

# Linear Algebra 1

## Lecture #7

Permutations and the symmetric group. Fields

Irena Penev

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- ① Permutations and the symmetric group
- ② Fields

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- The identity element of this group is the identity function  $\text{Id}_X$ .
- The inverse element of any permutation  $\pi \in \text{Sym}(X)$  is the inverse permutation  $\pi^{-1}$ .

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- Note that  $|S_n| = n!$ .

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- For example, the permutation  $\pi \in S_4$  given by
  - $\pi(1) = 3$ ,
  - $\pi(2) = 2$ ,
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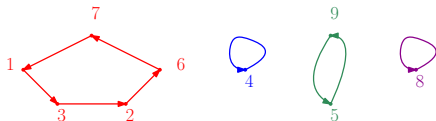
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- Suppose we are given the following permutation in  $S_9$ :

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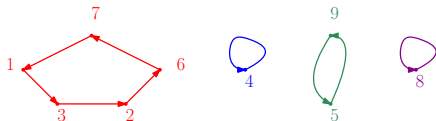
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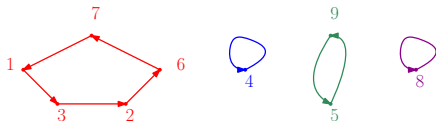
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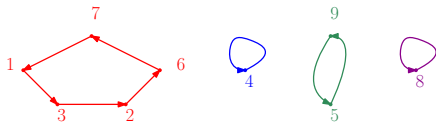


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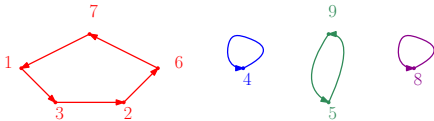
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- The above is also referred to as a “disjoint cycle decomposition” of the permutation  $\pi$ .

- Reminder:  $\pi = (13267)(4)(59)(8)$ .

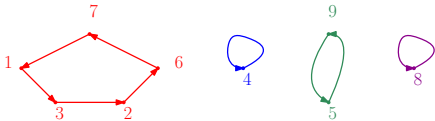


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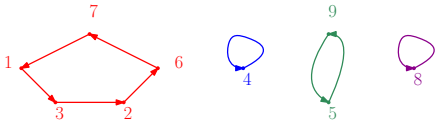
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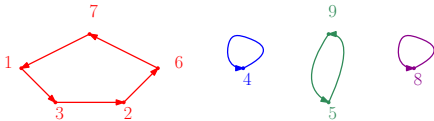
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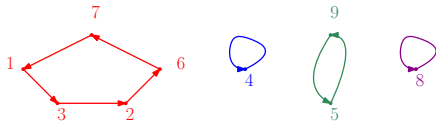


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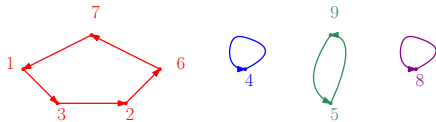


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  - within each cycle, the smallest number appears first;
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- Usually, the canonical representation is preferred, but occasionally, it may be more practical to use a non-canonical one.

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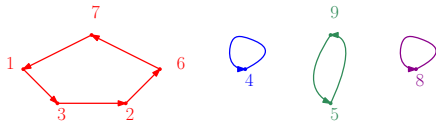


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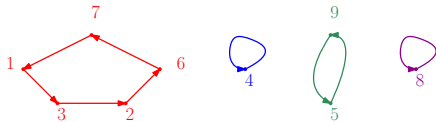
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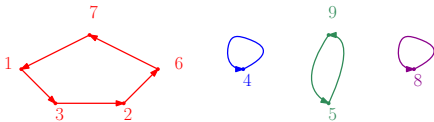


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- In this case, the cycles (4) and (8) are understood from context.
- However, we can only do this when  $n$  has been specified beforehand!
  - Otherwise, cycles of length one must be included.

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  - To avoid ambiguity, we write  $(1, 2, 3)$  or  $(12, 3)$ , as appropriate.
  - However, if we are working in  $S_n$ , where  $n$  is a single-digit number, then there is no danger of confusion, and so we normally omit commas.

### Example 2.3.1

Find the disjoint cycle decompositions of the following permutations.

$$\text{a) } \pi_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 2 & 5 & 4 & 3 & 1 \end{pmatrix}$$

$$\text{b) } \pi_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 2 & 4 & 1 & 6 & 5 \end{pmatrix}$$

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*Solution.*

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Find the disjoint cycle decompositions of the following permutations.

