

NDMI012: Combinatorics and Graph Theory 2

Lecture #7

Perfect graphs

Irena Penev

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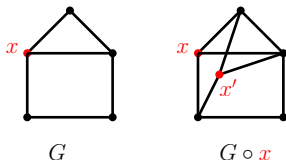
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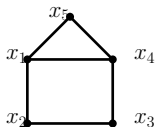
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- The Perfect Graph Theorem was originally conjectured by Berge (1961).
- Before it was proven, the Perfect Graph Theorem was known as the Weak Perfect Graph Conjecture.
- We will prove the theorem, but first we need some terminology and a lemma.

- *Duplicating a vertex x of a graph G produces a supergraph $G \circ x$ by adding to G a vertex x' and making it adjacent to all the neighbors of x in G , and to no other vertices of G (in particular, x and x' are nonadjacent in $G \circ x$).*

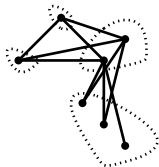


- *Vertex multiplication* of a graph G with vertex set $V(G) = \{x_1, \dots, x_n\}$ by a nonnegative integer vector $h = (h_1, \dots, h_n)$ is the graph $G \circ h$ having h_i pairwise nonadjacent copies of x_i , such that copies of x_i and x_j are adjacent in $G \circ x$ if and only if $x_i x_j \in E(G)$.



G

$$h = (1, 0, 3, 2, 1)$$



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 - A graph G is α -perfect if every induced subgraph H of G satisfies $\overline{\chi}(H) = \alpha(H)$.
- Obviously, a graph is χ -perfect (i.e. perfect) if and only if its complement is α -perfect.

Lemma 1.1 [Berge, 1961]

Vertex multiplication preserves χ -perfection and α -perfection.^a

^aThis means that for every graph G with vertex set $V(G) = \{x_1, \dots, x_n\}$, and every nonnegative integer vector $h = (h_1, \dots, h_n)$, we have the following:

- if G is χ -perfect, then so is $G \circ h$;
- if G is α -perfect, then so is $G \circ h$.

Proof.

Claim 1. *Vertex duplication preserves χ -perfection.*

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Since G is χ -perfect, we have that $\chi(G) = \omega(G)$, and we now see that $\chi(G \circ x) = \chi(G) = \omega(G) = \omega(G \circ x)$. This proves Claim 1.

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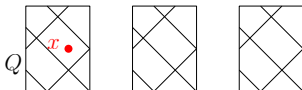
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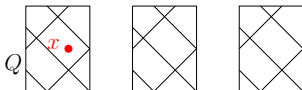
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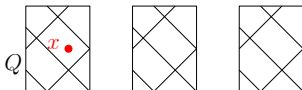
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Since $\overline{\chi}(G) = \alpha(G)$, Q intersects every maximum stable set of G .

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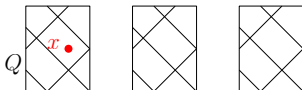
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Since $\bar{\chi}(G) = \alpha(G)$, Q intersects every maximum stable set of G . Since x belongs to no maximum stable set, $Q' = Q \setminus \{x\}$ also intersects every maximum stable set, and hence $\alpha(G \setminus Q') = \alpha(G) - 1$.

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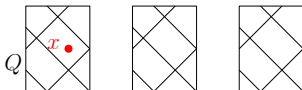
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Proof of Claim 2 (continued). We may now assume that x does not belong to any maximum stable set of G . Then $\alpha(G \circ x) = \alpha(G)$. Let Q be the clique containing x in a minimum clique cover of G .



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Proof (continued). So far, we have proven:

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Now, $G \circ h$ can be obtained from $G[A]$ by a sequence of vertex duplications: if every h_i is 0 or 1 then $G \circ h = G[A]$, and otherwise, $G \circ h$ can be obtained from $G[A]$ by repeatedly duplicating vertices until there are h_i copies of each x_i .

