

# Simulation Theorems via Pseudo-random Properties

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## Abstract

We generalize the deterministic simulation theorem of Raz and McKenzie [RM99], to any gadget which satisfies certain hitting property. We prove that inner-product and gap-Hamming satisfy this property, and as a corollary we obtain deterministic simulation theorem for these gadgets, where the gadget’s input-size is logarithmic in the input-size of the outer function. This yields the first deterministic simulation theorem with a logarithmic gadget size, answering an open question posed by Göös, Pitassi and Watson [GPW15].

Our result also implies the previous results for the Indexing gadget, with better parameters than was previously known. Moreover, logarithmic-sized gadget implies a quadratic separation in deterministic communication complexity and logarithm of partition number, no matter how high the partition number is with respect to the input size—something which is not achievable by previous results of [GPW15, AKK16].

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# 1 Introduction

A very basic problem in computational complexity is to understand the *complexity* of a composed function  $f \circ g$  in terms of the complexities of the two simpler functions  $f$  and  $g$  used for the composition. For concreteness, we consider  $f : \{0, 1\}^p \rightarrow \mathcal{Z}$  and  $g : \{0, 1\}^m \rightarrow \{0, 1\}$  and denote the composed function as  $f \circ g^p : \{0, 1\}^{mp} \rightarrow \mathcal{Z}$ ; then  $f$  is called the *outer-function* and  $g$  is called the *inner-function*. The special case of  $\mathcal{Z}$  being  $\{0, 1\}$  and  $f$  the XOR function has been the focus of several works [Yao82, Lev87, Imp95, Sha03, LSS08, VW08, She12b], commonly known as XOR lemmas. Another special case is when  $f$  is the trivial function that maps each point to itself. This case has also been widely studied in various parts of complexity theory under the names of ‘direct sum’ and ‘direct product’ problems, depending on the quality of the desired solution [JRS03, BPSW05, HJMR07, JKN08, Dru12, Pan12, JPY12, JY12, BBCR13, BRWY13a, BRWY13b, BBK<sup>+</sup>13, BR14, KLL<sup>+</sup>15, Jai15]. Making progress on even these special cases of the general problem in various models of computation is an outstanding open problem.

While no such general theorems are known, there has been some progress in the setting of communication complexity. In this setting the input for  $g$  is split between two parties, Alice and Bob. A particular instance of progress from a few years ago is the development of the pattern matrix method by Sherstov [She11] and the closely related block-composition method of Shi and Zhu [SZ09], which led to a series of interesting developments [Cha07, LSS08, CA08, She12a, She13, RY15], resolving several open problems along the way. In both these methods, the relevant analytic property of the outer function is approximate degree. While the pattern-matrix method entailed the use of a special inner function, the block-composition method, further developed by Chattopadhyay [Cha09], Lee and Zhang [LZ10] and Sherstov [She12a, She13], prescribed the inner function to have small discrepancy. These methods are able to lower bound the randomized communication complexity of  $f \circ g^p$  essentially by the product of the approximate degree of  $f$  and the logarithm of the inverse of discrepancy of  $g$ .

The following simple protocol is suggestive: Alice and Bob try to solve  $f$  using a decision tree (randomized/deterministic) algorithm. Such an algorithm queries the input bits of  $f$  frugally. Whenever there is a query, Alice and Bob solve the relevant instance of  $g$  by using the best protocol for  $g$ . This allows them to progress with the decision tree computation of  $f$ , yielding (informally) an upper bound of  $\mathcal{M}^{cc}(f \circ g^p) = O(\mathcal{M}^{dt}(f) \cdot \mathcal{M}^{cc}(g))$ , where  $\mathcal{M}$  could be the deterministic or randomized model and  $\mathcal{M}^{dt}$  denotes the decision tree complexity<sup>1</sup>. A natural question is if the above upper bound is essentially optimal. The case when both  $f$  and  $g$  are XOR clearly shows that this is not always the case. However, this may just be a pathological case. Indeed it is natural to study for what models  $\mathcal{M}$  and which inner functions  $g$ , is the above naive algorithm optimal.

In a remarkable and celebrated work, Raz and McKenzie [RM99] showed that this naïve upper bound is always optimal for *deterministic protocols*, when  $g$  is the Indexing function (IND), provided the *gadget size is polynomially large* in  $p$ . This theorem was the main technical workhorse of Raz and McKenzie to famously separate the monotone NC hierarchy. The work of Raz and McKenzie was recently simplified and built upon by Göös, Pitassi and Watson [GPW15] to solve a longstanding open problem in communication complexity. In line with [GPW15], we call such theorems *simulation theorems*, because they explicitly construct a decision-tree for  $f$  by simulating a given protocol for  $f \circ g^p$ . More recently, de Rezende, Nordström and Vinyals [dRNV16] port the above deterministic simulation theorem to the model of real communication, yielding new trade-offs for the measures of size and space in the cutting planes proof system.

We show a deterministic simulation theorem with improved gadget size by generalizing the simulation theorem of Raz-McKenzie substantially. Our contribution in this part is two-fold.

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<sup>1</sup>For randomized model, the upper bound holds with a multiplicative factor of  $\log \mathcal{R}^q(f)$  — this is because we need to amplify the success probability of solving each instance of  $g$  so that we can do an union bound for the overall success probability of solving all instances of  $g$ .

On one hand, we generalize the proof considerably, by singling out a new pseudo-random property of a function  $g : \{0, 1\}^n \rightarrow \{0, 1\}$ , that we call “having  $(\delta, h)$ -hitting rectangle-distributions”, and then showing that a simulation theorem (i.e., a theorem of the form of  $\mathcal{D}^{cc}(f \circ g^p) = \Theta(\mathcal{D}^{dt}(f) \cdot h)$ ) will hold for any  $g$  with this property. Informally, a  $(\delta, h)$ -distribution (for small  $\delta$  and  $h$ ) is a distribution over monochromatic rectangles such that a random rectangle from this distribution will intersect with any arbitrary large enough rectangle with good probability. By a function  $g$  having  $(\delta, h)$ -hitting rectangle distribution, we mean that there are such 0 and 1-monochromatic rectangle distributions in  $g$ ’s truth-table. We then show that the inner-product function and the gap-Hamming problem have the above property. Mathematically, our main result is the following:

**Theorem 1.1.** If  $g$  has  $(\delta, h)$ -hitting monochromatic rectangle-distributions,  $\delta < 1/100$ , and  $p \leq 2^{\frac{h}{2}}$ , then

$$\mathcal{D}^{dt}(f) \leq \frac{8}{h} \cdot \mathcal{D}^{cc}(f \circ g^p).$$

The techniques required to prove the deterministic simulation theorem are based on those that appear in [RM99, GPW15]. We show that two well-studied functions, — the inner-product function (IP) and the gap-Hamming family of functions (GH), — have the above property.

**Theorem 1.2.** Inner-product function and any function from the gap-Hamming class of promise-functions over  $n$  bits admit  $(o(1), n/5)$ -hitting monochromatic rectangle distributions.

Now, combining Theorem 1.1 and Theorem 1.2 immediately yields the following (*simulation*) theorem.