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- $(154362) = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 5 & 1 & 6 & 3 & 4 & 2 \end{pmatrix}.$

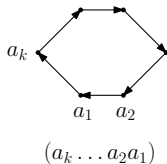
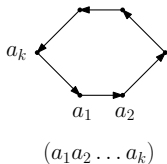
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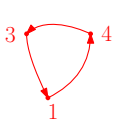
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- For example:
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- The inverse of a permutation  $\pi$  in  $S_n$  can be obtained by starting with a disjoint cycle decomposition of  $\pi$ , and then reversing the order of elements in all cycles, i.e. turning each cycle of the form  $(a_1 a_2 \dots a_k)$  into  $(a_k \dots a_2 a_1)$ .

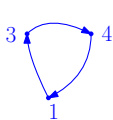
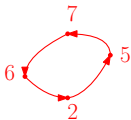


- For example, in  $S_7$ :

- if  $\pi_1 = (143)(2576)$ , then  $\pi_1^{-1} = (341)(6752) = (134)(2675)$ ;

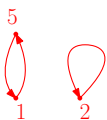


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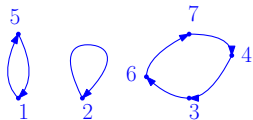
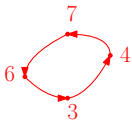


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- if  $\pi_2 = (15)(2)(3476)$ , then  $\pi_2^{-1} = (51)(2)(6743) = (15)(2)(3674)$ .



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- If we wish to emphasize  $n$  (or if we need to avoid confusion with other kinds of  $1$  that may appear in our proof/computation), then we can denote the identity permutation in  $S_n$  by  $1_n$ .

## Definition

Given a positive integer  $n$  and a permutation  $\pi \in S_n$ , the *sign* of  $\pi$ , denoted by  $\text{sgn}(\pi)$ , is given by  $\text{sgn}(\pi) = (-1)^{n-k}$ , where  $k$  is the number of cycles in the disjoint cycle decomposition of  $\pi$  **including the one-element cycles**.

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- Equivalently, for  $\pi \in S_n$ , we have that  $\text{sgn}(\pi) = (-1)^{n'-k'}$ , where  $k'$  is the number of cycles in some disjoint cycles in some disjoint cycle decomposition of  $\pi$  (possibly with some one-element cycles omitted), and  $n'$  is the number of elements in those  $k'$  cycles.

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as before.

- **Remark:** Note that for all positive integers  $n$ , the identity permutation in  $S_n$  has sign 1.
  - This is because the identity permutation in  $S_n$  has disjoint cycle decomposition  $(1)(2)\dots(n)$ , and so its sign is  $(-1)^{n-n} = (-1)^0 = 1$ .

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- **Terminology:** Permutations whose sign is  $+1$  are called *even*, and permutations whose sign is  $-1$  are called *odd*. Since the sign of the identity permutation is  $+1$ , the identity permutation is even.

### Proposition 2.3.2

Let  $n \geq 2$  be an integer, and let  $\pi$  be a permutation in  $S_n$ . Then  $\text{sgn}(\pi^{-1}) = \text{sgn}(\pi)$ .

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*Proof.* This follows from the fact that  $\pi$  and  $\pi^{-1}$  have the same number of cycles in their disjoint cycle decompositions (when the one-element cycles are included).

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  - More commonly, one-element cycles are omitted.

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- The correctness of the above can easily be verified by checking that the image of each element of  $\{1, \dots, 7\}$  under the permutations  $(134)(2657)$  and  $(13) \circ (34) \circ (26) \circ (65) \circ (57)$  is the same.

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- Moreover, this works in general, as the following proposition shows (next slide).

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*Proof.*

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$$\pi = (a_1^1 a_2^1 \dots a_{\ell_1}^1) \dots (a_1^k a_2^k \dots a_{\ell_k}^k),$$

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where the  $a_i^j$ 's are pairwise distinct, and  $\ell_1, \dots, \ell_k \geq 2$ . But then

$$\pi = (a_1^1 a_2^1) \circ (a_2^1 a_3^1) \circ \dots \circ (a_{\ell_1-1}^1 a_{\ell_1}^1) \circ \dots \circ (a_1^k a_2^k) \circ (a_2^k a_3^k) \circ \dots \circ (a_{\ell_k-1}^k a_{\ell_k}^k),$$

and so  $\pi$  is the composition of transpositions.  $\square$

### Example 2.3.4

Express each of the following permutations in  $S_6$  as the composition of transpositions.

$$\textcircled{a} \quad \pi_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 5 & 2 & 3 & 4 & 6 \end{pmatrix};$$

$$\textcircled{b} \quad \pi_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 2 & 1 & 3 & 5 & 6 & 4 \end{pmatrix};$$

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$$\textcircled{a} \quad \pi_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 5 & 2 & 3 & 4 & 6 \end{pmatrix} = (2543) = (25) \circ (54) \circ (43);$$

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$$\text{(c)} \quad \pi_3 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 6 & 5 & 2 & 1 & 4 \end{pmatrix}.$$

