

# Algorithmic techniques for sparse graphs

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## Design efficient algorithms

- polynomial-time
- approximation
- FPT
- ...

for hard problems, when restricted to *sparse graphs*.

# What are sparse graphs?

- whatever turns out to be useful
- generally tend to have few edges
- often bounded expansion or nowhere-dense

# Properties of (some) sparse graphs

- structural decompositions
- obstructions to tree-width
- small separators
- “almost” bounded tree-width
- quasi-wideness
- generalizations of degeneracy

# Structural decompositions: Example

## Theorem (Robertson and Seymour)

*For every  $H$  there exists  $k$  such that if  $H$  is not a minor of  $G$ , then there exist graphs  $G_1, \dots, G_n$  and sets  $S_i \subseteq V(G_i)$  (apex vertices) such that*

- *$G$  can be obtained from  $G_1, \dots, G_n$  by clique-sums,*
- *$|S_i| \leq k$ ,*
- *$G_i - S_i$  is embedded with at most  $k$  vertices of depth at most  $k$  in a surface  $\Sigma_i$  such that  $H$  cannot be drawn in  $\Sigma_i$ .*

Strengthenings in special cases:

- $H$  has one crossing: only planar pieces without vortices or apex vertices, and pieces of bounded size (Demaine, Hajiaghayi and Thilikos)
- $H$  is apex: apex vertices only attach to quasivortices (Demaine, Hajiaghayi and Kawarabayashi)

Generalizations:

- odd minors: pieces may also be arbitrary bipartite graphs (Demaine, Hajiaghayi and Kawarabayashi)
- topological minors: pieces may be bounded degree graphs (Grohe, Kawarabayashi, Marx and Wollan)

Other settings: perfect graphs, claw-free graphs, . . .

- implies other properties
- direct algorithms; e.g., additive approximation for chromatic number
  - $\text{OPT} + k - 2$  for  $K_k$ -minor-free (Demaine, Hajiaghayi and Kawarabayashi)
  - $\text{OPT} + 2$  for  $H$ -minor-free, where  $H$  is apex (Demaine, Hajiaghayi and Kawarabayashi)

# Tree-width and its uses

## Theorem

*Every problem can be solved in linear time for graphs with tree-width bounded by a constant, unless it cannot.*

## Theorem (Courcelle)

*Any problem expressible in Monadic Second-Order Logic can be solved in linear time for graphs with tree-width bounded by a constant.*



## Theorem

*There exists  $f$  such that if  $tw(G) > f(k)$ , then  $G$  contains  $k \times k$  wall as a topological minor.*

- $f$  exists (Robertson and Seymour)
- $f(k) \leq 400k^5$  (Robertson, Seymour and Thomas)
- if  $G$  avoids a fixed minor, then  $f$  is linear (Demaine and Hajiaghayi)
- unless  $G$  contains a big clique minor, the wall is flat
- under further assumptions, grid-like graphs can be obtained only by contractions

- Either tree-width is small (and we can solve the problem),  
or
- we have a big wall (and obtain a contradiction, or it can be reduced, or ...)

# Example: crossing number is FPT (Grohe)

Does  $G$  have crossing number at most  $k$ ?

- if  $\text{tw}(G)$  is small, then solvable in linear time (expressible in MSOL)
- if  $G$  contains a big clique minor, then its crossing number is greater than  $k$
- if  $G$  contains a big flat wall, then we find a vertex  $v$  such that  $\text{cr}(G - v) \leq k$  iff  $\text{cr}(G) \leq k$ .

# Example: FPT for dominating set in graphs of bounded genus

Does  $G$  (embedded in a fixed surface  $\Sigma$ ) contain a dominating set of size at most  $k$ ?

Let  $t = 3\sqrt{k} + 2$ .

- if  $\text{tw}(G) \leq f(t)$ , then solvable in linear time
- otherwise,  $G$  can be contracted to a  $t \times t$  partially triangulated grid and a single apex attaching to its boundary  $\Rightarrow$  no dominating set of size at most  $k$ .

## Definition

A property is *bidimensional* if

- non-increasing on contractions (and possibly edge/vertex deletions)
- unbounded for “grid-like” graphs
- can be determined in polynomial-time for graphs of bounded tree-width

# Consequences of bidimensionality

- FPT on appropriate classes of graphs (cf. “grid-like”)
- with some additional assumptions, PTAS’s

# Separators in planar graphs

## Definition

$(A, B)$  is a *separator* in  $G$  if  $G = A \cup B$ ,  $E(A) \cap E(B) = \emptyset$  and  $|V(A)|, |V(B)| \geq |V(G)|/3$ . Its *order* is  $|V(A) \cap V(B)|$ .

