

# NDMI011: Combinatorics and Graph Theory 1

## Lecture #12

Bounding the number of edges in graphs without certain subgraphs

Irena Penev

December 22, 2020

## Definition

A graph is said to be *triangle-free* if it does not contain  $K_3$  as a subgraph. Equivalently, a graph  $G$  is triangle-free if  $\omega(G) \leq 2$ .

## Definition

A graph is said to be *triangle-free* if it does not contain  $K_3$  as a subgraph. Equivalently, a graph  $G$  is triangle-free if  $\omega(G) \leq 2$ .

## Theorem 1.1

Let  $n$  be a positive integer. Then

- (a) any triangle-free graph on  $n$  vertices has at most  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges;
- (b) there exists a triangle-free graph on  $n$  vertices that has precisely  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges.

*Proof (outline).*

## Definition

A graph is said to be *triangle-free* if it does not contain  $K_3$  as a subgraph. Equivalently, a graph  $G$  is triangle-free if  $\omega(G) \leq 2$ .

## Theorem 1.1

Let  $n$  be a positive integer. Then

- (a) any triangle-free graph on  $n$  vertices has at most  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges;
- (b) there exists a triangle-free graph on  $n$  vertices that has precisely  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges.

*Proof (outline).* For (b), we observe that the complete bipartite graph  $K_{\lfloor n/2 \rfloor, \lceil n/2 \rceil}$  is triangle-free and has precisely  $n$  vertices and  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$  edges.

## Definition

A graph is said to be *triangle-free* if it does not contain  $K_3$  as a subgraph. Equivalently, a graph  $G$  is triangle-free if  $\omega(G) \leq 2$ .

## Theorem 1.1

Let  $n$  be a positive integer. Then

- (a) any triangle-free graph on  $n$  vertices has at most  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges;
- (b) there exists a triangle-free graph on  $n$  vertices that has precisely  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges.

*Proof (outline).* For (b), we observe that the complete bipartite graph  $K_{\lfloor n/2 \rfloor, \lceil n/2 \rceil}$  is triangle-free and has precisely  $n$  vertices and  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$  edges.

Assume inductively that (a) holds for all  $n' < n$ ; we must prove it for  $n$ .

## Definition

A graph is said to be *triangle-free* if it does not contain  $K_3$  as a subgraph. Equivalently, a graph  $G$  is triangle-free if  $\omega(G) \leq 2$ .

## Theorem 1.1

Let  $n$  be a positive integer. Then

- (a) any triangle-free graph on  $n$  vertices has at most  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges;
- (b) there exists a triangle-free graph on  $n$  vertices that has precisely  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges.

*Proof (outline).* For (b), we observe that the complete bipartite graph  $K_{\lfloor n/2 \rfloor, \lceil n/2 \rceil}$  is triangle-free and has precisely  $n$  vertices and  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil$  edges.

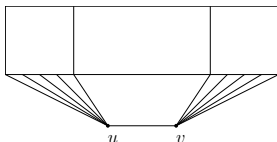
Assume inductively that (a) holds for all  $n' < n$ ; we must prove it for  $n$ . For  $n = 1$  and  $n = 2$ , this is obvious. So, suppose  $n \geq 3$ , and let  $G$  be a triangle-free graph on  $n$  vertices.

## Theorem 1.1

Let  $n$  be a positive integer. Then

- (a) any triangle-free graph on  $n$  vertices has at most  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges;
- (b) there exists a triangle-free graph on  $n$  vertices that has precisely  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges.

*Proof (outline, continued).* WMA  $G$  has at least one edge (say,  $uv$ ), for otherwise we are done. No vertex in  $V(G) \setminus \{u, v\}$  is adjacent to both  $u$  and  $v$  (otherwise, we'd get a triangle).

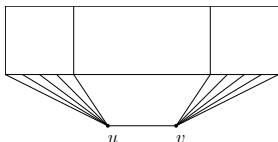


## Theorem 1.1

Let  $n$  be a positive integer. Then

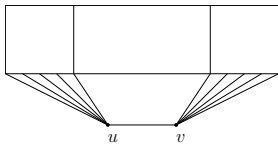
- (a) any triangle-free graph on  $n$  vertices has at most  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges;
- (b) there exists a triangle-free graph on  $n$  vertices that has precisely  $\lfloor \frac{n}{2} \rfloor \lceil \frac{n}{2} \rceil = \lfloor \frac{n^2}{4} \rfloor$  edges.