*Solution.*

$$\text{(a)} \quad \pi_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 5 & 2 & 3 & 4 & 6 \end{pmatrix} = (2543) = (25) \circ (54) \circ (43);$$

$$\text{(b)} \quad \pi_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 2 & 1 & 3 & 5 & 6 & 4 \end{pmatrix} = (12)(456) = (12) \circ (45) \circ (56);$$

### Example 2.3.4

Express each of the following permutations in  $S_6$  as the composition of transpositions.

$$\textcircled{a} \quad \pi_1 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 1 & 5 & 2 & 3 & 4 & 6 \end{pmatrix};$$

$$\textcircled{b} \quad \pi_2 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 2 & 1 & 3 & 5 & 6 & 4 \end{pmatrix};$$

$$\textcircled{c} \quad \pi_3 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 6 & 5 & 2 & 1 & 4 \end{pmatrix}.$$

*Solution (continued).*

$$\textcircled{c} \quad \pi_3 = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 3 & 6 & 5 & 2 & 1 & 4 \end{pmatrix} = (135)(264) = \\ (13) \circ (35) \circ (26) \circ (64).$$



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- For instance, in  $S_5$ , we have:
  - $(12345) = (12) \circ (23) \circ (34) \circ (45)$ ;
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  - $(12345) = (15) \circ (14) \circ (13) \circ (12)$ ;
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 $(35) \circ (35) \circ (23) \circ (23) \circ (15) \circ (14) \circ (13) \circ (12) \circ (35) \circ (35)$ .

- We note that the same permutation can be expressed as the composition of transpositions in more than one way.
- For instance, in  $S_5$ , we have:
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 $(35) \circ (35) \circ (23) \circ (23) \circ (15) \circ (14) \circ (13) \circ (12) \circ (35) \circ (35)$ .
- However, as we shall see, for any given permutation  $\pi$  in  $S_n$ , where  $n \geq 2$ , in all representations of  $\pi$  as a composition of transpositions, the number of transpositions is of the same parity (i.e. it is either always even or always odd).

### Theorem 2.3.6

Let  $n \geq 2$ . Then for any permutation  $\pi \in S_n$ , if  $\pi$  can be expressed as a composition of  $r$  transpositions, then

- (a)  $\text{sgn}(\pi) = (-1)^r$ ;
- (b)  $\pi$  is an even permutation iff  $r$  is even;
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### Proposition 2.3.5

Let  $n \geq 2$  be an integer. Then for all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a **transposition**, we have that  $\text{sgn}(\tau \circ \pi) = \text{sgn}(\pi \circ \tau) = -\text{sgn}(\pi)$ .

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- We first prove Theorem 2.3.6 assuming Proposition 2.3.5, and then we actually prove Proposition 2.3.5.

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*Proof (assuming Proposition 2.3.5).*

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*Proof (assuming Proposition 2.3.5).* Clearly, (b) and (c) follow from (a).



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*Proof (assuming Proposition 2.3.5).* Clearly, (b) and (c) follow from (a). Part (a) follows from Proposition 2.3.5 by an easy induction on  $r$ . Let us give the details. We prove the following statement: “for every positive integer  $r$  and permutation  $\pi \in S_n$ , if  $\pi$  is the composition of  $r$  transpositions, then  $\text{sgn}(\pi) = (-1)^r$ .”

*Proof (continued).* Reminder: WTS for every positive integer  $r$  and permutation  $\pi \in S_n$ , if  $\pi$  is the composition of  $r$  transpositions, then  $\text{sgn}(\pi) = (-1)^r$ .

*Proof (continued).* Reminder: WTS for every positive integer  $r$  and permutation  $\pi \in S_n$ , if  $\pi$  is the composition of  $r$  transpositions, then  $\text{sgn}(\pi) = (-1)^r$ .

**Base case:**  $r = 1$ . Note that if  $\pi$  is the composition of one transposition, i.e.  $\pi$  is itself a transposition, then  $\pi$  is odd, and we have that  $\text{sgn}(\pi) = -1 = (-1)^r$ .

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Now, fix a permutation  $\pi \in S_n$  in  $S_n$  s.t.  $\pi$  can be expressed as the composition of  $r + 1$  transpositions, say  $\pi = (a_0 a'_0) \circ (a_1 a'_1) \circ \cdots \circ (a_r a'_r)$ .

*Proof (continued).* Reminder: WTS for every positive integer  $r$  and permutation  $\pi \in S_n$ , if  $\pi$  is the composition of  $r$  transpositions, then  $\text{sgn}(\pi) = (-1)^r$ .

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Then by the induction hypothesis,  $\pi' := (a_1 a'_1) \circ \cdots \circ (a_r a'_r)$  satisfies  $\text{sgn}(\pi') = (-1)^r$ .