**Theorem 1.3.** Let  $p \leq 2^{\frac{n}{200}}$ ,  $f : \{0, 1\}^p \rightarrow \mathcal{Z}$ , where  $\mathcal{Z}$  is any domain, and  $g : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  be inner-product function, or any function from the gap-Hamming class of promise-problems. Then,

$$\mathcal{D}^{cc}(f \circ g^p) = \Theta\left(\mathcal{D}^{dt}(f) \cdot n\right).$$

The inner-product function  $\text{IP}_n : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  is defined as  $\text{IP}_n(x, y) = \sum_{i \in [n]} x_i \cdot y_i$ , where the summation is taken over field  $\mathbb{F}_2$ . Problems in the class of gap-Hamming promise-problems, parameterized with  $\gamma$  and denoted by  $\text{GH}_{n, \gamma} : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$ , distinguish the case of  $(x, y)$  having Hamming distance at least  $(\frac{1}{2} + \gamma)n$  from the case of  $(x, y)$  having Hamming distance at most  $(\frac{1}{2} - \gamma)n$ , for  $0 \leq \gamma \leq 1/4$ .<sup>2</sup>

Note that this is the first deterministic simulation theorem with logarithmic gadget size, whereas the Raz-McKenzie simulation theorem requires a polynomial size gadget. This answers a problem raised by both Göös-Pittasi-Watson [GPW15] and Göös et.al. [GLM<sup>+</sup>15] of proving a Raz-McKenzie style deterministic simulation theorem for a different inner function than Indexing with a better gadget size. (Although the results presented in [GLM<sup>+</sup>15] do not deal with *deterministic* simulation theorems, the authors did raise the question of whether the proof of the deterministic simulation theorem can be simplified, and whether a simulation theorem can be shown for a larger class of gadgets  $g$  — we answer both these questions in this work.) Moreover, it is not hard to verify that an instance of the function  $g$  easily embeds in Indexing by exponentially blowing up the size. This enables us to also re-derive the original Raz-McKenzie simulation theorem for the Indexing function, even attaining significantly better parameters. This improvement in parameters answers a question posed to us recently by Jakob Nordström [Nor16]. In the next section, we will show how this strong form of simulation theorem helps us prove a strong complexity separation result.

It is well known that inner-product has strong pseudo-random properties. In particular it has vanishing discrepancy under the uniform distribution which makes it a good 2-source

<sup>2</sup>We remark here that the IND function also admits a  $(\frac{1}{10}, \frac{3}{20} \log n)$ -hitting monochromatic rectangle distribution—this is implicit in the proof of [GPW15, RM99]. Hence, Theorem 1.3 yields a simulation theorem for logarithmic sized IND gadget as well.

extractor. In fact, such strong properties of inner-product were recently used to prove simulation theorems for more exotic models of communication by Göös et al. [GLM<sup>+</sup>15] and also by the authors and Dvořák [CDK<sup>+</sup>17] to resolve a problem with a direct-sum flavor. By comparison, the pseudo-random property we abstract for proving our simulation theorem seems milder. This intuition is corroborated by the fact that we can show that gap-Hamming problems also possess our property, even though we know that these problems have large  $\Omega(1)$  discrepancy under all distributions. Interestingly, any technique that relies on the inner-function having small discrepancy, such as the block-composition method, will not succeed in proving simulation theorems for such inner gadgets.

## An application

The simulation theorem is used by [GPW15] to show a separation between the logarithm of partition number,  $\chi$ , (as well as 1-partition number,  $\chi_1$ ) and deterministic communication complexity. At this point, it is interesting to note the relation between input size and the partition number of the functions for which they are able to show such a separation. For an input of size  $N = p^{21}$  they exhibit a function that has  $\log(\chi_1)$  to be  $\tilde{O}(\sqrt{p})$ , whereas the deterministic communication complexity is  $\tilde{\Omega}(p)$ . They also exhibit another function for which  $\log(\chi)$  is  $\tilde{O}(p^{2/3})$  with the deterministic communication complexity being as high as  $\tilde{\Omega}(p)$ . It raises the question whether such a separation is possible when  $\chi_1$  (and, therefore,  $\chi$ ) is polynomially higher, i.e., say  $\sqrt{N}$ . Their results do not rule out the possibility that for all  $F$  such that  $\log \chi_1(F)$  is  $\omega(N^{\frac{1}{42}})$ , the deterministic communication complexity of  $F$  is actually linear in partition complexity. Ambainis et al. [AKK16], in their improvement of [GPW15] in showing a near-optimal separation between  $\log \chi$  and deterministic communication complexity, also leave this question open, as they use the simulation theorem of [GPW15] in a black-box fashion. Our result, with the improved gadget-size, rules out this possibility — our simulation theorem can be used (in the same way as in [GPW15] or [AKK16]) to show a function  $F^*$  for which  $\log \chi_1(F^*)$  (or  $\log \chi(F^*)$ ) is as big as  $\tilde{O}(\sqrt{N})$  along with the deterministic communication complexity being at least square of that. More concretely, we show the following:

**Theorem 1.4.** For any function  $s : \mathbb{Z} \rightarrow \mathbb{Z}$  such that  $s(N) \leq \frac{\sqrt{N}}{\log N}$ , there is a family of functions  $\{F_N\}_{N \in \mathbb{Z}}$  such that  $F_N : \{0, 1\}^N \times \{0, 1\}^N \rightarrow \mathcal{Z}$  has partition number  $\chi(F_N) = 2^{\tilde{O}(s(N))}$  and deterministic communication complexity  $\mathcal{D}^{cc}(F_N) \geq s(N)^2$ .

We would, at this point, like to point out to the readers that a preliminary version of the results obtained in this paper appeared in [CKLM17].

We remark here that Wu, Yao and Yuen [WYY17] have independently reported a proof of the simulation theorem for the inner-product function, while a draft of this manuscript was already in circulation. Implicit in their proof is the construction of hitting rectangle-distributions for  $\mathbb{IP}$ , and their construction of these distributions is similar to our own. This suggests that our pseudo-random property is essential to how all known deterministic simulation theorems are proven.

### 1.1 Our techniques

The main tool for proving a tight deterministic simulation theorem is to use the general framework of the Raz-McKenzie theorem as expounded by Göös-Pittasi-Watson [GPW15]. Given an input  $z \in \{0, 1\}^p$  for  $f$ , and wishing to compute  $f(z)$ , we will query the bits of  $z$  while simulating (in our head) the communication protocol for  $f \circ g^p$ , on inputs that are consistent with the queries to  $z$  we have made thus far. Namely, we maintain a rectangle  $A \times B \subseteq \{0, 1\}^{np} \times \{0, 1\}^{np}$  so that for any  $(x, y) \in A \times B$ ,  $g^p(x, y)$  is *consistent* with  $z$  on all the coordinates that were queried. We will progress through the protocol with our rectangle

$A \times B$  from the root to a leaf. As the protocol progresses,  $A \times B$  shrinks according to the protocol, and our goal is to maintain the consistency requirement. For that we need that inputs in  $A \times B$  allow for all possible answers of  $g$  on those coordinates which we did not yet query. Hence  $A \times B$  needs to be rich enough, and we are choosing a path through the protocol that affects this richness the least. If the protocol forces us to shrink the rectangle  $A \times B$  so that we may not be able to maintain the richness condition, we query another coordinate of  $z$  to restore the richness. Once we reach a leaf of the protocol we learn a correct answer for  $f(z)$ , because there is an input  $(x, y) \in A \times B$  on which  $g^p(x, y) = z$  (since we preserved consistency) and all inputs in  $A \times B$  give the same answer for  $f \circ g^p$ ,

The technical property of  $A \times B$  that we will maintain and which guarantees the necessary richness is called *thickness*.  $A \times B$  is thick on the  $i$ -th coordinate if for each input pair  $(x, y) \in A \times B$ , even after one gets to see all the coordinates of  $x$  and  $y$  except for  $x_i$  and  $y_i$ , the *uncertainty* of what appears in the  $i$ th coordinate remains large enough so that  $g(x_i, y_i)$  can be arbitrary. Let us denote by  $\text{Ext}_A^i(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_p)$  the set of possible extensions  $x_i$  such that  $\langle x_1, \dots, x_p \rangle \in A$ . We define  $\text{Ext}_B^i(y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_p)$  similarly. If for a given  $x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_p$  and  $y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_p$  we know that both  $\text{Ext}_A^i(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_p)$  and  $\text{Ext}_B^i(y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_p)$  are of size at least  $2^{(\frac{1}{2}+\epsilon)n}$  then for  $g = \text{IP}_n$  there are extensions  $x_i \in \text{Ext}_A^i(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_p)$  and  $y_i \in \text{Ext}_B^i(y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_p)$  such that  $\text{IP}_n(x_i, y_i) = z_i$ . Hence, we say that  $A \times B$  is  $\tau$ -thick if  $\text{Ext}_A^i(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_p)$  and  $\text{Ext}_B^i(y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_p)$  are of size at least  $\tau \cdot 2^n$ , for every choice of  $i$  and  $x_1, \dots, x_p \in A, y_1, \dots, y_p \in B$ .