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Since vertex duplication preserves χ -perfection and α -perfection (by Claims 1 and 2), an easy induction now guarantees that if G is χ -perfect (resp. α -perfect), then $G \circ h$ is also χ -perfect (resp. α -perfect). This completes the argument.

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$$G \text{ is } (\chi\text{-})\text{perfect} \implies \overline{G} \text{ is } \alpha\text{-perfect} \implies \overline{G} \text{ is } (\chi\text{-})\text{perfect},$$

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Now, fix an α -perfect graph G , and assume inductively that all α -perfect graphs on fewer than $|V(G)|$ vertices are χ -perfect. We must show that G is χ -perfect.

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Proof (continued). Reminder: G is α -perfect.

Suppose first that G has a stable set S that intersects every maximum clique of G . Then by the minimality of G , $\chi(G \setminus S) = \omega(G \setminus S) = \omega(G) - 1$. But now $\chi(G) = \omega(G)$, since we can properly color $G \setminus S$ with $\omega(G) - 1$ colors, and then color all vertices of S with the same new color.

From now on, we assume that every stable set S of G misses (i.e. has an empty intersection with) some maximum clique $Q(S)$; our goal is to derive a contradiction. Set $V(G) = \{x_1, \dots, x_n\}$, and let $\mathcal{S} = \{S_1, \dots, S_t\}$ be the set of all maximal stable sets of G . For every vertex x_j , let h_j be the number of stable sets S in \mathcal{S} such that $x_j \in Q(S)$. Set $h := (h_1, \dots, h_n)$. By Lemma 1.1, $H := G \circ h$ is α -perfect, and so $\bar{\chi}(H) = \alpha(H)$.

The Perfect Graph Theorem [Lovász, 1972]

A graph is perfect if and only if its complement is perfect.

Proof (continued). Reminder: G is α -perfect; h_j is the number of S_i 's such that $x_j \in Q(S)$; $H = G \circ h$.

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Let $A = [a_{i,j}]_{t \times n}$ be a 0,1-matrix of the incidence relation between the set of $Q(S)$'s for $S \in \mathcal{S}$ and $V(G)$. So, $a_{i,j} = 1$ if and only if $x_j \in Q(S_i)$.

$$\begin{array}{c}
 Q(S_1) \\
 \vdots \\
 Q(S_i) \\
 \vdots \\
 Q(S_t)
 \end{array}
 \begin{bmatrix}
 & x_1 & \dots & x_j & \dots & x_n \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots \\
 & \vdots & & \vdots & & \vdots
 \end{bmatrix}$$

$a_{i,j}$

By construction, h_j is the number of 1's in column j of A , and $|V(H)|$ is the total number of 1's in A .

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$$\begin{array}{c}
 Q(S_1) \\
 \vdots \\
 Q(S_i) \\
 \vdots \\
 Q(S_t)
 \end{array}
 \begin{array}{c}
 x_1 \dots x_j \dots x_n \\
 \left[\begin{array}{c}
 \vdots \\
 \vdots \\
 \vdots \\
 \vdots \\
 \vdots
 \end{array} \right]
 \end{array}$$

$a_{i,j}$

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 \vdots \\
 Q(S_t)
 \end{array}
 \begin{array}{c}
 x_1 \dots x_j \dots x_n \\
 \left[\begin{array}{c}
 \vdots \\
 \vdots \\
 \vdots \\
 \vdots \\
 \vdots
 \end{array} \right]
 \end{array}$$

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Since each row contributes $\omega(G)$ ones, we have $|V(H)| = \omega(G)|S|$.

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 \vdots \\
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Since each row contributes $\omega(G)$ ones, we have $|V(H)| = \omega(G)|S|$.
 Since vertex duplication cannot enlarge cliques, we have $\omega(H) \leq \omega(G)$.

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 \begin{array}{c}
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 \left[\begin{array}{c}
 \vdots \\
 \vdots \\
 \vdots \\
 \vdots \\
 \vdots
 \end{array} \right]
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$a_{i,j}$

Since each row contributes $\omega(G)$ ones, we have $|V(H)| = \omega(G)|\mathcal{S}|$. Since vertex duplication cannot enlarge cliques, we have $\omega(H) \leq \omega(G)$. Therefore $\bar{\chi}(H) \geq \frac{|V(H)|}{\omega(H)} \geq \frac{|V(H)|}{\omega(G)} = |\mathcal{S}|$.

