

Linear Algebra 2

Lecture #20

Cramer's rule. The adjugate matrix. Roots of polynomials

Irena Penev

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- This lecture has four parts:
 - 1 Cramer's rule

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 - ① Cramer's rule
 - ② The adjugate matrix

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 - ① Cramer's rule
 - ② The adjugate matrix
 - ③ Algebraically closed fields

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 - ① Cramer's rule
 - ② The adjugate matrix
 - ③ Algebraically closed fields
 - ④ Common roots of polynomials via determinants

1 Cramer's rule

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- For a matrix $A \in \mathbb{F}^{n \times n}$, a vector $\mathbf{b} \in \mathbb{F}^n$, and an index $j \in \{1, \dots, n\}$, we denote by $A_j(\mathbf{b})$ the matrix obtained from A by replacing the j -th column of A with \mathbf{b} .
 - For example, for

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix} \quad \text{and} \quad \mathbf{b} = \begin{bmatrix} 4 \\ 5 \\ 6 \end{bmatrix},$$

we have that

$$A_1(\mathbf{b}) = \begin{bmatrix} 4 & 1 & 1 \\ 5 & 2 & 2 \\ 6 & 0 & 3 \end{bmatrix}, \quad A_2(\mathbf{b}) = \begin{bmatrix} 1 & 4 & 1 \\ 0 & 5 & 2 \\ 0 & 6 & 3 \end{bmatrix}, \quad A_3(\mathbf{b}) = \begin{bmatrix} 1 & 1 & 4 \\ 0 & 2 & 5 \\ 0 & 0 & 6 \end{bmatrix}.$$

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- In what follows, it will be convenient to use the fraction notation in fields.

Cramer's rule

Let \mathbb{F} be a field, and let A be an invertible matrix in $\mathbb{F}^{n \times n}$, and let $\mathbf{b} \in \mathbb{F}^n$. Then the matrix-vector equation $A\mathbf{x} = \mathbf{b}$ has a unique solution, namely

$$\mathbf{x} = \left[\frac{\det(A_1(\mathbf{b}))}{\det(A)} \quad \frac{\det(A_2(\mathbf{b}))}{\det(A)} \quad \cdots \quad \frac{\det(A_n(\mathbf{b}))}{\det(A)} \right]^T.$$

- First an example, then a proof.

Example 7.7.1

Let

$$A = \begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 2 \\ 1 & 1 & 1 \end{bmatrix} \quad \text{and} \quad \mathbf{b} = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix},$$

with entries understood to be in \mathbb{Z}_3 . Solve the matrix-vector equation $A\mathbf{x} = \mathbf{b}$.

Solution.

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Solution. Note that $\det(A) = 2$, and in particular, A is invertible (by Theorem 7.4.1). So, Cramer's rule applies. We compute:

$$\bullet \det(A_1(\mathbf{b})) = \begin{vmatrix} 1 & 1 & 0 \\ 1 & 2 & 2 \\ 0 & 1 & 1 \end{vmatrix} = 2;$$

$$\bullet \det(A_2(\mathbf{b})) = \begin{vmatrix} 2 & 1 & 0 \\ 0 & 1 & 2 \\ 1 & 0 & 1 \end{vmatrix} = 1;$$

$$\bullet \det(A_3(\mathbf{b})) = \begin{vmatrix} 2 & 1 & 1 \\ 0 & 2 & 1 \\ 1 & 1 & 0 \end{vmatrix} = 0.$$

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with entries understood to be in \mathbb{Z}_3 . Solve the matrix-vector equation $A\mathbf{x} = \mathbf{b}$.

Solution (continued). By Cramer's rule, $A\mathbf{x} = \mathbf{b}$ has a unique solution, namely

$$\begin{aligned} \mathbf{x} &= \left[\frac{\det(A_1(\mathbf{b}))}{\det(A)} \quad \frac{\det(A_2(\mathbf{b}))}{\det(A)} \quad \frac{\det(A_3(\mathbf{b}))}{\det(A)} \right]^T \\ &= \left[\frac{2}{2} \quad \frac{1}{2} \quad \frac{0}{2} \right]^T \\ &= \left[1 \quad 2 \quad 0 \right]^T. \end{aligned}$$



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Proof. Since A is invertible, we know that the matrix-vector equation $A\mathbf{x} = \mathbf{b}$ has a unique solution, namely, $\mathbf{x} = A^{-1}\mathbf{b}$.

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Proof. Since A is invertible, we know that the matrix-vector equation $A\mathbf{x} = \mathbf{b}$ has a unique solution, namely, $\mathbf{x} = A^{-1}\mathbf{b}$. Now, for this solution \mathbf{x} , we set $\mathbf{x} = \begin{bmatrix} x_1 & \cdots & x_n \end{bmatrix}^T$.