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$\text{sgn}(\pi) = -\text{sgn}(\pi') = -(-1)^r = (-1)^{r+1}$ . This completes the induction.  $\square$

### Proposition 2.3.5

Let  $n \geq 2$  be an integer. Then for all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a **transposition**, we have that  $\text{sgn}(\tau \circ \pi) = \text{sgn}(\pi \circ \tau) = -\text{sgn}(\pi)$ .

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*Proof of Proposition 2.3.5.*

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**Claim.** For all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a transposition, we have that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

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**Claim.** For all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a transposition, we have that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

*Proof of the Claim.* Fix  $\pi, \tau \in S_n$ , and assume that  $\tau = (ij)$  is a transposition (here,  $i$  and  $j$  are some two distinct elements of  $\{1, \dots, n\}$ ).

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**Claim.** For all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a transposition, we have that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

*Proof of the Claim (continued).* Reminder:  $\tau = (ij)$ .



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**Case 1:**  $i$  and  $j$  are in the same cycle of the disjoint cycle decomposition of  $\pi$ .

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*Proof of the Claim (continued).* Reminder:  $\tau = (ij)$ .

**Case 1:**  $i$  and  $j$  are in the same cycle of the disjoint cycle decomposition of  $\pi$ . After possibly swapping the order of our disjoint cycles, and cyclically permuting the elements of the cycle that contains  $i$  and  $j$ , we may assume that our disjoint cycle decomposition of  $\pi$  is given by

$$\pi = (i \ a_1 \ \dots \ a_p \ j \ b_1 \ \dots \ b_q)(c_1^1 \ \dots \ c_{\ell_1}^1) \dots (c_1^r \ \dots \ c_{\ell_r}^r).$$

**Claim.** For all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a transposition, we have that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

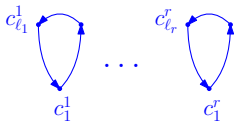
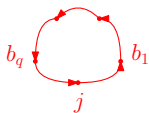
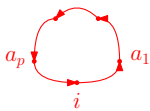
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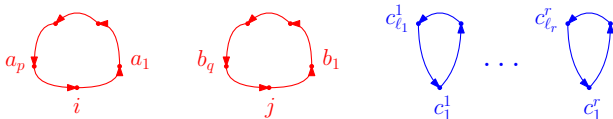
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In the permutation  $\tau \circ \pi$ , the red cycle essentially gets “split up” into two, while the blue cycles remain unaffected, as follows (next slide):

$$\begin{aligned}
 \tau \circ \pi &= (ij) \circ (i a_1 \dots a_p j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r) \\
 &= \underbrace{(i a_1 \dots a_p)(j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r)}_{=:\pi'} .
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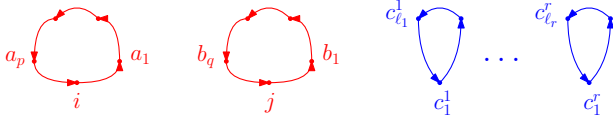


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 \tau \circ \pi &= (ij) \circ (i a_1 \dots a_p j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r) \\
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 \end{aligned}$$



We now see that the disjoint cycle decomposition of  $\tau \circ \pi$  has one cycle more than the disjoint cycle decomposition of  $\pi$ ,

$$\begin{aligned} \tau \circ \pi &= (ij) \circ (i a_1 \dots a_p j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r) \\ &= \underbrace{(i a_1 \dots a_p)(j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r)}_{=:\pi'} \end{aligned}$$



We now see that the disjoint cycle decomposition of  $\tau \circ \pi$  has one cycle more than the disjoint cycle decomposition of  $\pi$ , and it follows that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

- Indeed, the disjoint cycle decomposition of  $\pi$  has  $r + 1$  cycles, whereas the disjoint cycle decomposition of  $\tau \circ \pi$  has  $r + 2$  cycles. Therefore,  $\text{sgn}(\tau \circ \pi) = (-1)^{n-(r+2)} = (-1)^{n-(r+1)-1} = -(-1)^{n-(r+1)} = -\text{sgn}(\pi)$ .

**Claim.** For all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a transposition, we have that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

*Proof of the Claim (continued).* Reminder:  $\tau = (ij)$ .