So if we can maintain the thickness of  $A \times B$ , we maintain the necessary richness of  $A \times B$ . It turns out that this is indeed possible using the technique of Raz-McKenzie and Göös-Pittasi-Watson. Hence as we progress through the protocol we maintain  $A \times B$  to be  $\tau$ -thick and dense. Once the density of either  $A$  or  $B$  drops below certain level we are forced to make a query to another coordinate of  $z$ . Magically, that restores the density (and thus thickness) of  $A \times B$  on coordinates not queried. (An intuitive reason is that if the density of extensions in some coordinate is low then the density in the remaining coordinates must be large.)

We give a sufficient condition for the inner function  $g$  that allows this type of argument to work, as follows. For  $\delta \in (0, 1)$  and integer  $h \geq 1$  we say that  $g$  has  $(\delta, h)$ -*hitting monochromatic rectangle distributions* if there are two distributions  $\sigma_0$  and  $\sigma_1$  where for each  $c \in \{0, 1\}$ ,  $\sigma_c$  is a distribution over  $c$ -monochromatic rectangles  $U \times V \subset \{0, 1\}^n \times \{0, 1\}^n$  (i.e.,  $g(u, v) = c$  on every pair  $(u, v) \in U \times V$ ), such that for any set  $X \times Y \subset \{0, 1\}^n \times \{0, 1\}^n$  of sufficient size, a rectangle randomly chosen according to  $\sigma_c$  will intersect  $X \times Y$  with large probability. More precisely, for any  $c \in \{0, 1\}$  and for any  $X \times Y$  with  $|X|/2^n, |Y|/2^n \geq 2^{-h}$ ,

$$\Pr_{(U \times V) \sim \sigma_c} [(U \times V) \cap (X \times Y) \neq \emptyset] \geq 1 - \delta.$$

If such distributions  $\sigma_0$  and  $\sigma_1$  exist, we say that  $g$  has  $(\delta, h)$ -hitting monochromatic rectangle-distributions.

The distribution  $\sigma_0$  for  $\text{GH}_{n, \frac{1}{4}}$  is sampled as follows: we first sample a random string  $x$  of Hamming weight  $\frac{n}{2}$ , and we look at the set of all strings of Hamming weight  $\frac{n}{2}$  which are at Hamming distance at most  $\frac{n}{8}$  from  $x$ . Let's call this set  $U_x$ . The output of  $\sigma_0$  will be the rectangle  $U_x \times U_x$ . The output of  $\sigma_1$  is  $U_x \times U_{\bar{x}}$ , where  $\bar{x}$  is the bit-wise complement of  $x$ . For any such  $x$ ,  $U_x \times U_x$  will be a 0-monochromatic rectangle and  $U_x \times U_{\bar{x}}$  will be a 1-monochromatic rectangle. Note that if  $U_x$  does not hit a subset  $A$  of  $\{0, 1\}^n$ , then it means that  $x$  is at least  $\frac{n}{8}$  Hamming distance away from the set  $A$ . By an application of Harper's theorem, we can show that for a sufficiently large set  $A$ , the number of strings which are at least  $\frac{n}{8}$  Hamming distance away from  $A$  is exponentially small. This will imply that both  $\sigma_0$  and  $\sigma_1$  will hit a sufficiently large rectangle with probability exponentially close to 1, which is our required hitting property.

The  $\sigma_0$  distribution for  $\text{IP}_n$  is picked as follows: To produce a rectangle  $U \times V$  we sample uniformly at random a linear sub-space  $V \subseteq F_2^n$  of dimension  $n/2$  and we set  $U = V^\perp$  to be

the orthogonal complement of  $V$ . Since a random vector space of size  $2^{n/2}$  hits a fixed subset of  $\{0, 1\}^n$  of size  $2^{(\frac{1}{2} + \epsilon)n}$  with probability  $1 - O(2^{-\epsilon n})$ , and both  $U$  and  $V$  are random vector spaces of that size,  $U \times V$  intersects a given rectangle  $X \times Y$  with probability  $1 - O(2^{-\epsilon n})$ . Hence, we obtain  $(O(2^{-\epsilon n}), (\frac{1}{2} + \epsilon)n)$ -hitting distribution for  $\text{IP}$ . For the 1-monochromatic case, we first pick a random  $a \in F_2^n$  of odd hamming weight and then pick random  $V$  and  $U = V^\perp$  inside of the orthogonal complement of  $a$ . The distribution  $\sigma_1$  outputs the 1-monochromatic rectangle  $(a + V) \times (a + U)$ , and will have the required hitting property.

## 1.2 Organization

Section 2 consists of basic definitions and preliminaries. In Section 3 we prove a deterministic simulation theorem for any gadget admitting  $(\delta, h)$ -hitting monochromatic rectangle-distribution: sub-section 3.1 provides some supporting lemmas for the proof, and sub-section 3.2 holds the proof itself. In Section 4 we show that  $\text{IND}_n$  on  $n$ -bits has  $(\frac{1}{10}, \frac{3}{20} \log n)$ -hitting rectangle distribution, in Section 5 we show that  $\text{GH}_{n, \frac{1}{4}}$  on  $n$ -bits has  $(o(1), \frac{n}{100})$ -hitting rectangle distribution, and in Section 6 we show that  $\text{IP}$  on  $n$ -bits has  $(o(1), n/5)$ -hitting rectangle distribution.

## 2 Basic definitions and preliminaries

A *combinatorial rectangle*, or just a *rectangle* for short, is any product  $A \times B$ , where both  $A$  and  $B$  are finite sets. If  $A' \subseteq A$  and  $B' \subseteq B$ , then  $A' \times B'$  is called a *sub-rectangle* of  $A \times B$ . The *density* of  $A'$  in  $A$  is  $\alpha = |A'|/|A|$ .

Consider a product set  $\mathcal{A} = \mathcal{A}_1 \times \dots \times \mathcal{A}_p$ , for some natural number  $p \geq 1$ , where each  $\mathcal{A}_i$  is a subset of  $\{0, 1\}^n$ . Let  $A \subseteq \mathcal{A}$  and  $I \subseteq [p] \stackrel{\text{def}}{=} \{1, \dots, p\}$ . Let  $I = \{i_1 < i_2 < \dots < i_k\}$ , and  $J = [p] \setminus I$ . For any  $a \in (\{0, 1\}^n)^p$ , we let  $a_I = \langle a_{i_1}, a_{i_2}, \dots, a_{i_k} \rangle$  be the projection of  $a$  onto the coordinates in  $I$ . Correspondingly,  $A_I = \{a_I \mid a \in A\}$  is the projection of the entire set  $A$  onto  $I$ . For any  $a' \in (\{0, 1\}^n)^k$  and  $a'' \in (\{0, 1\}^n)^{p-k}$ , we denote by  $a' \times_I a''$  the  $p$ -tuple  $a$  such that  $a_I = a'$  and  $a_J = a''$ . If  $I = [k]$  for some  $k \leq p$ , we may omit the set  $I$  and write only  $a' \times a''$ . For  $i \in [p]$  and a  $p$ -tuple  $a$ ,  $a_{\neq i}$  denotes  $a_{[p] \setminus \{i\}}$ , and similarly,  $A_{\neq i}$  denotes  $A_{[p] \setminus \{i\}}$ . For  $a' \in (\{0, 1\}^n)^k$ , we define the set of extensions  $\text{Ext}_A^J(a') = \{a'' \in (\{0, 1\}^n)^{p-k} \mid a' \times_I a'' \in A\}$ ; we call those  $a''$  *extensions* of  $a'$ . Again, if  $A$  and  $I$  are clear from the context, we may omit them and write only  $\text{Ext}(a')$ .