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Fix an index $j \in \{1, \dots, n\}$. WTS

$$x_j = \frac{\det(A_j(\mathbf{b}))}{\det(A)}.$$

Proof (continued). Set $A = [\mathbf{a}_1 \quad \dots \quad \mathbf{a}_n]$. Then:

$$\begin{aligned} \det(A_j(\mathbf{b})) &= \det \left([\mathbf{a}_1 \quad \dots \quad \mathbf{a}_{j-1} \quad \mathbf{b} \quad \mathbf{a}_{j+1} \quad \dots \mathbf{a}_n] \right) \\ &= \det \left([\mathbf{a}_1 \quad \dots \quad \mathbf{a}_{j-1} \quad A\mathbf{x} \quad \mathbf{a}_{j+1} \quad \dots \mathbf{a}_n] \right) \\ &= \det \left(\left[\mathbf{a}_1 \quad \dots \quad \mathbf{a}_{j-1} \quad \sum_{i=1}^n x_i \mathbf{a}_i \quad \mathbf{a}_{j+1} \quad \dots \mathbf{a}_n \right] \right) \\ &\stackrel{(*)}{=} \sum_{i=1}^n x_i \det \left([\mathbf{a}_1 \quad \dots \quad \mathbf{a}_{j-1} \quad \mathbf{a}_i \quad \mathbf{a}_{j+1} \quad \dots \mathbf{a}_n] \right) \\ &\stackrel{(**)}{=} x_j \det \left([\mathbf{a}_1 \quad \dots \quad \mathbf{a}_{j-1} \quad \mathbf{a}_j \quad \mathbf{a}_{j+1} \quad \dots \mathbf{a}_n] \right) \\ &= x_j \det(A), \end{aligned}$$

where (*) follows from Proposition 7.2.1(a), and (**) follows from the fact that any matrix with two identical columns has determinant zero (by Proposition 7.1.5).

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Proof (continued). We have now shown that

$$\det(A_j(\mathbf{b})) = x_j \det(A).$$

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Proof (continued). We have now shown that

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Since A is invertible, Theorem 7.4.1 guarantees that $\det(A) \neq 0$.

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Proof (continued). We have now shown that

$$\det(A_j(\mathbf{b})) = x_j \det(A).$$

Since A is invertible, Theorem 7.4.1 guarantees that $\det(A) \neq 0$. So, we can divide both sides of the equality above by $\det(A)$ to obtain

$$x_j = \frac{\det(A_j(\mathbf{b}))}{\det(A)}.$$

This completes the argument. \square

2 The adjugate matrix

Definition

Given a field \mathbb{F} and a matrix $A \in \mathbb{F}^{n \times n}$ ($n \geq 2$), with cofactors $C_{i,j} = (-1)^{i+j} \det(A_{i,j})$ (for $i, j \in \{1, \dots, n\}$), the *cofactor matrix* of A is the matrix $[C_{i,j}]_{n \times n}$. The *adjugate matrix* (also called the *classical adjoint*) of A , denoted by $\text{adj}(A)$, is the transpose of the cofactor matrix of A , i.e.

$$\text{adj}(A) := \left([C_{i,j}]_{n \times n} \right)^T.$$

So, the i, j -th entry of $\text{adj}(A)$ is the cofactor $C_{j,i}$ (note the swapping of the indices).

Example 7.8.1

Consider the matrix

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix},$$

with entries understood to be in \mathbb{R} . Compute the cofactor and adjugate matrices of the matrix A .

Solution.

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with entries understood to be in \mathbb{R} . Compute the cofactor and adjugate matrices of the matrix A .

Solution. For all $i, j \in \{1, 2, 3\}$, we let $C_{i,j} = (-1)^{i+j} \det(A_{i,j})$. We compute (next slide):

Solution (continued). Reminder: $A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$.

$$\bullet \quad c_{1,1} = (-1)^{1+1} \begin{vmatrix} 2 & 2 \\ 0 & 3 \end{vmatrix} = 6;$$

$$\bullet \quad c_{1,2} = (-1)^{1+2} \begin{vmatrix} 0 & 2 \\ 0 & 3 \end{vmatrix} = 0;$$

$$\bullet \quad c_{1,3} = (-1)^{1+3} \begin{vmatrix} 0 & 2 \\ 0 & 0 \end{vmatrix} = 0;$$

$$\bullet \quad c_{2,1} = (-1)^{2+1} \begin{vmatrix} 1 & 1 \\ 0 & 3 \end{vmatrix} = -3;$$

$$\bullet \quad c_{2,2} = (-1)^{2+2} \begin{vmatrix} 1 & 1 \\ 0 & 3 \end{vmatrix} = 3;$$

$$\bullet \quad c_{2,3} = (-1)^{2+3} \begin{vmatrix} 1 & 1 \\ 0 & 0 \end{vmatrix} = 0;$$

$$\bullet \quad c_{3,1} = (-1)^{3+1} \begin{vmatrix} 1 & 1 \\ 2 & 2 \end{vmatrix} = 0;$$

$$\bullet \quad c_{3,2} = (-1)^{3+2} \begin{vmatrix} 1 & 1 \\ 0 & 2 \end{vmatrix} = -2;$$

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Solution (continued). So, the cofactor matrix of A is

$$\begin{bmatrix} C_{1,1} & C_{1,2} & C_{1,3} \\ C_{2,1} & C_{2,2} & C_{2,3} \\ C_{3,1} & C_{3,2} & C_{3,3} \end{bmatrix} = \begin{bmatrix} 6 & 0 & 0 \\ -3 & 3 & 0 \\ 0 & -2 & 2 \end{bmatrix}.$$

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The adjugate matrix of A is the transpose of the cofactor matrix, i.e.

$$\text{adj}(A) = \begin{bmatrix} 6 & -3 & 0 \\ 0 & 3 & -2 \\ 0 & 0 & 2 \end{bmatrix}.$$



Theorem 7.8.2

Let \mathbb{F} be a field, and let $A \in \mathbb{F}^{n \times n}$ ($n \geq 2$). Then

$$\operatorname{adj}(A) A = A \operatorname{adj}(A) = \det(A) I_n.$$

Consequently, if A is invertible, then $A^{-1} = \frac{1}{\det(A)} \operatorname{adj}(A)$.

Proof.

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Consequently, if A is invertible, then $A^{-1} = \frac{1}{\det(A)} \operatorname{adj}(A)$.