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$$\pi = (i \ a_1 \ \dots \ a_p)(j \ b_1 \ \dots \ b_q)(c_1^1 \ \dots \ c_{\ell_1}^1) \dots (c_1^r \ \dots \ c_{\ell_r}^r)$$

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We then have that

$$\begin{aligned} \pi &= (i \ a_1 \ \dots \ a_p)(j \ b_1 \ \dots \ b_q)(c_1^1 \ \dots \ c_{\ell_1}^1) \dots (c_1^r \ \dots \ c_{\ell_r}^r) \\ &\stackrel{(*)}{=} (ij) \circ (i \ a_1 \ \dots \ a_p \ j \ b_1 \ \dots \ b_q)(c_1^1 \ \dots \ c_{\ell_1}^1) \dots (c_1^r \ \dots \ c_{\ell_r}^r), \end{aligned}$$

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where (\*) follows from the argument given in Case 1. We now compose both sides with  $\tau = (ij)$  on the left, and we obtain (next slide):

$$(ij) \circ \pi = (ij) \circ (ij) \circ (i a_1 \dots a_p j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r).$$

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Since  $(ij) = \tau$  and  $(ij) \circ (ij) = 1_n$ , we deduce that

$$\tau \circ \pi = (i a_1 \dots a_p j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r).$$

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As we can see, in the permutation  $\tau \circ \pi$ , the two red cycles of  $\pi$  essentially get “merged” into one, while the blue cycles remain unaffected.

$$(ij) \circ \pi = (ij) \circ (ij) \circ (i a_1 \dots a_p j b_1 \dots b_q)(c_1^1 \dots c_{\ell_1}^1) \dots (c_1^r \dots c_{\ell_r}^r).$$

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As we can see, in the permutation  $\tau \circ \pi$ , the two red cycles of  $\pi$  essentially get “merged” into one, while the blue cycles remain unaffected. But now the disjoint cycle decomposition of  $\tau \circ \pi$  has one cycle less than the disjoint cycle decomposition of  $\pi$ , and it follows that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ .

- Indeed, the disjoint cycle decomposition of  $\pi$  has  $r + 2$  cycles, whereas the disjoint cycle decomposition of  $\tau \circ \pi$  has  $r + 1$  cycles. Therefore,  $\text{sgn}(\tau \circ \pi) = (-1)^{n-(r+1)} = (-1)^{n-(r+2)+1} = -(-1)^{n-(r+2)} = -\text{sgn}(\pi)$ .

This completes the proof of the Claim. ♦

### Proposition 2.3.5

Let  $n \geq 2$  be an integer. Then for all  $\pi, \tau \in S_n$  s.t.  $\tau$  is a **transposition**, we have that  $\text{sgn}(\tau \circ \pi) = \text{sgn}(\pi \circ \tau) = -\text{sgn}(\pi)$ .

*Proof (continued).* We have now proven the Claim below.

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Now, fix  $\pi, \tau \in S_n$  s.t.  $\tau$  is a transposition. By the Claim, we have that  $\text{sgn}(\tau \circ \pi) = -\text{sgn}(\pi)$ . On the other hand,

$$\begin{aligned} \text{sgn}(\pi \circ \tau) &= \text{sgn}\left((\pi \circ \tau)^{-1}\right) && \text{by Proposition 2.3.2} \\ &= \text{sgn}(\tau^{-1} \circ \pi^{-1}) && \text{by Proposition 1.10.17(c)} \\ & && \text{(or by Proposition 2.2.4(f))} \\ &= \text{sgn}(\tau \circ \pi^{-1}) && \text{because } \tau \text{ is a transposition,} \\ & && \text{and so } \tau^{-1} = \tau \\ &= -\text{sgn}(\pi^{-1}) && \text{by the Claim applied to} \\ & && \pi^{-1} \text{ and } \tau \\ &= -\text{sgn}(\pi) && \text{by Proposition 2.3.2.} \end{aligned}$$



### Proposition 2.3.5

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### Theorem 2.3.6

Let  $n \geq 2$ . Then for any permutation  $\pi \in S_n$ , if  $\pi$  can be expressed as a composition of  $r$  transpositions, then

- a)  $\text{sgn}(\pi) = (-1)^r$ ;
- b)  $\pi$  is an even permutation iff  $r$  is even;
- c)  $\pi$  is an odd permutation iff  $r$  is odd.

### Theorem 2.3.7

Let  $n \geq 2$  be an integer, and let  $\sigma, \pi \in S_n$ . Then  $\text{sgn}(\sigma \circ \pi) = \text{sgn}(\sigma)\text{sgn}(\pi)$ .

*Proof.*

### Theorem 2.3.7

Let  $n \geq 2$  be an integer, and let  $\sigma, \pi \in S_n$ . Then  $\text{sgn}(\sigma \circ \pi) = \text{sgn}(\sigma)\text{sgn}(\pi)$ .

*Proof.* This easily follows from Proposition 2.3.3 and Theorem 2.3.6.

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### Theorem 2.3.7

Let  $n \geq 2$  be an integer, and let  $\sigma, \pi \in S_n$ . Then  $\text{sgn}(\sigma \circ \pi) = \text{sgn}(\sigma)\text{sgn}(\pi)$ .

*Proof.* This easily follows from Proposition 2.3.3 and Theorem 2.3.6. Let us give the details. By Proposition 2.3.3, we can express  $\sigma$  and  $\pi$  as compositions of transpositions, say

- $\sigma = (s_1 s'_1) \circ (s_2 s'_2) \circ \cdots \circ (s_k s'_k)$ ;
- $\pi = (t_1 t'_1) \circ (t_2 t'_2) \circ \cdots \circ (t_\ell t'_\ell)$ .