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 Q(S_t)
 \end{array}
 \begin{array}{c}
 x_1 \dots x_j \dots x_n \\
 \left[\begin{array}{c} \vdots \\ \vdots \\ \vdots \\ \vdots \\ \vdots \end{array} \right]
 \end{array}$$

n_{ij}

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Every stable set of H consists of copies of elements in some stable set of G ; so, a maximum stable set of H consists of all copies of all vertices in some maximal stable set of G .

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Every stable set of H consists of copies of elements in some stable set of G ; so, a maximum stable set of H consists of all copies of all vertices in some maximal stable set of G . Consequently,

$$\alpha(H) = \max_{T \in \mathcal{S}} \sum_{j: x_j \in T} h_j.$$

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The sum above counts the 1's in A that appear in the columns indexed by T .

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$$\alpha(H) = \max_{T \in \mathcal{S}} \sum_{j: x_j \in T} h_j.$$

The sum above counts the 1's in A that appear in the columns indexed by T . If we count these 1's by rows, we get

$$\alpha(H) = \max_{T \in \mathcal{S}} \sum_{S \in \mathcal{S}} |T \cap Q(S)|.$$

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 x_1 \dots x_j \dots x_n \\
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 \end{array}
 \left[\begin{array}{c} \vdots \\ \vdots \\ \vdots \\ n_{ij} \\ \vdots \end{array} \right]$$

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Since T is a stable set, it has at most one vertex in each chosen clique $Q(S)$. Also, $T \cap Q(T) = \emptyset$.

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Since T is a stable set, it has at most one vertex in each chosen clique $Q(S)$. Also, $T \cap Q(T) = \emptyset$. So, $|T \cap Q(S)| \leq 1$ for every $S \in \mathcal{S}$, and $|T \cap Q(T)| = 0$. It follows that $\alpha(H) \leq |\mathcal{S}| - 1$. Therefore $\alpha(H) < \bar{\chi}(H)$, contrary to the fact that H is α -perfect.

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- We say that $x, y \in X$ are *comparable* with respect to \preceq if either $x \preceq y$ or $y \preceq x$; two elements of X are *incomparable* with respect to \preceq if they are not comparable with respect to \preceq .

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- An *antichain* in (X, \preceq) is a set $A \subseteq X$ such that no two elements of A are comparable with respect to \preceq .

Dilworth's theorem

In any finite partially ordered set (X, \preceq) , the maximum size of an antichain is equal to the minimum size of a chain decomposition of (X, \preceq) .

Proof.

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Proof (continued). Since (X, \preceq) is a nonempty, finite partial order, we see that (X, \preceq) has a maximal element, say x_0 .

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Claim 1. Any antichain of size k in (X_0, \preceq) intersects each of C_1, \dots, C_k in exactly one element.

Proof of Claim 1.

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Claim 1. *Any antichain of size k in (X_0, \preceq) intersects each of C_1, \dots, C_k in exactly one element.*

Proof of Claim 1. Let B be a antichain of size k in (X_0, \preceq) .

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Claim 1. *Any antichain of size k in (X_0, \preceq) intersects each of C_1, \dots, C_k in exactly one element.*

Proof of Claim 1. Let B be a antichain of size k in (X_0, \preceq) . Since B is a antichain and C_1, \dots, C_k are chains in (X_0, \preceq) , we see that B intersects each of C_1, \dots, C_k in at most one element.

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Proof of Claim 1. Let B be a antichain of size k in (X_0, \preceq) . Since B is a antichain and C_1, \dots, C_k are chains in (X_0, \preceq) , we see that B intersects each of C_1, \dots, C_k in at most one element. But since $B \subseteq C_1 \cup \dots \cup C_k$, and since $|B| = k$, we see that B intersects each of C_1, \dots, C_k in exactly one element. This proves Claim 1.