Proof. Let us first show that the first statement implies the second. Indeed, if A is invertible, then $\det(A) \neq 0$, and so if the first statement holds, then we get that

$$\left(\frac{1}{\det(A)} \operatorname{adj}(A) \right) A = A \left(\frac{1}{\det(A)} \operatorname{adj}(A) \right) = I_n,$$

and consequently, $A^{-1} = \frac{1}{\det(A)} \operatorname{adj}(A)$.

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and consequently, $A^{-1} = \frac{1}{\det(A)} \operatorname{adj}(A)$.

It remains to prove the first statement, i.e. that

$\operatorname{adj}(A) A = A \operatorname{adj}(A) = \det(A) I_n$. We will prove that $\operatorname{adj}(A) A = \det(A) I_n$; the proof of $A \operatorname{adj}(A) = \det(A) I_n$ is in the Lecture Notes.

Proof (continued). Reminder: WTS $\text{adj}(A) A = \det(A) I_n$.

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We will prove this by showing that the matrices $\text{adj}(A) A$ and $\det(A)I_n$ have the same corresponding entries.

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The i -th row of $\text{adj}(A)$ is $[C_{1,i} \quad \dots \quad C_{n,i}]$, and the j -th column of A is $[a_{1,j} \quad \dots \quad a_{n,j}]^T$.

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We will prove this by showing that the matrices $\text{adj}(A) A$ and $\det(A) I_n$ have the same corresponding entries. Fix indices $i, j \in \{1, \dots, n\}$. The i, j -th entry of the matrix $\det(A) I_n$ is $\det(A)$ if $i = j$, and is zero if $i \neq j$. We must show this holds for the i, j -th entry of the matrices $\text{adj}(A) A$ as well.

The i -th row of $\text{adj}(A)$ is $[C_{1,i} \ \dots \ C_{n,i}]$, and the j -th column of A is $[a_{1,j} \ \dots \ a_{n,j}]^T$. So, the i, j -th entry of $\text{adj}(A) A$ is $\sum_{k=1}^n a_{k,j} C_{k,i}$.

Proof (continued). Reminder: WTS $\text{adj}(A) A = \det(A) I_n$.

We will prove this by showing that the matrices $\text{adj}(A) A$ and $\det(A) I_n$ have the same corresponding entries. Fix indices $i, j \in \{1, \dots, n\}$. The i, j -th entry of the matrix $\det(A) I_n$ is $\det(A)$ if $i = j$, and is zero if $i \neq j$. We must show this holds for the i, j -th entry of the matrices $\text{adj}(A) A$ as well.

The i -th row of $\text{adj}(A)$ is $[C_{1,i} \ \dots \ C_{n,i}]$, and the j -th column of A is $[a_{1,j} \ \dots \ a_{n,j}]^T$. So, the i, j -th entry of $\text{adj}(A) A$ is $\sum_{k=1}^n a_{k,j} C_{k,i}$. We need to show that this number is equal to $\det(A)$ if $i = j$ and is zero if $i \neq j$.

Now, let B_1 be the matrix obtained by replacing the i -th column of A by the j -th column of A . Then $\det(B_1) = \sum_{k=1}^n a_{k,j} C_{k,i}$ (via Laplace expansion along the i -th column of B_1). But if $i = j$, then $\det(B_1) = \det(A)$ (because $B_1 = A$), and if $i \neq j$, then $\det(B_1) = 0$ (because B_1 has two identical columns, namely, the i -th and j -th column). \square

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Let \mathbb{F} be a field, and let $A \in \mathbb{F}^{n \times n}$ ($n \geq 2$). Then

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Consequently, if A is invertible, then $A^{-1} = \frac{1}{\det(A)} \operatorname{adj}(A)$.

Example 7.8.3

Show that the matrix

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix},$$

(with entries understood to be in \mathbb{R}) is invertible, and using Theorem 7.8.2, find its inverse A^{-1} .

Solution.

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Show that the matrix

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(with entries understood to be in \mathbb{R}) is invertible, and using Theorem 7.8.2, find its inverse A^{-1} .

Solution. The matrix A is upper triangular, and so its determinant can be computed by multiplying the entries along the main diagonal. So, $\det(A) = 1 \cdot 2 \cdot 3 = 6$.

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Show that the matrix

$$A = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix},$$

(with entries understood to be in \mathbb{R}) is invertible, and using Theorem 7.8.2, find its inverse A^{-1} .

Solution. The matrix A is upper triangular, and so its determinant can be computed by multiplying the entries along the main diagonal. So, $\det(A) = 1 \cdot 2 \cdot 3 = 6$. Since $\det(A) \neq 0$, Theorem 7.4.1 guarantees that A is invertible.

Solution (continued). Reminder: $\det(A) = 6$, A is invertible.

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In Example 7.8.1, we compute the adjugate matrix of A :

$$\operatorname{adj}(A) = \begin{bmatrix} 6 & -3 & 0 \\ 0 & 3 & -2 \\ 0 & 0 & 2 \end{bmatrix}.$$

So, by Theorem 7.8.5, we have that

$$\begin{aligned} A^{-1} &= \frac{1}{\det(A)} \operatorname{adj}(A) = \frac{1}{6} \begin{bmatrix} 6 & -3 & 0 \\ 0 & 3 & -2 \\ 0 & 0 & 2 \end{bmatrix} \\ &= \begin{bmatrix} 1 & -1/2 & 0 \\ 0 & 1/2 & -1/3 \\ 0 & 0 & 1/3 \end{bmatrix}. \end{aligned}$$



Theorem 7.8.2

Let \mathbb{F} be a field, and let $A \in \mathbb{F}^{n \times n}$ ($n \geq 2$). Then

$$\operatorname{adj}(A) A = A \operatorname{adj}(A) = \det(A) I_n.$$

Consequently, if A is invertible, then $A^{-1} = \frac{1}{\det(A)} \operatorname{adj}(A)$.