*Proof (outline, continued).* WMA  $G$  has at least one edge (say,  $uv$ ), for otherwise we are done. No vertex in  $V(G) \setminus \{u, v\}$  is adjacent to both  $u$  and  $v$  (otherwise, we'd get a triangle).

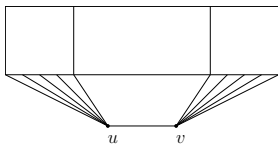


By the induction hypothesis,  $|E(G \setminus \{u, v\})| \leq \lfloor \frac{(n-2)^2}{4} \rfloor$ .





*Proof (outline, continued).* Reminder:  $|E(G \setminus \{u, v\})| \leq \lfloor \frac{(n-2)^2}{4} \rfloor$ .



*Proof (outline, continued).* Reminder:  $|E(G \setminus \{u, v\})| \leq \lfloor \frac{(n-2)^2}{4} \rfloor$ .

Since the edges of  $G$  are precisely the edges of  $G \setminus \{u, v\}$ , plus the edges between  $\{u, v\}$  and  $V(G) \setminus \{u, v\}$ , plus the edge  $uv$ , we see that

$$\begin{aligned}
 |E(G)| &\leq \lfloor \frac{(n-2)^2}{4} \rfloor + (n-2) + 1 \\
 &= \lfloor \frac{n^2 - 4n + 4}{4} \rfloor + n - 1 \\
 &= \lfloor \frac{n^2}{4} \rfloor,
 \end{aligned}$$

which is what we needed to show.

## The Cauchy-Schwarz inequality

All real numbers  $a_1, \dots, a_n, b_1, \dots, b_n$  satisfy

$$\left( \sum_{i=1}^n a_i b_i \right)^2 \leq \left( \sum_{i=1}^n a_i^2 \right) \left( \sum_{i=1}^n b_i^2 \right).$$

## The Cauchy-Schwarz inequality

All real numbers  $a_1, \dots, a_n, b_1, \dots, b_n$  satisfy

$$\left( \sum_{i=1}^n a_i b_i \right)^2 \leq \left( \sum_{i=1}^n a_i^2 \right) \left( \sum_{i=1}^n b_i^2 \right).$$

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.



$C_4$

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline).*

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline).* Let  $G$  be a graph on  $n$  vertices, and assume that  $G$  does not contain  $C_4$  as a subgraph.

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline).* Let  $G$  be a graph on  $n$  vertices, and assume that  $G$  does not contain  $C_4$  as a subgraph. Clearly, we may assume that  $G$  has no isolated vertices.

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline).* Let  $G$  be a graph on  $n$  vertices, and assume that  $G$  does not contain  $C_4$  as a subgraph. Clearly, we may assume that  $G$  has no isolated vertices. Let  $d_1, \dots, d_n$  be the degrees of the vertices of  $G$ ; since  $G$  has no isolated vertices, we see that  $d_1, \dots, d_n \geq 1$ .

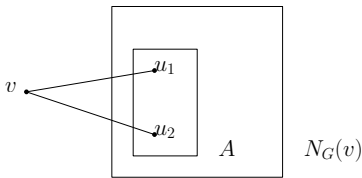


## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline).* Let  $G$  be a graph on  $n$  vertices, and assume that  $G$  does not contain  $C_4$  as a subgraph. Clearly, we may assume that  $G$  has no isolated vertices. Let  $d_1, \dots, d_n$  be the degrees of the vertices of  $G$ ; since  $G$  has no isolated vertices, we see that  $d_1, \dots, d_n \geq 1$ .

Let  $M = \{(v, A) \mid v \in V(G), A \in \binom{N_G(v)}{2}\}$ .



Now, we will count the number of elements of  $M$  in two ways.

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  
 $M = \{(v, A) \mid v \in V(G), A \in \binom{N_G(v)}{2}\}.$

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  
 $M = \{(v, A) \mid v \in V(G), A \in \binom{N_G(v)}{2}\}$ .

First, for each  $v \in V(G)$ , there are precisely  $\binom{d_G(v)}{2}$  choices of  $A$  such that  $(v, A) \in M$ . So,  $|M| = \sum_{v \in V(G)} \binom{d_G(v)}{2} = \sum_{i=1}^n \binom{d_i}{2}$ .