By Theorem 2.3.6(a), we have that  $\text{sgn}(\sigma) = (-1)^k$  and  $\text{sgn}(\pi) = (-1)^\ell$ .

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So,  $\text{sgn}(\sigma \circ \pi) = (-1)^{k+\ell} = (-1)^k (-1)^\ell = \text{sgn}(\sigma)\text{sgn}(\pi)$ .  $\square$

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- Let us show that  $(A_n, \circ)$  is a subgroup of  $(S_n, \circ)$ , where  $\circ$  is the composition of functions.
- We apply Theorem 2.2.9.

### Theorem 2.2.9

Let  $(G, \circ)$  be a group with identity element  $e$ , and with the inverse of an element  $a \in G$  denoted by  $a^{-1}$ . Then for all  $H \subseteq G$ , we have that  $(H, \circ)$  is a subgroup of  $(G, \circ)$  iff all the following hold:

- (i)  $e \in H$ ;
- (ii)  $H$  is closed under  $\circ$ , that is,  $\forall a, b \in H: a \circ b \in H$ ;
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- Finally, by Proposition 2.3.2, the sign of a permutation in  $S_n$  is equal to the sign of its inverse, and in particular, the inverse of an even permutation is even; so,  $A_n$  is closed under inverses.



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- Theorem 2.2.9 now guarantees that  $A_n$  is indeed a subgroup of  $S_n$ .

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## Definition

Let  $n$  be a positive integer. An *inversion* of a permutation  $\pi \in S_n$  is an ordered pair  $(i, j)$  of numbers in  $\{1, \dots, n\}$  s.t.  $i < j$  and  $\pi(i) > \pi(j)$ .

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## Example 2.3.8

The permutation

$$\pi = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ 2 & 1 & 3 & 6 & 5 & 4 & 7 \end{pmatrix}$$

in  $S_7$  has the following four inversions:  $(1, 2), (4, 5), (4, 6), (5, 6)$ .



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*Proof.*

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**Base case:**  $r = 0$ .

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Let  $n$  be a positive integer. Then all permutations  $\pi \in S_n$  satisfy  $\text{sgn}(\pi) = (-1)^r$ , where  $r$  is the number of inversions of  $\pi$ .

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**Induction step:** Fix a non-negative integer  $r$ , and assume inductively that any permutation in  $S_n$  that has exactly  $r$  inversions has sign  $(-1)^r$ . WTS any permutation in  $S_n$  that has exactly  $r + 1$  inversions has sign  $(-1)^{r+1}$ .

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Now, consider the transposition  $\tau := (\pi(p)\pi(p + 1))$  in  $S_n$ , and set  $\pi' := \tau \circ \pi$ , so that

$$\pi' = \begin{pmatrix} 1 & \dots & p - 1 & p & p + 1 & p + 2 & \dots & n \\ \pi(1) & \dots & \pi(p - 1) & \pi(p + 1) & \pi(p) & \pi(p + 2) & \dots & \pi(n) \end{pmatrix}.$$

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- $\pi'$  has no other inversions, and in particular  $(p, p+1)$  is **not** an inversion of  $\pi'$ .

### Theorem 2.3.9

Let  $n$  be a positive integer. Then all permutations  $\pi \in S_n$  satisfy  $\text{sgn}(\pi) = (-1)^r$ , where  $r$  is the number of inversions of  $\pi$ .

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But now

$$\begin{aligned} (-1)^r &= \text{sgn}(\pi') && \text{by the induction hypothesis,} \\ & && \text{since } \pi' \text{ has exactly } r \text{ inversions} \\ &= \text{sgn}(\tau \circ \pi) && \text{because } \pi' = \tau \circ \pi \\ &= -\text{sgn}(\pi) && \text{by Proposition 2.3.5,} \\ & && \text{since } \tau \text{ is a transposition,} \end{aligned}$$

and it follows that  $\text{sgn}(\pi) = (-1)^{r+1}$ . This completes the induction.  $\square$

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$$\pi = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ 2 & 1 & 3 & 6 & 5 & 4 & 7 \end{pmatrix}$$

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- Note that  $\pi'$  has three inversions, whereas  $\pi$  has four.

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- Note that  $\pi''$  has only one inversion (namely,  $(1, 2)$ ), whereas  $\pi$  has four.