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Corollary 7.8.4

Let \mathbb{F} be a field, and let $a, b, c, d \in \mathbb{F}$. Then the matrix

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

is invertible if and only if $ad \neq bc$, and in this case, the inverse of A is given by the formula

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$$A^{-1} = \frac{1}{\det(A)} \text{adj}(A) = \frac{1}{ad-bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix},$$

which is what we needed to show. \square

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The Fundamental Theorem of Algebra

Any non-constant polynomial with complex coefficients has a complex root.

- By the Fundamental Theorem of Algebra, the field \mathbb{C} is algebraically closed.

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The Fundamental Theorem of Algebra

Any non-constant polynomial with complex coefficients has a complex root.

- By the Fundamental Theorem of Algebra, the field \mathbb{C} is algebraically closed.
- On the other hand, \mathbb{R} is not algebraically closed, and similarly, neither is \mathbb{Q} .
 - For example, the polynomial $x^2 + 1$ has no roots in \mathbb{R} (and in particular, it has no roots in \mathbb{Q}).
 - It does, however, have two complex roots, namely, i and $-i$.

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 - To see this, consider any finite field $\mathbb{F} = \{f_1, \dots, f_t\}$ ($t \geq 2$), and consider the polynomial

$$p(x) = (x - f_1) \dots (x - f_t) + 1,$$

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 - Since $\mathbb{F} = \{f_1, \dots, f_t\}$, we see that $p(x)$ has no roots in \mathbb{F} .
- Thus, of the fields that we have seen so far, namely, \mathbb{Q} , \mathbb{R} , \mathbb{C} , and \mathbb{Z}_p (where p is a prime number), only the field \mathbb{C} is algebraically closed.

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 - Since $\mathbb{F} = \{f_1, \dots, f_t\}$, we see that $p(x)$ has no roots in \mathbb{F} .
- Thus, of the fields that we have seen so far, namely, \mathbb{Q} , \mathbb{R} , \mathbb{C} , and \mathbb{Z}_p (where p is a prime number), only the field \mathbb{C} is algebraically closed.
- Other algebraically closed fields do exist, but we will not study them in this course.

Definition

An *algebraically closed field* is a field \mathbb{F} that has the property that every non-constant polynomial with coefficients in \mathbb{F} has a root in \mathbb{F} .

- It can be shown (though we will not give a formal proof) that any non-constant polynomial with coefficients in an algebraically closed field \mathbb{F} can be factored into linear terms in a unique way.

- More precisely, if $p(x)$ is a polynomial of degree $n \geq 1$, and with coefficients in an algebraically closed field \mathbb{F} , then there exist numbers $a, \alpha_1, \dots, \alpha_\ell$ in \mathbb{F} s.t. $a \neq 0$ and s.t. $\alpha_1, \dots, \alpha_\ell$ are pairwise distinct, and positive integers n_1, \dots, n_ℓ satisfying $n_1 + \dots + n_\ell = n$, s.t.

$$p(x) = a(x - \alpha_1)^{n_1} \dots (x - \alpha_\ell)^{n_\ell}.$$

Moreover, $a, \alpha_1, \dots, \alpha_\ell, n_1, \dots, n_\ell$ are uniquely determined by the polynomial $p(x)$, up to a permutation of the α_i 's and the corresponding n_i 's.

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- Here, a is the leading coefficient of $p(x)$, i.e. the coefficient in front of x^n . Numbers $\alpha_1, \dots, \alpha_\ell$ are the roots of $p(x)$ with *multiplicities* n_1, \dots, n_ℓ , respectively.

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- If we think of each α_i as being a root “ n_i times” (due to its multiplicity), then we see that the n -th degree polynomial $p(x)$ has exactly n roots in \mathbb{F} .

Definition

An *algebraically closed field* is a field \mathbb{F} that has the property that every non-constant polynomial with coefficients in \mathbb{F} has a root in \mathbb{F} .

- The discussion from the previous slide is often summarized as follows:

Every n -th degree polynomial (with $n \geq 1$) with coefficients in an algebraically closed field has exactly n roots in that field, when multiplicities are taken into account.

④ Common roots of polynomials via determinants

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4 Common roots of polynomials via determinants

- Any non-constant polynomial with coefficients in an algebraically closed field \mathbb{F} has a root in \mathbb{F} . However, there is no general formula for computing such a root.
- So, it may be surprising that, given arbitrary polynomials $p(x)$ and $q(x)$ with coefficients in an algebraically closed field \mathbb{F} , we can use determinants to determine whether $p(x)$ and $q(x)$ have a common root, i.e. whether there exists a number $x_0 \in \mathbb{F}$ for which we have $p(x_0) = 0$ and $q(x_0) = 0$ (next slide).

4 Common roots of polynomials via determinants

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- So, it may be surprising that, given arbitrary polynomials $p(x)$ and $q(x)$ with coefficients in an algebraically closed field \mathbb{F} , we can use determinants to determine whether $p(x)$ and $q(x)$ have a common root, i.e. whether there exists a number $x_0 \in \mathbb{F}$ for which we have $p(x_0) = 0$ and $q(x_0) = 0$ (next slide).
- However, the determinant in question will only tell us whether such a common root exists; it provides no information on how one might actually compute such a root.