Suppose  $n \geq 1$  is an integer and  $\mathcal{A} = \{0, 1\}^n$ . For an integer  $p$ , a set  $A \subseteq \mathcal{A}^p$  and a subset  $S \subseteq \mathcal{A}$ , the restriction of  $A$  to  $S$  at coordinate  $i$  is the set  $A^{i,S} = \{a \in A \mid a_i \in S\}$ . We write  $A_I^{i,S}$  for the set  $(A^{i,S})_I$  (i.e. we first restrict the  $i$ -th coordinate then project onto the coordinates in  $I$ ). Clearly  $A_{\neq i}^{i,S}$  is non-empty if and only if  $S$  and  $A_i$  intersect.

The density of a set  $A \subseteq \mathcal{A}^p$  will be denoted by  $\alpha = \frac{|A|}{|\mathcal{A}|^p}$ , and  $\alpha_I^{i,S} = \frac{|A_I^{i,S}|}{|A_I|^{|I|}}$ .

## Deterministic communication complexity

See [KN97] for an excellent exposition on this topic, which we cover here only very briefly. In the two-party communication model introduced by Yao [Yao79], two computationally unbounded players, Alice and Bob, are required to jointly compute a function  $F : \mathcal{A} \times \mathcal{B} \rightarrow \mathcal{Z}$  where Alice is given  $a \in \mathcal{A}$  and Bob is given  $b \in \mathcal{B}$ . To compute  $F$ , Alice and Bob communicate messages to each other, and they are charged for the total number of bits exchanged.

Formally, a *deterministic protocol*  $\pi : \mathcal{A} \times \mathcal{B} \rightarrow \mathcal{Z}$  is a binary tree where each internal node  $v$  is associated with one of the players; Alice's nodes are labeled by a function  $a_v : \mathcal{A} \rightarrow \{0, 1\}$ , and Bob's nodes by  $b_v : \mathcal{B} \rightarrow \{0, 1\}$ . Each leaf node is labeled by an element of  $\mathcal{Z}$ . For each internal node  $v$ , the two outgoing edges are labeled by 0 and 1 respectively. The *execution* of  $\pi$  on the input  $(a, b) \in \mathcal{A} \times \mathcal{B}$  follows a path in this tree: starting from the root, in each internal node  $v$  belonging to Alice, she communicates  $a_v(a)$ , which advances the execution to

the corresponding child of  $v$ ; Bob does likewise on his nodes, and once the path reaches a leaf node, this node's label is the output of the execution. We say that  $\pi$  *correctly computes*  $F$  on  $(a, b)$  if this label equals  $F(a, b)$ .

To each node  $v$  of a deterministic protocol  $\pi$  we associate a set  $R_v \subseteq \mathcal{A} \times \mathcal{B}$  comprising those inputs  $(a, b)$  which cause  $\pi$  to reach node  $v$ . It is easy to see that this set  $R_v$  is a combinatorial rectangle, i.e.  $R_v = A_v \times B_v$  for some  $A_v \subseteq \mathcal{A}$  and  $B_v \subseteq \mathcal{B}$ .

The *communication complexity* of  $\pi$  is the height of the tree. The *deterministic communication complexity* of  $F$ , denoted  $\mathcal{D}^{cc}(F)$ , is defined as the smallest communication complexity of any deterministic protocol which correctly computes  $F$  on every input.

## Non-deterministic communication complexity

In the model of non-deterministic communication, we introduce another special player, namely the *prover*, who has access to both Alice and Bob's inputs, and whose job is to furnish a proof  $w$  (a binary string) to Alice and Bob witnessing  $F(x, y) = 1$ , whether or not it is the case. The *prover* is not trustworthy, and hence Alice and Bob has to verify the proof independently, and convince themselves whether it really is the case. If  $F(x, y) = 0$ , then at least one of the players should be able to detect that the proof is wrong. The *non-deterministic communication complexity* of  $F$ , denoted by  $\mathcal{N}^{cc}(F)$ , is defined to be the shortest length of the proof required for Alice and Bob to verify correctly on all inputs.

**Covering.** The measure of non-deterministic communication complexity can be viewed in the following combinatorial way: Consider the input space  $\mathcal{A} \times \mathcal{B}$  (which is a combinatorial rectangle) and a covering of the inputs  $(x, y)$  for which  $F(x, y) = 1$  by rectangles. These rectangles need not be disjoint, — the only condition is that every  $(x, y)$  for which  $F(x, y) = 1$  needs to lie in at least one rectangle, and no  $(x, y)$  for which  $F(x, y) = 0$  lies in any of these rectangles. The  *$z$ -cover number*, denoted by  $\text{Cov}_z(F)$  where  $z \in \{0, 1\}$ , is the smallest number of rectangles required to cover the  $z$ -inputs of  $F$ . A little thinking shows that the non-deterministic communication complexity of  $F$  is exactly equal to the logarithm of 1-cover number of  $F$ , i.e.,  $\mathcal{N}^{cc}(F) = \log \text{Cov}_1(F)$ . The co-nondeterministic communication complexity of  $F$ , denoted by  $\text{co}\mathcal{N}^{cc}(F)$ , is, likewise, equal to  $\log \text{Cov}_0(F)$ .

**Partition number.** As mentioned in the previous section, an interesting combinatorial property of the rectangle  $\mathcal{A} \times \mathcal{B}$  is the *partition number* of the rectangle which is defined as follows:

**Definition 2.1.** The partition number of  $F : \mathcal{A} \times \mathcal{B} \rightarrow \mathcal{Z}$  is defined by  $\chi(F) = \sum_{z \in \mathcal{Z}} \chi_z(F)$ , where  $\chi_z(F)$  is the smallest number of rectangles needed to partition the inputs  $(x, y)$  such that  $F(x, y) = z$  (i.e., the set  $F^{-1}(z)$ ).

The quantity  $\chi_z(F)$  is known as the  *$z$ -partition number* of  $F$ . The partition number of  $F$  is closely related to a variant of non-deterministic communication complexity of  $F$ . We call a non-deterministic protocol *unambiguous* if for each  $(x, y) \in F^{-1}(1)$ , there is exactly one proof that the *prover* can provide. The *unambiguous non-deterministic communication complexity* of  $F$ , denoted by  $\mathcal{UN}^{cc}(F)$ , is then equal to the logarithm of the 1-partition number of  $F$ , i.e.,  $\mathcal{UN}^{cc}(F) = \log \chi_1(F)$ . Likewise, we can define  $\text{co}\mathcal{UN}^{cc}(F) = \log \chi_0(F)$ , and  $2\mathcal{UN}^{cc}(F) = \log \chi(F)$ , where  $2\mathcal{UN}^{cc} = \mathcal{UN}^{cc} \cap \text{co}\mathcal{UN}^{cc}$ .

## Decision tree complexity

In the (Boolean) decision-tree model, we wish to compute a function  $f : \{0, 1\}^p \rightarrow \mathcal{Z}$  when given query access to the input, and are charged for the total number of queries we make.

Formally, a *deterministic decision-tree*  $T : \{0, 1\}^p \rightarrow \mathcal{Z}$  is a rooted binary tree where each internal node  $v$  is labeled with a variable-number  $i \in [p]$ , each edge is labeled 0 or 1, and and

each leaf is labeled with an element of  $\mathcal{Z}$ . The execution of  $T$  on an input  $z \in \{0, 1\}^p$  traces a path in this tree: at each internal node  $v$  it queries the corresponding coordinate  $z_i$ , and follows the edge labeled  $z_i$ . Whenever the algorithm reaches a leaf, it outputs the associated label and terminates. We say that  $T$  *correctly computes*  $f$  on  $z$  if this label equals  $f(z)$ .

The *query complexity* of  $T$  is the height of the tree. The *deterministic query complexity* of  $f$ , denoted  $\mathcal{D}^{dt}(F)$ , is defined as the smallest query complexity of any deterministic decision-tree which correctly computes  $f$  on every input.