## Definition

A *field* is an ordered triple  $(\mathbb{F}, +, \cdot)$ , where  $\mathbb{F}$  is a set, and  $+$  and  $\cdot$  are binary operations on  $\mathbb{F}$  (i.e. functions from  $\mathbb{F} \times \mathbb{F}$  to  $\mathbb{F}$ ), called *addition* and *multiplication*, respectively, satisfying the following axioms:

- 1 addition and multiplication are associative, that is, for all  $a, b, c \in \mathbb{F}$ , we have that  $a + (b + c) = (a + b) + c$  and  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$ ;
- 2 addition and multiplication are commutative, that is, for all  $a, b \in \mathbb{F}$ , we have that  $a + b = b + a$  and  $a \cdot b = b \cdot a$ ;
- 3 there exist distinct elements  $0_{\mathbb{F}}, 1_{\mathbb{F}} \in \mathbb{F}$  s.t. for all  $a \in \mathbb{F}$ ,  $a + 0_{\mathbb{F}} = a$  and  $a \cdot 1_{\mathbb{F}} = a$ ;  $0_{\mathbb{F}}$  is called the *additive identity* of  $\mathbb{F}$ , and  $1_{\mathbb{F}}$  is called the *multiplicative identity* of  $\mathbb{F}$ ;
- 4 for every  $a \in \mathbb{F}$ , there exists an element in  $\mathbb{F}$ , denoted by  $-a$  and called the *additive inverse* of  $a$ , s.t.  $a + (-a) = 0_{\mathbb{F}}$ ;
- 5 for all  $a \in \mathbb{F} \setminus \{0_{\mathbb{F}}\}$ , there exists an element in  $\mathbb{F}$ , denoted by  $a^{-1}$  and called the *multiplicative inverse* of  $a$ , s.t.  $a \cdot a^{-1} = 1_{\mathbb{F}}$ ;
- 6 multiplication is distributive over addition, that is, for all  $a, b, c \in \mathbb{F}$ , we have that  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ .

### Example 2.4.1

All the following are fields:

1  $(\mathbb{Q}, +, \cdot)$ ;

2  $(\mathbb{R}, +, \cdot)$ ;

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  - As usual, unless parentheses indicate otherwise, we perform multiplication before performing addition. So, for  $a, b, c \in \mathbb{F}$ , we write  $ab + c$  instead of  $(a \cdot b) + c$ , and similarly, we write  $a + bc$  instead of  $a + (b \cdot c)$ .

- **Remark:** Axioms 1, 2, and 3 imply that  $(\mathbb{F}, +)$  and  $(\mathbb{F}, \cdot)$  are monoids with identity elements  $0_{\mathbb{F}}$  and  $1_{\mathbb{F}}$ , respectively. Proposition 2.1.1 guarantees that  $0_{\mathbb{F}}$  and  $1_{\mathbb{F}}$  are unique.
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### Proposition 2.4.2

Let  $(\mathbb{F}, +, \cdot)$  be a field. Then all the following hold:

- **a** for all  $a \in \mathbb{F}$ ,  $0a = a0 = 0$ ;
- **b** for all  $a, b \in \mathbb{F}$ , if  $ab = 0$ , then  $a = 0$  or  $b = 0$ ;
- **c** for all  $a \in \mathbb{F}$ ,  $(-1)a = -a$ .<sup>a</sup>

---

<sup>a</sup>This statement may require some clarification. Here,  $-a$  is the additive inverse of  $a$ . On the other hand,  $(-1)a$  is the product of  $-1$  (the additive inverse of the multiplicative identity) and  $a$ . So,  $-a$  is not simply a shorthand for  $(-1)a$ . The two quantities are indeed equal, but this requires proof!

- Proof: Later!



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---

<sup>a</sup>This statement may require some clarification. Here,  $-a$  is the additive inverse of  $a$ . On the other hand,  $(-1)a$  is the product of  $-1$  (the additive inverse of the multiplicative identity) and  $a$ . So,  $-a$  is not simply a shorthand for  $(-1)a$ . The two quantities are indeed equal, but this requires proof!

- Proof: Later!
- First, some remarks (next slide).

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- ③ By axioms 2 and 6, for all  $a, b, c \in \mathbb{F}$ , we have that  $(b + c) \cdot a = (b \cdot a) + (c \cdot a)$ , or written in a simplified manner,  $(b + c)a = ba + ca$ .
  - Indeed, for  $a, b, c \in \mathbb{F}$ , we have that  $(b + c)a \stackrel{\text{ax. 2.}}{=} a(b + c) \stackrel{\text{ax. 6.}}{=} ab + ac \stackrel{\text{ax. 2.}}{=} ba + ca$ .

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First, note that

$$a0 \stackrel{(*)}{=} a(0 + 0) \stackrel{(**)}{=} a0 + a0,$$

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*Proof (continued).* Reminder:  $a0 = a0 + a0$ .

$0$	$=$	$-(a0) + a0$	because $-(a0)$ is the additive inverse of $a0$
	$=$	$-(a0) + (a0 + a0)$	because $a0 = a0 + a0$ (proven above)
	$=$	$(-(a0) + a0) + a0$	because $+$ is associative
	$=$	$0 + a0$	because $-(a0)$ is the additive inverse of $a0$
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Thus,  $a0 = 0$ . This proves (a).