Theorem 7.11.1

Let \mathbb{F} be an **algebraically closed field**. Let m and n be positive integers, and let $p(x) = \sum_{i=0}^m a_i x^i$ ($a_m \neq 0$) and $q(x) = \sum_{i=0}^n b_i x^i$ ($b_n \neq 0$) be polynomials with coefficients in \mathbb{F} . Let P be the $n \times (n+m)$ matrix whose j -th row (for $j \in \{1, \dots, n\}$) is

$$\left[\underbrace{0 \ \dots \ 0}_{j-1} \quad a_m \quad a_{m-1} \quad \dots \quad a_0 \quad \underbrace{0 \ \dots \ 0}_{n-j} \right],$$

and let Q be the $m \times (n+m)$ matrix whose j -th row (for $j \in \{1, \dots, m\}$) is

$$\left[\underbrace{0 \ \dots \ 0}_{j-1} \quad b_n \quad b_{n-1} \quad \dots \quad b_0 \quad \underbrace{0 \ \dots \ 0}_{m-j} \right].$$

Then $p(x)$ and $q(x)$ have a common root in \mathbb{F} iff

$$\det \left(\begin{bmatrix} P \\ -Q \end{bmatrix} \right) = 0.$$

- First a more detailed explanation of how out matrix is formed, then an example, then a proof.

- For example, if $m = 3$ and $n = 5$, so that

- $p(x) = a_3x^3 + a_2x^2 + a_1x + a_0$,
- $q(x) = b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x + b_0$,

then we have

$$\begin{bmatrix} P \\ -\bar{Q} \end{bmatrix} = \begin{bmatrix} a_3 & a_2 & a_1 & a_0 & 0 & 0 & 0 & 0 \\ 0 & a_3 & a_2 & a_1 & a_0 & 0 & 0 & 0 \\ 0 & 0 & a_3 & a_2 & a_1 & a_0 & 0 & 0 \\ 0 & 0 & 0 & a_3 & a_2 & a_1 & a_0 & 0 \\ 0 & 0 & 0 & 0 & a_3 & a_2 & a_1 & a_0 \\ \hline b_5 & b_4 & b_3 & b_2 & b_1 & b_0 & 0 & 0 \\ 0 & b_5 & b_4 & b_3 & b_2 & b_1 & b_0 & 0 \\ 0 & 0 & b_5 & b_4 & b_3 & b_2 & b_1 & b_0 \end{bmatrix}_{8 \times 8}.$$

Example 7.11.2

Determine whether the polynomials $p(x) = 5x^3 - 2x^2 + x - 4$ and $q(x) = 7x^2 - 6x - 1$ have a common complex root.

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Proof. In this case, it is easy to see that $p(1) = 0$ and $q(1) = 0$, and so 1 is a common root of $p(x)$ and $q(x)$. However, let us use Theorem 7.11.1, in order to illustrate how this theorem can be applied.

Using the notation of Theorem 7.11.1, we have that $m = 3$, $n = 2$, and the matrices P and Q are given by

- $P = \begin{bmatrix} 5 & -2 & 1 & -4 & 0 \\ 0 & 5 & -2 & 1 & -4 \end{bmatrix};$

- $Q = \begin{bmatrix} 7 & -6 & -1 & 0 & 0 \\ 0 & 7 & -6 & -1 & 0 \\ 0 & 0 & 7 & -6 & -1 \end{bmatrix}.$

Example 7.11.2

Determine whether the polynomials $p(x) = 5x^3 - 2x^2 + x - 4$ and $q(x) = 7x^2 - 6x - 1$ have a common complex root.

Proof (continued). We now have that

$$\det\left(\begin{bmatrix} P \\ Q \end{bmatrix}\right) = \begin{vmatrix} 5 & -2 & 1 & -4 & 0 \\ 0 & 5 & -2 & 1 & -4 \\ 7 & -6 & -1 & 0 & 0 \\ 0 & 7 & -6 & -1 & 0 \\ 0 & 0 & 7 & -6 & -1 \end{vmatrix} = 0.$$

Theorem 7.11.2 now guarantees that $p(x)$ and $q(x)$ have a common complex root. \square

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Let \mathbb{F} be an **algebraically closed field**. Let m and n be positive integers, and let $p(x) = \sum_{i=0}^m a_i x^i$ ($a_m \neq 0$) and $q(x) = \sum_{i=0}^n b_i x^i$ ($b_n \neq 0$) be polynomials with coefficients in \mathbb{F} . Let P be the $n \times (n+m)$ matrix whose j -th row (for $j \in \{1, \dots, n\}$) is

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Then $p(x)$ and $q(x)$ have a common root in \mathbb{F} iff

$$\det \left(\begin{bmatrix} P \\ -\bar{Q} \end{bmatrix} \right) = 0.$$

- Let's prove the theorem!

Proof.

Claim. Polynomials $p(x)$ and $q(x)$ have a common root in \mathbb{F} iff there exist non-zero polynomials $r(x)$ and $s(x)$ with coefficients in \mathbb{F} that satisfy the following:

- $\deg(r(x)) \leq n - 1$;
- $\deg(s(x)) \leq m - 1$;
- $r(x)p(x) + s(x)q(x) = 0$.