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:

$$M = \{(v, A) \mid v \in V(G), A \in \binom{N_G(v)}{2}\};$$

$$|M| = \sum_{v \in V(G)} \binom{d_G(v)}{2} = \sum_{i=1}^n \binom{d_i}{2}.$$

## Theorem 2.1

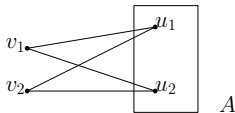
Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:

$$M = \{(v, A) \mid v \in V(G), A \in \binom{N_G(v)}{2}\};$$

$$|M| = \sum_{v \in V(G)} \binom{d_G(v)}{2} = \sum_{i=1}^n \binom{d_i}{2}.$$

We now bound  $|M|$  above, as follows. Since  $G$  contains no  $C_4$  as a subgraph, we see that no two distinct elements of  $M$  have the same second coordinate. So,  $|M| \leq \binom{n}{2}$ .



## Theorem 2.1

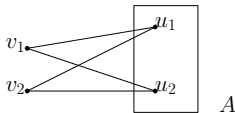
Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:

$$M = \{(v, A) \mid v \in V(G), A \in \binom{N_G(v)}{2}\};$$

$$|M| = \sum_{v \in V(G)} \binom{d_G(v)}{2} = \sum_{i=1}^n \binom{d_i}{2}.$$

We now bound  $|M|$  above, as follows. Since  $G$  contains no  $C_4$  as a subgraph, we see that no two distinct elements of  $M$  have the same second coordinate. So,  $|M| \leq \binom{n}{2}$ .



It follows that  $\sum_{i=1}^n \binom{d_i}{2} \leq \binom{n}{2}$ .

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  $\sum_{i=1}^n \binom{d_i}{2} \leq \binom{n}{2}$ .

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  $\sum_{i=1}^n \binom{d_i}{2} \leq \binom{n}{2}$ .

Obviously,  $\binom{n}{2} \leq \frac{n^2}{2}$ , and since  $d_1, \dots, d_n \geq 1$ , we see that  $\binom{d_i}{2} \geq \frac{(d_i-1)^2}{2}$  for all  $i \in \{1, \dots, n\}$ ; consequently,

$$\sum_{i=1}^n \frac{(d_i-1)^2}{2} \leq \sum_{i=1}^n \binom{d_i}{2} \leq \binom{n}{2} \leq \frac{n^2}{2},$$

and it follows that

$$\sum_{i=1}^n (d_i - 1)^2 \leq n^2.$$



- Cauchy-Schwarz:  $\left(\sum_{i=1}^n a_i b_i\right)^2 \leq \left(\sum_{i=1}^n a_i^2\right) \left(\sum_{i=1}^n b_i^2\right)$ .

### Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  $\sum_{i=1}^n (d_i - 1)^2 \leq n^2$ .

- Cauchy-Schwarz:  $\left(\sum_{i=1}^n a_i b_i\right)^2 \leq \left(\sum_{i=1}^n a_i^2\right) \left(\sum_{i=1}^n b_i^2\right)$ .

### Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  $\sum_{i=1}^n (d_i - 1)^2 \leq n^2$ .

$$\begin{aligned}
 \sum_{i=1}^n (d_i - 1) &= \sum_{i=1}^n (d_i - 1) \cdot 1 \\
 &\leq \sqrt{\sum_{i=1}^n (d_i - 1)^2} \sqrt{\sum_{i=1}^n 1^2} && \text{by C-S } \leq \\
 &= \sqrt{\sum_{i=1}^n (d_i - 1)^2} \sqrt{n} \\
 &\leq \sqrt{n^2} \sqrt{n} && \text{because } \sum_{i=1}^n (d_i - 1)^2 \leq n^2 \\
 &= n^{3/2}.
 \end{aligned}$$

## Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  $\sum_{i=1}^n (d_i - 1) \leq n^{3/2}$ .

### Theorem 2.1

Let  $n \in \mathbb{N}$ . Any graph on  $n$  vertices that does not contain  $C_4$  as a subgraph has at most  $\frac{1}{2}(n + n^{3/2})$  edges.

*Proof (outline, continued).* Reminder:  $\sum_{i=1}^n (d_i - 1) \leq n^{3/2}$ .

It now follows that

$$|E(G)| = \frac{1}{2} \sum_{i=1}^n d_i = \frac{1}{2} \left( n + \sum_{i=1}^n (d_i - 1) \right) \leq \frac{1}{2} (n + n^{3/2}),$$

which is what we needed to show.