

# Tutorial 8

Data Structures 1, 11. 4. 2025

<https://iuuk.mff.cuni.cz/~chmel/2425/ds1en/>

## Exercise 1 (Light revision)

Matt is practicing basketball and he wants to practice free throws. As he is a beginner, the probability of successfully scoring on a free throw is  $p \in (0, 1]$  and it is independent on all his previous attempts. Let  $X$  be a random variable that denotes the number of attempts made until the first time Matt scores (including the last attempt when he scored). Show that  $\mathbb{E}[X] = \frac{1}{p}$ .

## Exercise 2 (Collision probability)

Show that in a hash-table of size  $m = n^2$  with  $n$  elements, the probability of a collision is at most  $1/2$ , if we assume the hashing function to be uniformly random.

## Exercise 3 (Fixed points of permutations)

Let us have a uniformly random permutation on  $n$  elements. Compute the expected number of fixed points of the permutation.

## Exercise 4 (Black box)

You are given a hash function  $h : \mathcal{U} \rightarrow [m]$ . If you do not know anything else about the function, how many evaluations of  $h$  do you need to always find a  $k$ -tuple of elements that share the same bucket?

## Exercise 5 (Independence and universality)

Prove the following:

- if a hashing system is  $(k, c)$ -independent, it is also  $(k - 1, c)$ -independent (for  $k \geq 2$ ),
- if a hashing system is  $(2, c)$ -independent, it is also  $c$ -universal.

## Exercise 6 (Truly practical systems)

Let us consider the hashing function system  $\mathcal{H}_1 = \{\text{id}\}$  that contains just one function, the identity that maps  $x$  to  $x$ . Is  $\mathcal{H}_1$   $c$ -universal for some  $c$ ? Is  $\mathcal{H}_1$   $(k, c)$ -independent for some  $k$  and  $c$ ?

Next, consider the system  $\mathcal{H}_2 = \{h_a(x) = a : a \in [m]\}$ . Prove that this system is  $(1, 1)$ -independent. Next, show that  $\mathcal{H}_2$  is neither  $(2, c)$ -independent nor  $c$ -universal for any  $c$ .

## Exercise 7 (Modulo of a universal system does not need to be universal)

Show that, if we have a universal system of hash functions  $\mathcal{H}$ , then the system  $\mathcal{H}'$ , where each function is computed modulo  $m$ , does not have to be universal. Formally: Show that for every  $c$  and  $m > c$ , there exists a universe  $\mathcal{U}$  and a system  $\mathcal{H}$  from  $\mathcal{U}$  to  $\mathcal{U}$  such that  $\mathcal{H}$  is universal but  $\mathcal{H}'$  is not  $c$ -universal.

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## Useful notions

**Proposition** (Union bound). For elements  $A_1, A_2$ , we have  $\Pr[A_1 \cup A_2] \leq \Pr[A_1] + \Pr[A_2]$ .

**Proposition** (Linearity of expectation). For random variables  $X, Y$  and coefficients  $\alpha, \beta \in \mathbb{R}$ , we have  $\mathbb{E}[\alpha X + \beta Y] = \alpha \mathbb{E}[X] + \beta \mathbb{E}[Y]$ .

**Definition** (Indicator, independence of random variables). Let  $A$  be an event in a discrete probability space. Then the indicator of  $A$  is a random variable  $I_A$  defined as:  $I_A(\omega) = 0 \Leftrightarrow \omega \notin A$ , otherwise  $I_A(\omega) = 1$ .

Random variables  $X, Y$  on a discrete probability space  $(\Omega, 2^\Omega, P)$  are independent, if  $\forall \alpha, \beta \in \mathbb{R}$ , the events  $\{\omega \in \Omega : X(\omega) \leq \alpha\}, \{\omega \in \Omega : Y(\omega) \leq \beta\}$  are independent.

**Definition** ( $c$ -universal function system). A system  $\mathcal{H}$  of functions  $h : \mathcal{U} \rightarrow [m]$  is  $c$ -universal for  $c > 0$ , if for all  $x \neq y$ , it holds that  $\Pr_{h \in \mathcal{H}}[h(x) = h(y)] \leq \frac{c}{m}$ .

A system  $\mathcal{H}$  is universal, if it is  $c$ -universal for some  $c > 0$ .

**Definition** ( $k$ -independent function system). A system  $\mathcal{H}$  of functions  $h : \mathcal{U} \rightarrow [m]$  is  $(k, c)$ -independent for some  $k \geq 1, c > 0$ , if  $\Pr_{h \in \mathcal{H}}[h(x_1) = a_1 \wedge \dots \wedge h(x_k) = a_k] \leq \frac{c}{m^k}$  for any pairwise distinct  $x_1, \dots, x_k$  and any not necessarily distinct  $a_1, \dots, a_k$ .

A system  $\mathcal{H}$  is  $k$ -independent, if it is  $(k, c)$ -independent for some fixed constant  $c$ .