## Theorem (Lipton and Tarjan)

*Every planar graph on  $n$  vertices has a separator of order  $O(\sqrt{n})$ .*

- $K_k$ -minor free graphs have separators of order  $O(\sqrt{n})$  (Alon, Seymour and Thomas)
- graph classes with subexponential expansion have sublinear separators (Plotkin and Rao; Nešetřil and Ossona de Mendez).



- Enumeration: if  $\mathcal{G}$  has separators of order  $O(n/\log^2 n)$ , then it contains only  $2^{O(n)} n!$  labelled graphs on  $n$  vertices (D. and Norine)
- Approximation:
  - separators of order  $O(n^{1-\varepsilon})$  and degeneracy imply PTAS for independent set
  - PTAS for bidimensional problems with further assumptions (good behavior with respect to separators)
- Subexponential algorithms: independent set, chromatic number, ...

# Neighborhoods in planar graphs

## Theorem (Robertson and Seymour)

*A planar graph of radius  $r$  has tree-width  $O(r)$ .*

## Corollary

*If  $G$  is planar and  $v \in V(G)$ , the subgraph of  $G$  induced by vertices in distance at most  $r$  from  $v$  has tree-width  $O(r)$ .*

$L_{m,k}(v)$  ... the set of vertices in distance  $m \pmod k$  from  $v$

## Corollary

*For every  $k, m$ , a planar graph  $G$  and  $v \in V(G)$ , the tree-width of  $G - L_{m,k}(v)$  is  $O(k)$ .*

## Definition

A class of graphs  $\mathcal{G}$  has *locally bounded tree-width* if there exists  $f$  such that for every  $G \in \mathcal{G}$ ,  $v \in V(G)$  and  $r > 0$ , the subgraph of  $G$  induced by vertices in distance at most  $r$  from  $v$  has tree-width at most  $f(r)$ .

Examples:

- bounded maximum degree
- minor-closed classes avoiding an apex graph (Eppstein)

## Theorem (Frick and Grohe)

*For every  $\varepsilon > 0$ , any problem expressible in First Order Logic can be solved in  $O(n^{1+\varepsilon})$  for any class of graphs with locally bounded tree-width.*

Example: Does  $G$  have a dominating set of size at most  $k$ ?

- find a maximal set  $S$  of vertices in pairwise distance at least three.
- if  $|S| > k$ , then the answer is no
- otherwise, radius of each component of  $G$  is  $O(k)$ , and  $G$  has bounded tree-width.

## Definition

A class  $\mathcal{G}$  has *bounded tree-width covers* if there exists  $f$  such that for every  $G \in \mathcal{G}$  and  $k > 0$ , there exists a partition  $V(G) = V_1 \dot{\cup} \dots \dot{\cup} V_k$  such that  $\text{tw}(G - V_i) \leq f(k)$  for  $1 \leq i \leq k$ .

- locally bounded tree-width + minor-closed  $\Rightarrow$  bounded tree-width cover.
- implies bounded expansion, sublinear separators
- holds for proper minor-closed classes (Demaine, Hajiaghayi and Kawarabayashi)
- proper minor-closed classes have also the analogical property for contractions (Demaine, Hajiaghayi and Mohar)

# Applications of bounded tree-width covers

- factor 2 approximation for chromatic number
- PTAS's for many problems
  - implies FPT
- subexponential algorithms

# Example: PTAS for largest independent set

Suppose that  $V(G) = V_1 \dot{\cup} \dots \dot{\cup} V_k$ , and let  $S$  be an independent set in  $G$  of size  $\alpha(G)$ .

- for  $1 \leq i \leq k$ , we have  $\alpha(G - V_i) \leq \alpha(G)$
- there exists  $i \in \{1, \dots, k\}$  such that  $|S \cap V_i| \leq |S|/k$ .

Therefore,  $(1 - 1/k)\alpha(G) \leq \max_{1 \leq i \leq k} \alpha(G - V_i) \leq \alpha(G)$ .