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Proof (continued). Suppose first that $\{x_0, x_1, \dots, x_k\}$ is an antichain in (X, \preceq) . Then this antichain is of size $k + 1$, and $\{C_1, \dots, C_k, \{x_0\}\}$ is a chain decomposition of (X, \preceq) of size $k + 1$, and we are done.

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Definition

A *comparability graph* (or a *transitively orientable graph*) is a graph G such that there exists a partial order \preceq on $V(G)$ such that for all distinct $x, y \in V(G)$, we have that $xy \in E(G)$ if and only if x and y are comparable with respect to \preceq .

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- Equivalently, G is a comparability graph if there exists an orientation $\vec{G} = (V(G), A(G))$ of G such that for all $\vec{uv}, \vec{vw} \in A(G)$, we have that $\vec{uw} \in A(G)$.

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- Note that in a comparability graph, cliques correspond to chains, and stable sets correspond to antichains.

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Corollary 2.1

Every comparability graph is perfect. The complement of any comparability graph is perfect.

Proof (outline). In view of the Perfect Graph Theorem, it suffices to show that the complement of any comparability graph is perfect. But this follows from Dilworth's theorem by an easy induction (details: Lecture Notes).

Lemma 3.1

Every bipartite graph is perfect.

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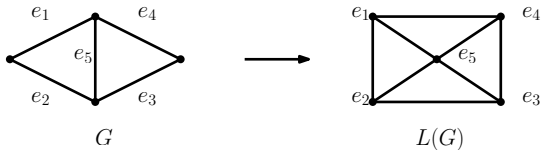
Lemma 3.2

The complement of any bipartite graph is perfect.

Proof. This follows immediately from Lemma 3.1 and the Perfect Graph Theorem.

Definition

Given a graph G , the *line graph* of G , denoted by $L(G)$, is the graph with vertex set $E(G)$, in which distinct $e, f \in E(G)$ are adjacent if and only if they share an endpoint in G .



Lemma 3.3

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Lemma 3.4

The complement of the line graph of any bipartite graph is perfect.

Proof. This follows immediately from Lemma 3.3 and the Perfect Graph Theorem.

Definition

A *hole* in a graph G is an induced cycle of length at least four. An *antihole* in G is an induced subgraph H of G such that \overline{H} is a hole in \overline{G} .

- Holes:



C_4



C_5



C_6



C_7

- Antiholes:



$\overline{C_4} \cong 2K_2$



$\overline{C_5} \cong C_5$



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An *odd hole* (resp. *odd antihole*) is a hole (resp. antihole) that has an odd number of vertices.

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A graph is perfect if and only if it is Berge.

- Clearly, a graph is Berge if and only if its complement is Berge.
- So, the Strong Perfect Graph Theorem immediately implies the Perfect Graph Theorem.

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 - $\omega(C_{2n+1}) = 2$ and $\chi(C_{2n+1}) = 3$;
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- So, odd holes and antihole are imperfect, and therefore, no perfect graph contains an odd hole or an odd antihole. Thus, every perfect graph is Berge.

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 - The proof of this decomposition theorem is by far the most complicated part of the proof of the Strong Perfect Graph Theorem, and it is over 100 pages long.
- The “basic” graphs are bipartite graphs and their complements, line graphs of bipartite graphs, complements of line graphs of bipartite graphs, and “double split” graphs (we omit the definition).
- All basic graphs are perfect: we proved this for the first four types of basic graphs, and the proof for double split graphs is easy.

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- It now follows that all Berge graphs are perfect.

Algorithmic considerations:

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- Grötschel, Lovász, and Schrijver (1981) showed that the following optimization problems can be solved in polynomial time for perfect graphs: MAXIMUM CLIQUE, MAXIMUM STABLE SET, GRAPH COLORING (i.e. VERTEX COLORING), and MINIMUM CLIQUE COVER.

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 - In fact, weighted versions of these problems can also be solved in polynomial time.