e \log n) = (6 + 4e)r_n + O(\log^2 r_n)$, for $r_n = \log(e + c) \le \log(c) + 1$, including the cost of the initialization using Algorithm 1.

Proof. We review the cost of Algorithm 4 step-by-step. Steps that use a trivial amount of space are omitted.

- 1. Initialization costs $3r_n + O(\log r_n)$ space by Lemma 19.
- 2. By Lemma 20, the cost of Algorithm 2 is $5r_n + 2\lceil \log \delta \rceil + O(\log s_i)$ not counting the space needed to store the irreducible polynomial.
- 3. Storing and computing a determinant using the method in the proof of Lemma 16 costs $2er_n + O(\log^2 r_n + \log e)$ space using access to an irreducible polynomial given in the initialization. The counter uses space $O(\log e)$.
- 6. Reuse space from step 3.
- 9. Solving the linear system by Lemma 16 uses space $4er_n + 5r_n + O(\log^2 r_n + \log e)$. We can reuse space used in step 3.
- 11. Evaluating a degree 2e polynomial can be done via Horner's method. This uses one sum register, one double sized multiplication output register and one counter register. The multiplication output register has twice the size since before taking the remainder, the full product as a polynomial has to be stored. Since the irreducible polynomial has been precomputed, and we can compute remainders in place, we can evaluate a polynomial in additional space $3r_n + \lceil \log 2e \rceil + O(\log r_n)$. Iterating over all possible solutions uses an additional r_n space. This procedure can recycle the space used in step 11. We use an additional register size $\lceil \log 2e \rceil$ to find the *i*th root. Counting the space used to store the irreducible polynomial means that this costs space $(4e + 5)r_n + O(\log^2 r_n + \log e)$.
- 12. We add a (double-sized) multiplication output register for multiplication, a register to maintain the product, and another set of registers to iterate over all possible roots. Iterating over all roots not equal to x^{-1} allows us to then compute α_{x_i} . We then take the multiplicative inverse using Lemma 15. Overall, this uses space $(4e + 6) + O(\log r_n)$ by reusing registers.
- 13. Reusing the space from steps 11 and 12 we can compute the value of the error by multiplying $\omega(x^{-1})$ by $\alpha_{x_i}^{-1}$.

This covers all steps of Algorithm 4 with significant space costs. We ignore $O(\log \delta)$ space terms here, since these are all $O(\log n)$. This adds us to $(6 + 4e)r_n + O(\log^2 r_n)$ space.