## Problem

*Characterize classes of graphs that have bounded tree-width covers.*

Or, for the fractional version (there exist sets  $V_1, \dots, V_n$ , such that each vertex is in at most  $n/k$  of them, and  $G - V_i$  has bounded tree-width for  $1 \leq i \leq n$ )?



## Definition

A  $(d, r)$ -width of  $G$  is the maximum size of a set  $A$  such that the distance between every two vertices of  $A$  in  $G - S$  is at least  $d$ , for some set  $S \subseteq V(G)$  of size at most  $r$ .

## Definition

A class of graphs  $\mathcal{G}$  is *quasi-wide* if there exists  $f$  such that for each  $d$  and  $m$ , only finitely many graphs in  $\mathcal{G}$  have  $(d, f(d))$ -width at most  $m$ .

# Quasi-wide classes

- bounded maximum degree  $\Rightarrow$  quasi-wide, with  $f(d) = 0$
- $K_k$ -minor-free classes are quasi-wide, with  $f(d) = k - 1$  (Atserias, Dawar and Kolaitis)
- hereditary graph class is quasi-wide iff it is nowhere dense (Nešetřil and Ossona de Mendez)

Applications: FPT for domination number (and variations).

# Degeneracy (coloring number)

## Definition

A graph  $G$  is  $d$ -degenerate if every subgraph of  $G$  contains a vertex of degree at most  $d$ .

Equivalently,

## Definition

A graph  $G$  is  $d$ -degenerate if there exists a linear ordering of  $V(G)$  such that every vertex has at most  $d$  neighbors before it in the ordering.

Coloring number  $\text{col}(G) = d + 1$ , where  $d$  is the smallest such that  $G$  is  $d$ -degenerate.

# Generalizations

Given a linear ordering  $<$  of  $V(G)$  and vertices  $u < v$ ,

- $u$  is *weakly  $k$ -reachable from  $v$*  if there exists a path  $P$  between  $u$  and  $v$  of length at most  $k$ , whose internal vertices are  $> u$ ,
- $u$  is  *$k$ -reachable from  $v$*  if the internal vertices are  $> v$
- the  *$k$ -backconnectivity of  $v$*  is the maximum number of disjoint ( $\leq k$ )-paths from  $v$  to vertices  $< v$ .

Let

- weak  $k$ -coloring number  $wcol_k(G, <) = 1 + \max_{v \in V(G)} |\{\text{vertices weakly } k\text{-reachable from } v\}|$
- $k$ -coloring number  $col_k(G, <) = 1 + \max_{v \in V(G)} |\{\text{vertices } k\text{-reachable from } v\}|$
- $k$ -admissibility  $adm_k(G, <) = \max_{v \in V(G)} k\text{-backconnectivity of } v$

Define  $wcol_k(G)$ ,  $col_k(G)$  and  $adm_k(G)$  as minimum over all linear orderings  $<$  of  $V(G)$ .

# Properties of generalized coloring numbers

- $\text{adm}_k(G) \leq \text{col}_k(G) \leq \text{wcol}_k(G) \leq (\text{adm}_k(G) + 1)^{k^2}$
- $\text{col}_2(G)$  bounds acyclic chromatic number
- $\text{wcol}_2(G)$  bounds star chromatic number
- bounded  $\text{col}_2(G) \Rightarrow$  linear Ramsey number (Chen and Schelp)
- for a class of graphs  $\mathcal{G}$ ,  $\text{col}_k(\mathcal{G})$  is bounded for every  $k$  iff  $\mathcal{G}$  has bounded expansion

# Determining generalized coloring numbers

- $\text{adm}_k(G) \leq t$  can be tested in  $O(n^{kt+2})$
- $\text{adm}_k(G)$  can be approximated within factor of  $k$
- in a class of graphs with bounded expansion,  $\text{adm}_k(G)$  can be determined in linear time

## Problem

*Can  $\text{col}_k(G)$  and  $\text{wcol}_k(G)$  be determined exactly, or at least approximated within constant factor, in polynomial time?*

## Theorem

*Given an ordering  $<$  of vertices of  $G$  with  $wcol_2(G) \leq c$ , one can find in linear time*

- *a dominating set  $D$  and*
- *a set  $A$  of vertices in pairwise distance at least three, such that  $|D| \leq c^2|A|$ .*

Observation: every dominating set in  $G$  has size at least  $|A|$ , thus  $|D| \leq c^2 \text{OPT}$ .

Tomorrow.