## Functions of interest

The *Inner-product function on  $n$ -bits*, denoted  $\text{IP}_n$  is defined on  $\{0, 1\}^n \times \{0, 1\}^n$  to be:

$$\text{IP}_n(x, y) = \sum_{i \in [n]} x_i \cdot y_i \pmod{2}.$$

The *Indexing function on  $n$ -bits*,  $\text{IND}_n$ , is defined on  $\{0, 1\}^{\log n} \times \{0, 1\}^n$  to be:

$$\text{IND}_n(x, y) = y_x \quad (\text{the } x\text{'th bit of } y).$$

Let  $n$  be a natural number and  $\gamma = \frac{k}{n} \in (0, 1/2)$ . For two  $n$ -bit strings  $x$  and  $y$ , let  $d_H(x, y) = \sum_i x_i \oplus y_i$  be their Hamming-distance. The *gap-Hamming problem*, denoted  $\text{GH}_{n, \gamma}$  is a promise-problem defined on  $\{0, 1\}^n \times \{0, 1\}^n$ , by the condition

$$\text{GH}_{n, \gamma}(x, y) = \begin{cases} 1 & \text{if } d_H(x, y) \geq (\frac{1}{2} + \gamma) n, \\ 0 & \text{if } d_H(x, y) \leq (\frac{1}{2} - \gamma) n. \end{cases}$$

## 3 Deterministic simulation theorem

A *simulation theorem* shows how to construct a decision tree for a function  $f$  from a communication protocol for a composition problem  $f \circ g^p$ . Such a theorem can also be called a *lifting theorem*, if one wishes to emphasize that lower-bounds for the decision-tree complexity of  $f$  can be *lifted* to lower-bounds for the communication complexity of  $f \circ g^p$ . As mentioned in Section 1, the deterministic lifting theorem proved in [RM99], and subsequently simplified in [GPW15], uses  $\text{IND}_N$  as inner function  $g$  with  $N$  being polynomially larger than  $p$ . In this section we will show a deterministic simulation theorem for any function which possesses a certain pseudo-random property, which we will now define. Later we will show that the Inner-product and any function of gap-Hamming family have this property.

**Definition 3.1** (Hitting rectangle-distributions). Let  $0 \leq \delta < 1$  be a real,  $h \geq 1$  be an integer, and  $\mathcal{A}, \mathcal{B}$  be some sets. A distribution  $\sigma$  over rectangles within  $\mathcal{A} \times \mathcal{B}$  is called a  $(\delta, h)$ -*hitting rectangle-distribution* if, for any rectangle  $A \times B$  with  $|A|/|\mathcal{A}|, |B|/|\mathcal{B}| \geq 2^{-h}$ ,

$$\Pr_{R \sim \sigma} [R \cap (A \times B) \neq \emptyset] \geq 1 - \delta.$$

Let  $g : \mathcal{A} \times \mathcal{B} \rightarrow \{0, 1\}$  be a (possibly partial) function. A rectangle  $A \times B$  is  $c$ -monochromatic with respect to  $g$  if  $g(a, b) = c$  for every  $(a, b) \in A \times B$ .

**Definition 3.2.** For a real  $\delta \geq 0$  and an integer  $h \geq 1$ , we say that a (possibly partial) function  $g : \mathcal{A} \times \mathcal{B} \rightarrow \{0, 1\}$  has  $(\delta, h)$ -*hitting monochromatic rectangle-distributions* if there are two  $(\delta, h)$ -hitting rectangle-distributions  $\sigma_0$  and  $\sigma_1$ , where each  $\sigma_c$  is a distribution over rectangles within  $\mathcal{A} \times \mathcal{B}$  that are  $c$ -monochromatic with respect to  $g$ .

The theorem we will prove in Section 3.2 is the following:

**Theorem 3.3** (Theorem 1.1 restated). Let  $\varepsilon \in (0, 1)$  and  $\delta \in (0, \frac{1}{100})$  be real numbers, and let  $h \geq 6/\varepsilon$  and  $1 \leq p \leq 2^{h(1-\varepsilon)}$  be integers. Let  $f : \{0, 1\}^p \rightarrow \mathcal{Z}$  be a function and  $g : \mathcal{A} \times \mathcal{B} \rightarrow \{0, 1\}$  be a (possibly partial) function. If  $g$  has  $(\delta, h)$ -hitting monochromatic rectangle-distributions then

$$\mathcal{D}^{dt}(f) \leq \frac{4}{\varepsilon \cdot h} \cdot \mathcal{D}^{cc}(f \circ g^p).$$

In Section 5 we will show that  $\text{GH}_{n, \frac{1}{4}}$  has  $(o(1), \frac{n}{100})$ -hitting monochromatic rectangle-distributions. From this we obtain a simulation theorem for  $\text{GH}_{n, \frac{1}{4}}$ :

**Corollary 3.4.** Let  $n$  be large enough even integer,  $\varepsilon \in (0, 1)$ , and  $p \leq 2^{\frac{n}{100}(1-\varepsilon)}$  be an integer. For any function  $f : \{0, 1\}^p \rightarrow \{0, 1\}$ ,  $\mathcal{D}^{dt}(f) \leq \frac{400}{n\varepsilon} \cdot \mathcal{D}^{cc}(f \circ \text{GH}_{n, \frac{1}{4}}^p)$ .

In Section 6 we will show that  $\text{IP}_n$  has  $(o(1), n(\frac{1}{2} - \varepsilon))$ -hitting monochromatic rectangle-distributions, for any constant  $\varepsilon \in (0, 1/2)$ . This allows us to derive after some simple calculations:

**Corollary 3.5.** Let  $n$  be large enough integer,  $\varepsilon \in (0, 1/2)$  be a constant real, and  $p \leq 2^{(\frac{1}{2}-\varepsilon)n}$  be an integer. For any function  $f : \{0, 1\}^p \rightarrow \{0, 1\}$ ,  $\mathcal{D}^{dt}(f) \leq \frac{36}{n\varepsilon} \cdot \mathcal{D}^{cc}(f \circ \text{IP}_n^p)$ .

These two corollaries together imply <sup>3</sup> Theorem 1.3. This allows us to significantly improve the gadget size known for simulation theorem of [RM99, GPW15], that uses the Indexing function instead of Inner-Product. Indeed, Jakob Nordström [Nor16] recently posed to us the challenge of proving a simulation theorem for  $f \circ \text{IND}_n^p$ , with a gadget size  $n$  smaller than  $p^3$  ( $p^3$  is already a significant improvement to [RM99, GPW15]).

This follows from the above corollary, because of the following reduction: Given an instance  $(a, b) \in (\{0, 1\}^{mp})^2$  of  $f \circ \text{IP}_m^p$  where  $p \leq 2^{m(\frac{1}{2}-\varepsilon)}$ , Alice and Bob can construct an instance of  $f \circ \text{IND}_n^p$  where  $n = 2^m$ . Bob converts his input  $b \in \{0, 1\}^{mp}$  to  $b' \in \{0, 1\}^{np}$ , so that each  $b'_i = [\text{IP}_n(x_1, b_i), \dots, \text{IP}_n(x_n, b_i)]$  where  $\{x_1, \dots, x_n\} = \{0, 1\}^m$  is an ordering of all  $m$ -bit strings. It is easy to see that  $\text{IP}_m(a_i, b_i) = \text{IND}_n(a_i, b'_i)$ . Hence it follows as a corollary to our result for  $\text{IP}$ :

**Corollary 3.6.** Let  $\varepsilon \in (0, 1/2)$  be a constant real number, and  $n$  and  $p$  be sufficiently large natural numbers, such that  $p \leq n^{\frac{1}{2}-\varepsilon}$ . Then  $\mathcal{D}^{dt}(f) = \frac{36}{\varepsilon \cdot \log n} \cdot \mathcal{D}^{cc}(f \circ \text{IND}_n^p)$ .