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$$a = a \cdot 1 = a(bb^{-1}) \stackrel{(*)}{=} (ab)b^{-1} \stackrel{(**)}{=} 0b^{-1} \stackrel{(***)}{=} 0,$$

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where (\*) follows from (a). Consequently,

$$\begin{aligned} -a &= -a + 0 && \text{because } 0 \text{ is the additive} \\ & && \text{identity of the field } \mathbb{F} \\ &= -a + (a + (-1)a) && \text{because } 0 = a + (-1)a \\ & && \text{(proven above)} \\ &= (-a + a) + (-1)a && \text{because } + \text{ is associative} \\ &= 0 + (-1)a && \text{because } -a \text{ is the additive} \\ & && \text{inverse of } a \\ &= (-1)a && \text{because } 0 \text{ is the additive} \\ & && \text{identity of the field } \mathbb{F}. \end{aligned}$$

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Further, for all  $a \in \mathbb{Z}$ , the additive inverse of  $[a]_p$  in  $(\mathbb{Z}_p, +, \cdot)$  is  $[-a]_p$ , and so axiom 4 is satisfied.

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*Proof (continued).* Finally, by Fermat's Little Theorem, every number  $a \in \mathbb{Z}_p \setminus \{0\}$  has a multiplicative inverse, namely,  $a^{p-2}$ , and it follows that axiom 5 is satisfied.



## Fermat's Little Theorem

If  $p \in \mathbb{N}$  is a prime number and  $a \in \mathbb{Z}_p \setminus \{0\}$ , then  $a^{p-1} = 1$ .

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*Proof (continued).* Finally, by Fermat's Little Theorem, every number  $a \in \mathbb{Z}_p \setminus \{0\}$  has a multiplicative inverse, namely,  $a^{p-2}$ , and it follows that axiom 5 is satisfied.

This proves that  $(\mathbb{Z}_p, +, \cdot)$  is indeed a field, which is what we needed to show.  $\square$

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- **Remark:** For a positive integer  $n$  that is **not** prime,  $(\mathbb{Z}_n, +, \cdot)$  is not a field.
  - If  $n = 1$ , then this follows from the fact that  $\mathbb{Z}_n = \mathbb{Z}_1$  has only one element, whereas every field has at least two elements (namely, the additive and multiplicative identities, which cannot be equal by axiom 3 of the definition of a field).

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  - Now, let us suppose that  $n \geq 2$  is composite, say  $n = pq$  where  $p, q \geq 2$  are integers. Then  $[p]_n [q]_n = [pq]_n = [n]_n = 0$ . So, if  $(\mathbb{Z}_n, +, \cdot)$  were a field, Proposition 2.4.2(b) would imply that at least one of  $[p]_n$  and  $[q]_n$  is 0, a contradiction.

### Theorem 2.4.4

Let  $n \geq 2$  be an integer. Then there exists a field of size  $n$  iff  $n$  is a power of a prime.<sup>a</sup> Moreover, if  $n$  is a power of a prime, then up to “isomorphism” (i.e. up to renaming the operations and elements of the field), there is exactly one field of size  $n$ , and it is denoted by  $\mathbb{F}_n$ .<sup>b</sup>

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<sup>a</sup>“ $n$  is a power of a prime” means that there exists some prime number  $p$  and a positive integer  $m$  s.t.  $n = p^m$ .

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*Proof.* Omitted.

- **Remark:** For a prime number  $p$ , we have that  $\mathbb{F}_p = \mathbb{Z}_p$ .
  - However, if  $n = p^m$ , where  $p$  is a prime number and  $m \geq 2$  is an integer, then  $\mathbb{F}_n \neq \mathbb{Z}_n$  (this is because  $\mathbb{F}_n$  is a field, but  $\mathbb{Z}_n$  is **not** a field).



- Let  $\mathbb{F}$  be a field. For  $a \in \mathbb{F} \setminus \{0\}$ , we sometimes use the notation  $\frac{1}{a}$  instead of  $a^{-1}$  (the multiplicative inverse of  $a$  in the field  $\mathbb{F}$ ).

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- It is sometimes more convenient to use the notation  $\frac{1}{a}$  instead of  $a^{-1}$ , and  $\frac{a}{b}$  instead of  $b^{-1}a$ .
- However, when working over a finite field such as  $\mathbb{Z}_p$  (for a prime number  $p$ ), we **never** leave a fraction as a final answer, and instead, we always simplify.

## Definition

The *characteristic* of a field  $\mathbb{F}$  is the smallest positive integer  $n$  (if it exists) s.t. in the field  $\mathbb{F}$ , we have that

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