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Proof of the Claim. Suppose first that $p(x)$ and $q(x)$ have a common root in \mathbb{F} , say α . Then we set

$$r(x) := \frac{q(x)}{x-\alpha} \quad \text{and} \quad s(x) := -\frac{p(x)}{x-\alpha},$$

and we observe that $\deg(r(x)) = \deg(q(x)) - 1 = n - 1$, $\deg(s(x)) = \deg(p(x)) - 1 = m - 1$, and

$$r(x)p(x) + s(x)q(x) = \frac{q(x)p(x)}{x-\alpha} - \frac{p(x)q(x)}{x-\alpha} = 0.$$

Proof of the Claim (continued). Suppose conversely there exist non-zero polynomials $r(x)$ and $s(x)$ with coefficients in \mathbb{F} s.t.

- $\deg(r(x)) \leq n - 1$;
- $\deg(s(x)) \leq m - 1$;
- $r(x)p(x) + s(x)q(x) = 0$.

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Then $r(x)p(x)$ and $s(x)q(x)$ are non-constant polynomials with coefficients in \mathbb{F} , and they have exactly the same roots with the same corresponding multiplicities.

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Since $\deg(p(x)) = m$, we know that $p(x)$ has exactly m roots in \mathbb{F} (when multiplicities are taken into account).

- Here, we are using the fact that \mathbb{F} is algebraically closed.

Proof of the Claim (continued). Suppose conversely there exist non-zero polynomials $r(x)$ and $s(x)$ with coefficients in \mathbb{F} s.t.

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But $\deg(s(x)) \leq m - 1$, and so at least one of the roots of $p(x)$ either fails to be a root of $s(x)$, or is a root of $s(x)$ but has smaller multiplicity in $s(x)$ than in $p(x)$.

Proof of the Claim (continued). Suppose conversely there exist non-zero polynomials $r(x)$ and $s(x)$ with coefficients in \mathbb{F} s.t.

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Proof (continued). We have now proven the Claim below:

Claim. *Polynomials $p(x)$ and $q(x)$ have a common root in \mathbb{F} iff there exist non-zero polynomials $r(x)$ and $s(x)$ with coefficients in \mathbb{F} that satisfy the following:*

- $\deg(r(x)) \leq n - 1$;
- $\deg(s(x)) \leq m - 1$;
- $r(x)p(x) + s(x)q(x) = 0$.

Proof (continued). In view of the Claim, it now suffices to determine if there exist non-zero polynomials $r(x) = \sum_{i=0}^{n-1} c_i x^i$ and $s(x) = \sum_{i=0}^{m-1} d_i x^i$ s.t. $r(x)p(x) + s(x)q(x) = 0$.

Proof (continued). In view of the Claim, it now suffices to determine if there exist non-zero polynomials $r(x) = \sum_{i=0}^{n-1} c_i x^i$ and $s(x) = \sum_{i=0}^{m-1} d_i x^i$ s.t. $r(x)p(x) + s(x)q(x) = 0$.

So, we need to determine if there exist

$c_0, \dots, c_{n-1}, d_0, \dots, d_{m-1} \in \mathbb{F}$ s.t. at least one of c_0, \dots, c_{n-1} is non-zero and at least one of d_0, \dots, d_{m-1} is non-zero, and s.t.

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i \right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i \right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i \right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i \right)}_{=q(x)} = 0.$$

Proof (continued). In view of the Claim, it now suffices to determine if there exist non-zero polynomials $r(x) = \sum_{i=0}^{n-1} c_i x^i$ and $s(x) = \sum_{i=0}^{m-1} d_i x^i$ s.t. $r(x)p(x) + s(x)q(x) = 0$.

So, we need to determine if there exist

$c_0, \dots, c_{n-1}, d_0, \dots, d_{m-1} \in \mathbb{F}$ s.t. at least one of c_0, \dots, c_{n-1} is non-zero and at least one of d_0, \dots, d_{m-1} is non-zero, and s.t.

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i \right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i \right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i \right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i \right)}_{=q(x)} = 0.$$

But obviously, if c_0, \dots, c_{n-1} are all zero, then d_0, \dots, d_{m-1} are all zero, and vice versa.

Proof (continued). In view of the Claim, it now suffices to determine if there exist non-zero polynomials $r(x) = \sum_{i=0}^{n-1} c_i x^i$ and $s(x) = \sum_{i=0}^{m-1} d_i x^i$ s.t. $r(x)p(x) + s(x)q(x) = 0$.

So, we need to determine if there exist

$c_0, \dots, c_{n-1}, d_0, \dots, d_{m-1} \in \mathbb{F}$ s.t. at least one of c_0, \dots, c_{n-1} is non-zero and at least one of d_0, \dots, d_{m-1} is non-zero, and s.t.

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i\right)}_{=q(x)} = 0.$$

But obviously, if c_0, \dots, c_{n-1} are all zero, then d_0, \dots, d_{m-1} are all zero, and vice versa. So, we in fact need to determine if the above equality holds for some numbers

$c_0, \dots, c_{n-1}, d_0, \dots, d_{m-1} \in \mathbb{F}$, at least one of which is non-zero.

Proof (continued). Reminder:

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i\right)}_{=q(x)} = 0.$$

We now write the polynomial on the left-hand-side in the standard form, and we set all the coefficients that we obtain equal to zero.

- We can do this since our polynomial is identically zero, i.e. it is zero as a polynomial. This means precisely that all its coefficients are zero.

Proof (continued). Reminder:

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i\right)}_{=q(x)} = 0.$$

This yields a system of $n + m$ linear equations in the variables $c_{n-1}, \dots, c_0, d_{m-1}, \dots, d_0$ (we treat $a_m, \dots, a_0, b_n, \dots, b_0$ as constants).

Proof (continued). Reminder:

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i\right)}_{=q(x)} = 0.$$

This yields a system of $n + m$ linear equations in the variables $c_{n-1}, \dots, c_0, d_{m-1}, \dots, d_0$ (we treat $a_m, \dots, a_0, b_n, \dots, b_0$ as constants).