Also, it is worth noting that the proof of Lemma 7 in [GPW15], which Göös et al. call ‘Projection Lemma’, implicitly proves that  $\text{IND}_n$  has  $(\frac{1}{150}, \frac{3}{20} \log n)$ -hitting rectangle-distribution. Here the  $c$ -monochromatic rectangle distribution ( $c$  is either 1 or 0) is sampled as follows: Alice samples a subset of indices  $U \subset [n]$  of size  $n^{7/20}$ , and Bob picks  $V \subset \{0, 1\}^n$  where  $V = \{b \mid b_j = c \text{ for all } j \in U\}$ .<sup>4</sup> Hence we can also apply Theorem 3.3 directly to obtain a corollary similar to Corollary 3.6 (albeit with much larger gadget size  $n$ ). See Section 4 for a detailed derivation.

<sup>3</sup>The constant  $\frac{1}{4}$  for  $\text{GH}_{n, \frac{1}{4}}^p$  in Corollary 3.4 is arbitrary. For any gap  $\zeta \leq \frac{1}{2}$ , we can show for  $\text{GH}_{n, \zeta}^p$  a  $(2^{-n(1-H(\frac{1}{2}-\zeta))}, (1-H(\frac{1}{2}-\zeta))n)$ -hitting monochromatic distribution, where  $H(\cdot)$  is the binary entropy function.

<sup>4</sup>Readers may note that  $\delta$  in the proof of Claim 9 of [GPW15] is  $1/4$ , where as we need  $\delta < 1/100$ . This is not a problem, as we can make  $\delta$  as small a constant as we wish for by the same calculation as that in the proof of Claim 9.

### 3.1 Thickness and its properties

In this section, we list out a bunch of properties related to ‘thickness’ (a combinatorial property of a set that we will define below, — readers may also refer to [GPW15].) that we will need in Section 3.2 to prove a simulation theorem.

**Definition 3.7** (Aux graph, average and min-degrees). Let  $p \geq 2$ . For  $i \in [p]$  and  $A \subseteq \mathcal{A}^p$ , the aux graph  $G(A, i)$  is the bipartite graph with left side vertices  $A_i$ , right side vertices  $A_{\neq i}$  and edges corresponding to the set  $A$ , i.e.,  $(a', a'')$  is an edge iff  $a' \times_{\{i\}} a'' \in A$ .

We define the average degree of  $G(A, i)$  to be the average right-degree:

$$d_{\text{avg}}(A, i) = \frac{|A|}{|A_{\neq i}|},$$

and the min-degree of  $G(A, i)$ , to be the minimum right-degree:

$$d_{\text{min}}(A, i) = \min_{a' \in A_{\neq i}} |\text{Ext}(a')|.$$

**Definition 3.8** (Thickness and average-thickness). For  $p \geq 2$  and  $\tau, \varphi \in (0, 1)$ , a set  $A \subseteq \mathcal{A}^p$  is called  $\tau$ -thick if  $d_{\text{min}}(A, i) \geq \tau \cdot |\mathcal{A}|$  for all  $i \in [p]$ . (Note, an empty set  $A$  is  $\tau$ -thick.) Similarly,  $A$  is called  $\varphi$ -average-thick if  $d_{\text{avg}}(A, i) \geq \varphi \cdot |\mathcal{A}|$  for all  $i \in [p]$ . For a rectangle  $A \times B \subseteq \mathcal{A}^p \times \mathcal{B}^p$ , we say that the rectangle  $A \times B$  is  $\tau$ -thick if both  $A$  and  $B$  are  $\tau$ -thick. For  $p = 1$ , set  $A \subseteq \mathcal{A}$  is  $\tau$ -thick if  $|A| \geq \tau \cdot |\mathcal{A}|$ .

The following property is from [GPW15, Lemma 6].

**Lemma 3.9** (Average-thickness implies thickness). For any  $p \geq 2$ , if  $A \subseteq \mathcal{A}^p$  is  $\varphi$ -average-thick, then for every  $\delta \in (0, 1)$  there is a  $\frac{\delta}{p}\varphi$ -thick subset  $A' \subseteq A$  with  $|A'| \geq (1 - \delta)|A|$ .

*Proof.* The set  $A'$  is obtained by running Algorithm 1.

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#### Algorithm 1

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- 1: Set  $A^0 = A$ ,  $j = 0$ .
  - 2: **while**  $d_{\text{min}}(A^j, i) < \frac{\delta}{p}\varphi \cdot 2^n$  for some  $i \in [p]$  **do**
  - 3:     Let  $a'$  be a right node of  $G(A^j, i)$  with non-zero degree less than  $\frac{\delta}{p}\varphi \cdot 2^n$ .
  - 4:     Set  $A^{j+1} = A^j \setminus \{a'\} \times_i \text{Ext}(a')$ , i.e., remove every extension of  $a'$ . Increment  $j$ .
  - 5: Set  $A' = A^j$ .
- 

The total number of iteration of the algorithm is at most  $\sum_{i \in [p]} |A_{\neq i}|$ . (We remove at least one node in some  $G(A^j, i)$  in each iteration which was a node also in the original  $G(A, i)$ .) So the number of iterations is at most

$$\sum_{i \in [p]} |A_{\neq i}| = \sum_{i \in [p]} \frac{|A|}{d_{\text{avg}}(A, i)} \leq \frac{p|A|}{\varphi 2^n}.$$

As the algorithm removes at most  $\frac{\delta}{p}\varphi \cdot 2^n$  elements of  $A$  in each iteration, the total number of elements removed from  $A$  is at most  $\delta|A|$ , so  $|A'| \geq (1 - \delta)|A|$ . Hence, the algorithm always terminates with a non-empty set  $A'$  that must be  $\frac{\delta}{p}\varphi$ -thick.  $\square$

**Lemma 3.10.** Let  $p \geq 2$  be an integer,  $i \in [p]$ ,  $A \subseteq \mathcal{A}^p$  be a  $\tau$ -thick set, and  $S \subseteq \mathcal{A}$ . The set  $A_{\neq i}^{i, S}$  is  $\tau$ -thick.  $A_{\neq i}^{i, S}$  is empty iff  $S \cap A_i$  is empty.

*Proof.* Notice that  $A_{\neq i}^{i,S}$  is non-empty iff  $S \cap A_i$  is non-empty. Consider the case of  $p \geq 3$ . Let  $a \in A$ , where  $a_i \in S$ . Set  $a' = a_{\neq i}$ . For  $j' \in [p-1]$ , let  $j = j' + 1$  if  $j' \geq i$ , and  $j = j'$  otherwise. Clearly,  $\text{Ext}_A^{\{j\}}(a_{\neq j}) \subseteq \text{Ext}_{A_{\neq i}^{i,S}}^{\{j'\}}(a'_{\neq j'})$ , hence the degree of  $a'$  in  $G(A_{\neq i}^{i,S}, j')$  is at least the degree of  $a$  in  $G(A, j)$  which is at least  $\tau \cdot |A|$ . Hence,  $A_{\neq i}^{i,S}$  is  $\tau$ -thick.

To see the case  $p = 2$ , assume there is some string  $a' \in A_{\neq i}$  which has some extension  $a'' \in S$ ; but  $A$  itself is  $\tau$ -thick, so there have to be at least  $\tau \cdot |A|$  many such  $a'$ , which will then all be in  $A_{\neq i}^{i,S}$ .  $\square$

**Lemma 3.11.** Let  $h \geq 1$ ,  $p \geq 2$  and  $i \in [p]$  be integers and  $\delta, \tau, \varphi \in (0, 1)$  be reals, where  $\tau \geq 2^{-h}$ . Consider a function  $g : \mathcal{A} \times \mathcal{B} \rightarrow \{0, 1\}$  which has  $(\delta, h)$ -hitting monochromatic rectangle-distributions. Suppose  $A \times B \subseteq \mathcal{A}^p \times \mathcal{B}^p$  is a non-empty rectangle which is  $\tau$ -thick, and suppose also that  $d_{\text{avg}}(A, i) \leq \varphi \cdot |A|$ . Then for any  $c \in \{0, 1\}$ , there is a  $c$ -monochromatic rectangle  $U \times V \subseteq \mathcal{A} \times \mathcal{B}$  such that

1.  $A_{\neq i}^{i,U}$  and  $B_{\neq i}^{i,V}$  is  $\tau$ -thick,
2.  $\alpha_{\neq i}^{i,U} \geq \frac{1}{\varphi}(1 - 3\delta)\alpha$ ,
3.  $\beta_{\neq i}^{i,V} \geq (1 - 3\delta)\beta$ ,

where  $\alpha = |A|/|\mathcal{A}|^p$ ,  $\beta = |B|/|\mathcal{B}|^p$ ,  $\alpha_{\neq i}^{i,U} = |A_{\neq i}^{i,U}|/|\mathcal{A}|^{p-1}$  and  $\beta = |B_{\neq i}^{i,U}|/|\mathcal{B}|^{p-1}$ .

The constant 3 in the statement may be replaced by any value greater than 2, so the lemma is still meaningful for  $\delta$  arbitrarily close to  $1/2$ .

*Proof.* Fix  $c \in \{0, 1\}$ . Consider a matrix  $M$  where rows correspond to strings  $a \in A_{\neq i}$ , and columns correspond to rectangles  $R = U \times V$  in the support of  $\sigma_c$ . Set each entry  $M(a, R)$  to 1 if  $U \cap \text{Ext}_A^{\{i\}}(a) \neq \emptyset$ , and set it to 0 otherwise.

For each  $a \in A_{\neq i}$ ,  $|\text{Ext}_A^{\{i\}}(a)| \geq \tau|A|$ , and because  $\sigma_c$  is a  $(\delta, h)$ -hitting rectangle-distribution and  $\tau \geq 2^{-h}$ , we know that if we pick a column  $R$  according to  $\sigma_c$ , then  $M(a, R) = 1$  with probability  $\geq 1 - \delta$ . So the probability that  $M(a, R) = 1$  over uniform  $a$  and  $\sigma_c$ -chosen  $R$  is  $\geq 1 - \delta$ .

Call a column of  $M$   $A$ -good if  $M(a, R) = 1$  for at least  $1 - 3\delta$  fraction of the rows  $a$ . Now it must be the case that the  $A$ -good columns have strictly more than  $1/2$  of the  $\sigma_c$ -mass. Otherwise the probability that  $M(a, R) = 1$  would be  $< 1 - \delta$ .

A similar argument also holds for Bob's set  $B_{\neq i}$ . Hence, there is a  $c$ -monochromatic rectangle  $R = U \times V$  whose column is both  $A$ -good and  $B$ -good in their respective matrices. This is our desired rectangle  $R$ .

We know:  $|A_{\neq i}^{i,V}| \geq (1 - 3\delta)|A_{\neq i}|$  and  $|B_{\neq i}^{i,V}| \geq (1 - 3\delta)|B_{\neq i}|$ . Since  $|B_{\neq i}| \geq |B|/|\mathcal{B}|$ , we obtain  $|B_{\neq i}^{i,V}|/|\mathcal{B}|^{p-1} \geq (1 - 3\delta)|B_{\neq i}|/|\mathcal{B}|^{p-1} \geq (1 - 3\delta)\beta$ . Because  $|A|/|A_{\neq i}| \leq \varphi|A|$ , we get

$$\frac{|A_{\neq i}|}{|\mathcal{A}|^{(p-1)}} \geq \frac{1}{\varphi} \cdot \frac{|A|}{|\mathcal{A}|^p} = \frac{\alpha}{\varphi}.$$

Combined with the lower bound on  $|A_{\neq i}^{i,V}|$  we obtain  $|A_{\neq i}^{i,U}|/|\mathcal{A}|^{p-1} \geq (1 - 3\delta)\alpha/\varphi$ . The thickness of  $A_{\neq i}^{i,U}$  and  $B_{\neq i}^{i,V}$  follows from Lemma 3.10.  $\square$

**Lemma 3.12.** Let  $p, h \geq 1$  be integers and  $\delta, \tau \in (0, 1)$  be reals, where  $\tau \geq 2^{-h}$ . Consider a function  $g : \mathcal{A} \times \mathcal{B} \rightarrow \{0, 1\}$  which has  $(\delta, h)$ -hitting monochromatic rectangle-distributions. Let  $A \times B \subseteq \mathcal{A}^p \times \mathcal{B}^p$  be a  $\tau$ -thick non-empty rectangle. Then for every  $z \in \{0, 1\}^p$  there is some  $(a, b) \in A \times B$  with  $g^p(a, b) = z$ .

*Proof.* This follows from repeated use of Lemma 3.10. Fix arbitrary  $z \in \{0, 1\}^p$ . Set  $A^{(1)} = A$  and  $B^{(1)} = B$ . We proceed in rounds  $i = 1, \dots, p-1$  maintaining a  $\tau$ -thick rectangle  $A^{(i)} \times B^{(i)} \subseteq \mathcal{A}^{p-i+1} \times \mathcal{B}^{p-i+1}$ . If we pick  $U_i \times V_i$  from  $\sigma_{z_i}$ , then the rectangle

$(A^{(i)})_{\{i\}} \cap U_i \times (B^{(i)})_{\{i\}} \cap V_i$  will be non-empty with probability  $\geq 1 - \delta > 0$  (because  $\sigma_{z_i}$  is a  $(\delta, h)$ -hitting rectangle-distribution and  $\tau \geq 2^{-h}$ ). Fix such  $U_i$  and  $V_i$ . Set  $a_i$  to an arbitrary string in  $(A^{(i)})_{\{i\}} \cap U_i$ , and  $b_i$  to an arbitrary string in  $(B^{(i)})_{\{i\}} \cap V_i$ . Set  $A^{(i+1)} = (A^{(i)})_{\neq i}^{i, \{a_i\}}$ ,  $B^{(i+1)} = (B^{(i)})_{\neq i}^{i, \{b_i\}}$ , and proceed for the next round. By Lemma 3.10,  $A^{(i+1)} \times B^{(i+1)}$  is  $\tau$ -thick.

Eventually, we are left with a rectangle  $A^{(p)} \times B^{(p)} \subseteq \mathcal{A} \times \mathcal{B}$  where both  $A^{(p)}$  and  $B^{(p)}$  are  $\tau$ -thick (and non-empty). Again with probability  $1 - \delta > 0$ , the  $z_p$ -monochromatic rectangle  $U_p \times V_p$  chosen from  $\sigma_{z_p}$  will intersect  $A^{(p)} \times B^{(p)}$ . We again set  $a_p$  and  $b_p$  to come from the intersection, and set  $a = \langle a_1, a_2, \dots, a_p \rangle$  and  $b = \langle b_1, b_2, \dots, b_p \rangle$ .  $\square$

## 3.2 Proof of the simulation theorem

Now we are ready to present the simulation theorem (Theorem 3.3). Let  $\varepsilon \in (0, 1/2)$  and  $\delta \in (0, 1/100)$  be real numbers, and  $h \geq 6/\varepsilon$  and  $1 \leq p \leq 2^{h(1-\varepsilon)}$  be integers. Let  $f : \{0, 1\}^p \rightarrow \mathcal{Z}$  be a function and  $g : \mathcal{A} \times \mathcal{B} \rightarrow \{0, 1\}$  be a (possibly partial) function. Assume that  $g$  has  $(\delta, h)$ -hitting monochromatic rectangle-distributions. We assume we have a communication protocol  $\Pi$  for solving  $f \circ g^p$ , and we will use  $\Pi$  to construct a decision tree (procedure) for  $f$ . Let  $C$  be the communication cost of the protocol  $\Pi$ . If  $p \leq 5C/h$  the theorem is true trivially. So assume  $p > 5C/h$ . Set  $\varphi = 4 \cdot 2^{-\varepsilon h}$  and  $\tau = 2^{-h}$ . The decision-tree procedure is presented in Algorithm 2. On an input  $z \in \{0, 1\}^p$ , it uses the protocol  $\Pi$  to decide which bits of  $z$  to query.