In each equation, we arrange the variables $c_{n-1}, \dots, c_0, d_{m-1}, \dots, d_0$ in this order from left to right. We arrange the equations for the coefficients in front of $x^{n+m-1}, \dots, x^1, x^0$ from top to bottom.

Proof (continued). Reminder:

$$\underbrace{\left(\sum_{i=0}^{n-1} c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^m a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^{m-1} d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^n b_i x^i\right)}_{=q(x)} = 0.$$

This yields a system of $n + m$ linear equations in the variables $c_{n-1}, \dots, c_0, d_{m-1}, \dots, d_0$ (we treat $a_m, \dots, a_0, b_n, \dots, b_0$ as constants).

In each equation, we arrange the variables $c_{n-1}, \dots, c_0, d_{m-1}, \dots, d_0$ in this order from left to right. We arrange the equations for the coefficients in front of $x^{n+m-1}, \dots, x^1, x^0$ from top to bottom. We then rewrite this linear system as a matrix-vector equation

$$A \begin{bmatrix} c_{n-1} & \dots & c_0 & d_{m-1} & \dots & d_0 \end{bmatrix}^T = \mathbf{0},$$

and we observe that the coefficient matrix A satisfies $A^T = \begin{bmatrix} P \\ -Q \end{bmatrix}$.

- Intermission: Let's look at an example with $m = 3$ and $n = 5$.

Intermission: Example with $m = 3$ and $n = 5$. Then

- $p(x) = a_3x^3 + a_2x^2 + a_1x + a_0$,
- $q(x) = b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x + b_0$,
- $r(x) = c_4x^4 + c_3x^3 + c_2x^2 + c_1x + c_0$,
- $s(t) = d_2x^2 + d_1x + d_0$,

then our equation becomes

$$\underbrace{\left(\sum_{i=0}^4 c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^3 a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^2 d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^5 b_i x^i\right)}_{=q(x)} = 0$$

which yields the system of linear equations on the next slide (we consider the coefficients in front of $x^7, x^6, x^5, x^4, x^3, x^2, x^1, x^0$ from top to bottom, and we arrange the variables $c_4, c_3, c_2, c_1, c_0, d_2, d_1, d_0$ from left to right).

Intermission (continued): Example with $m = 3$ and $n = 5$.

Reminder: our equation was

$$\underbrace{\left(\sum_{i=0}^4 c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^3 a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^2 d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^5 b_i x^i\right)}_{=q(x)} = 0$$

	c_4	c_3	c_2	c_1	c_0		d_2	d_1	d_0			
x^7	$a_3 c_4$					+	$b_5 d_2$			= 0		
x^6	$a_2 c_4$	+	$a_3 c_3$			+	$b_4 d_2$	+	$b_5 d_1$	= 0		
x^5	$a_1 c_4$	+	$a_2 c_3$	+	$a_3 c_2$	+	$b_3 d_2$	+	$b_4 d_1$	+ $b_5 d_0$ = 0		
x^4	$a_0 c_4$	+	$a_1 c_3$	+	$a_2 c_2$	+	$b_2 d_2$	+	$b_3 d_1$	+ $b_4 d_0$ = 0		
x^3		$a_0 c_3$	+	$a_1 c_2$	+	$a_2 c_1$	+	$b_1 d_2$	+	$b_2 d_1$	+ $b_3 d_0$ = 0	
x^2			$a_0 c_2$	+	$a_1 c_1$	+	$a_2 c_0$	+	$b_0 d_2$	+	$b_1 d_1$	+ $b_2 d_0$ = 0
x^1				$a_0 c_1$	+	$a_1 c_0$		+	$b_0 d_1$	+	$b_1 d_0$	= 0
x^0					$a_0 c_0$				+	$b_0 d_0$	= 0	

Intermission (continued): Example with $m = 3$ and $n = 5$.

Reminder: our equation was

$$\underbrace{\left(\sum_{i=0}^4 c_i x^i\right)}_{=r(x)} \underbrace{\left(\sum_{i=0}^3 a_i x^i\right)}_{=p(x)} + \underbrace{\left(\sum_{i=0}^2 d_i x^i\right)}_{=s(x)} \underbrace{\left(\sum_{i=0}^5 b_i x^i\right)}_{=q(x)} = 0$$

	c_4		c_3		c_2		c_1		c_0		d_2		d_1		d_0		
x^7	$a_3 c_4$									+	$b_5 d_2$				$= 0$		
x^6	$a_2 c_4$		+	$a_3 c_3$						+	$b_4 d_2$	+	$b_5 d_1$	$= 0$			
x^5	$a_1 c_4$		+	$a_2 c_3$	+	$a_3 c_2$				+	$b_3 d_2$	+	$b_4 d_1$	+	$b_5 d_0$	$= 0$	
x^4	$a_0 c_4$		+	$a_1 c_3$	+	$a_2 c_2$	+	$a_3 c_1$		+	$b_2 d_2$	+	$b_3 d_1$	+	$b_4 d_0$	$= 0$	
x^3				$a_0 c_3$	+	$a_1 c_2$	+	$a_2 c_1$	+	$a_3 c_0$	+	$b_1 d_2$	+	$b_2 d_1$	+	$b_3 d_0$	$= 0$
x^2						$a_0 c_2$	+	$a_1 c_1$	+	$a_2 c_0$	+	$b_0 d_2$	+	$b_1 d_1$	+	$b_2 d_0$	$= 0$
x^1								$a_0 c_1$	+	$a_1 c_0$		+	$b_0 d_1$	+	$b_1 d_0$	$= 0$	
x^0										$a_0 c_0$				+	$b_0 d_0$	$= 0$	

This linear system, in turn, translates into the following matrix-vector equation (next slide):

Intermission (continued): Example with $m = 3$ and $n = 5$.

$$\left[\begin{array}{ccccc|ccc} a_3 & 0 & 0 & 0 & 0 & b_5 & 0 & 0 \\ a_2 & a_3 & 0 & 0 & 0 & b_4 & b_5 & 0 \\ a_1 & a_2 & a_3 & 0 & 0 & b_3 & b_4 & b_5 \\ a_0 & a_1 & a_2 & a_3 & 0 & b_2 & b_3 & b_4 \\ 0 & a_0 & a_1 & a_2 & a_3 & b_1 & b_2 & b_3 \\ 0 & 0 & a_0 & a_1 & a_2 & b_0 & b_1 & b_2 \\ 0 & 0 & 0 & a_0 & a_1 & 0 & b_0 & b_1 \\ 0 & 0 & 0 & 0 & a_0 & 0 & 0 & b_0 \end{array} \right] \left[\begin{array}{c} c_4 \\ c_3 \\ c_2 \\ c_1 \\ c_0 \\ \bar{d}_2 \\ d_1 \\ d_0 \end{array} \right] = \mathbf{0}.$$

Intermission (continued): Example with $m = 3$ and $n = 5$.

$$\begin{bmatrix} a_3 & 0 & 0 & 0 & 0 & b_5 & 0 & 0 \\ a_2 & a_3 & 0 & 0 & 0 & b_4 & b_5 & 0 \\ a_1 & a_2 & a_3 & 0 & 0 & b_3 & b_4 & b_5 \\ a_0 & a_1 & a_2 & a_3 & 0 & b_2 & b_3 & b_4 \\ 0 & a_0 & a_1 & a_2 & a_3 & b_1 & b_2 & b_3 \\ 0 & 0 & a_0 & a_1 & a_2 & b_0 & b_1 & b_2 \\ 0 & 0 & 0 & a_0 & a_1 & 0 & b_0 & b_1 \\ 0 & 0 & 0 & 0 & a_0 & 0 & 0 & b_0 \end{bmatrix} \begin{bmatrix} c_4 \\ c_3 \\ c_2 \\ c_1 \\ c_0 \\ \bar{d}_2 \\ d_1 \\ d_0 \end{bmatrix} = \mathbf{0}.$$

The transpose of the coefficient matrix that we obtained is precisely the matrix

$$\begin{bmatrix} P \\ -\bar{Q} \end{bmatrix} = \begin{bmatrix} a_3 & a_2 & a_1 & a_0 & 0 & 0 & 0 & 0 \\ 0 & a_3 & a_2 & a_1 & a_0 & 0 & 0 & 0 \\ 0 & 0 & a_3 & a_2 & a_1 & a_0 & 0 & 0 \\ 0 & 0 & 0 & a_3 & a_2 & a_1 & a_0 & 0 \\ 0 & 0 & 0 & 0 & a_3 & a_2 & a_1 & a_0 \\ \hline b_5 & b_4 & b_3 & b_2 & b_1 & b_0 & 0 & 0 \\ 0 & b_5 & b_4 & b_3 & b_2 & b_1 & b_0 & 0 \\ 0 & 0 & b_5 & b_4 & b_3 & b_2 & b_1 & b_0 \end{bmatrix}_{8 \times 8}.$$

Proof (continued). We now have the following sequence of equivalent statements:

$$p(x) \text{ and } q(x) \text{ have a common root in } \mathbb{F} \iff A \begin{bmatrix} c_{n-1} & \dots & c_0 & d_{m-1} & \dots & d_0 \end{bmatrix}^T = \mathbf{0} \text{ has a non-zero solution}$$

$$\stackrel{(*)}{\iff} A \text{ is non-invertible}$$

$$\stackrel{(*)}{\iff} A^T = \begin{bmatrix} P \\ -\bar{Q} \end{bmatrix} \text{ is non-invertible}$$

$$\stackrel{(*)}{\iff} \det \left(\begin{bmatrix} P \\ -\bar{Q} \end{bmatrix} \right) = 0,$$

where all three instances of $(*)$ follow from the Invertible Matrix Theorem. \square

Theorem 7.11.1

Let \mathbb{F} be an **algebraically closed field**. Let m and n be positive integers, and let $p(x) = \sum_{i=0}^m a_i x^i$ ($a_m \neq 0$) and $q(x) = \sum_{i=0}^n b_i x^i$ ($b_n \neq 0$) be polynomials with coefficients in \mathbb{F} . Let P be the $n \times (n + m)$ matrix whose j -th row (for $j \in \{1, \dots, n\}$) is

$$\left[\underbrace{0 \ \dots \ 0}_{j-1} \quad a_m \quad a_{m-1} \quad \dots \quad a_0 \quad \underbrace{0 \ \dots \ 0}_{n-j} \right],$$

and let Q be the $m \times (n + m)$ matrix whose j -th row (for $j \in \{1, \dots, m\}$) is

$$\left[\underbrace{0 \ \dots \ 0}_{j-1} \quad b_n \quad b_{n-1} \quad \dots \quad b_0 \quad \underbrace{0 \ \dots \ 0}_{m-j} \right].$$

Then $p(x)$ and $q(x)$ have a common root in \mathbb{F} iff

$$\det \left(\begin{bmatrix} P \\ -\bar{Q} \end{bmatrix} \right) = 0.$$