### An informal description of simulation algorithm

Given an input  $z \in \{0, 1\}^p$ , the algorithm starts traversing a path from the root of the protocol tree of  $\Pi$ . The variable  $v$  indicates the node of the protocol tree which is the current-node during the ongoing simulation. Associated with  $v$ , the algorithm maintains a rectangle  $A \times B \subseteq \mathcal{A}^p \times \mathcal{B}^p$  and a set  $I \subseteq [p]$  of indices.  $I$  corresponds to coordinates of the input  $z$  that were not queried, yet. Throughout the execution of the algorithm, the following invariants are maintained: The set  $A \times B$  is thick in the coordinates  $I$ , and every pair of inputs  $(x, y) \in A \times B$  is consistent with the answer to the queries made so far. To start off,  $I$  is  $[p]$ , and  $A \times B = \mathcal{A}^p \times \mathcal{B}^p$ . So the invariants are trivially maintained at the beginning.

In each iteration of the simulation, the algorithm checks the following condition: Are both  $A_I$  and  $B_I$   $\varphi$ -average-thick? Depending on the answer to this check, the algorithm does one of the following two things — If both  $A_I$  and  $B_I$  are  $\varphi$ -average-thick, the algorithm proceeds to that child of  $v$  which has at least half the mass of  $A \times B$ , and apply Lemma 3.9 to prune the rectangle associated with that child to ensure the thickness condition. Note that the working set  $A \times B$  loses a constant fraction of density in doing so.

Otherwise, if there is a coordinate  $i$  in  $I$ , where  $A_I$  or  $B_I$  has low average degree, then the algorithm queries  $z_i$  and, depending on the value of  $z_i$ , applies Lemma 3.11 accordingly. Lemma 3.11 crucially exploits the fact that  $A_I$  and  $B_I$  is thick in  $i$ -th coordinate, and outputs a sub-rectangle of  $A \times B$  which, in the  $i$ -th coordinate, is restricted to a  $z_i$ -monochromatic rectangle  $U \times V$ , while maintaining the thickness invariant in the coordinates  $I \setminus \{i\}$ . This also results in a boost in density of  $A \times B$  in the current working universe  $\mathcal{A}^{I \setminus i} \times \mathcal{B}^{I \setminus i}$ . The algorithm updates  $I$  to be  $I \setminus \{i\}$  and reiterates (i.e, does the average-thickness check again on  $A \times B$  in the coordinate of the new  $I$ ). We describe the parameters of the algorithm next in more detail.

---

**Algorithm 2** Decision-tree procedure

---

**Input:**  $z \in \{0, 1\}^p$ **Output:**  $f(z)$ 

- 1: Set  $v$  to be the root of the protocol tree for  $\Pi$ ,  $I = [p]$ ,  $A = \mathcal{A}^p$  and  $B = \mathcal{B}^p$ .
- 2: **while**  $v$  is not a leaf **do**
- 3:   **if**  $A_I$  and  $B_I$  are both  $\varphi$ -average-thick **then**
- 4:     Let  $v_0, v_1$  be the children of  $v$ .
- 5:     Choose  $c \in \{0, 1\}$  for which there is  $A' \times B' \subseteq (A \times B) \cap R_{v_c}$  such that

- 
- 6:       (1)  $|A'_I \times B'_I| \geq \frac{1}{4}|A_I \times B_I|$
  - 7:       (2)  $A'_I \times B'_I$  is  $\tau$ -thick. ▷ Using Lemma 3.9
- 

- 8:     Update  $A = A'$ ,  $B = B'$  and  $v = v_c$ .
  - 9:   **else if**  $d_{\text{avg}}(A_I, j) < \varphi|A|$  for some  $j \in [I]$  **then**
  - 10:    Query  $z_i$ , where  $i$  is the  $j$ -th (smallest) element of  $I$ .
- 

- 11:    Let  $U \times V$  be a  $z_i$ -monochromatic rectangle of  $g$  such that
  - 12:     (1)  $A_{I \setminus \{i\}}^{i,U} \times B_{I \setminus \{i\}}^{i,V}$  is  $\tau$ -thick,
  - 13:     (2)  $\alpha_{I \setminus \{i\}}^{i,U} \geq \frac{1}{\varphi}(1 - 3\delta)\alpha$ ,
  - 14:     (3)  $\beta_{I \setminus \{i\}}^{i,V} \geq (1 - 3\delta)\beta$ , ▷ Using Lemma 3.11
- 

- 15:    Update  $A = A^{i,U}$ ,  $B = B^{i,V}$  and  $I = I \setminus \{i\}$ .
  - 16:   **else if**  $d_{\text{avg}}(B_I, j) < \varphi|B|$  for some  $j \in [I]$  **then**
  - 17:    Query  $z_i$ , where  $i$  is the  $j$ -th (smallest) element of  $I$ .
- 

- 18:    Let  $U \times V$  be a  $z_i$ -monochromatic rectangle of  $g$  such that
  - 19:     (1)  $A_{I \setminus \{i\}}^{i,U} \times B_{I \setminus \{i\}}^{i,V}$  is  $\tau$ -thick,
  - 20:     (2)  $\alpha_{I \setminus \{i\}}^{i,U} \geq (1 - 3\delta)\alpha$ ,
  - 21:     (3)  $\beta_{I \setminus \{i\}}^{i,V} \geq \frac{1}{\varphi}(1 - 3\delta)\beta$ , ▷ Using Lemma 3.11
- 

- 22:    Update  $A = A^{i,U}$ ,  $B = B^{i,V}$  and  $I = I \setminus \{i\}$ .
  - 23: Output  $f \circ g^p(A \times B)$ .
- 

**Correctness.** The algorithm maintains an invariant that  $A_I \times B_I$  is  $\tau$ -thick. This invariant is trivially true at the beginning.

If both  $A_I$  and  $B_I$  are  $\varphi$ -average-thick, the algorithm finds sets  $A'$  and  $B'$  on line 5–7 as follows. Consider the case that Alice communicates at node  $v$ . She is sending one bit. Let  $A_0$  be inputs from  $A$  on which Alice sends 0 at node  $v$  and  $A_1 = A \setminus A_0$ . We can pick  $c \in \{0, 1\}$  such that  $|(A_c)_I| \geq |A_I|/2$ . Set  $A'' = A_c$ . Since  $A_I$  is  $\varphi$ -average-thick,  $A''_I$  is  $\varphi/2$ -average-thick. So using Lemma 3.9 on  $A''_I$  with  $\delta$  set to  $1/2$ , we can find a subset  $A'$  of  $A''$  such that  $A'_I$  is  $\frac{\varphi}{4 \cdot |I|}$ -thick and  $|A'_I| \geq |A''_I|/2$ . ( $A' \subseteq A''$  will be the pre-image of  $A'_I$  obtained from the lemma.) Since  $\varphi = 4 \cdot 2^{-\varepsilon h}$  and  $|I| \leq p \leq 2^{h(1-\varepsilon)}$ , the set  $A'_I$  will be  $2^{-h}$ -thick, i.e.  $\tau$ -thick. Setting  $B' = B$ , the rectangle  $A' \times B'$  satisfies properties from lines 6–7. A similar argument holds when Bob communicates at node  $v$ .

If  $A_I$  is not  $\varphi$ -average-thick, the existence of  $U \times V$  at line 11 is guaranteed by Lemma 3.11. Similarly in the case when  $B_I$  is not  $\varphi$ -average-thick.

Next we argue that the number of queries made by Algorithm 2 is at most  $5C/\varepsilon h$ . In the first part of the **while** loop (line 3–8), the density of the current  $A_I \times B_I$  drops by a factor 4 in each iteration. There are at most  $C$  such iterations, hence this density can drop by a factor of at most  $4^{-C} = 2^{-2C}$ . For each query that the algorithm makes, the density of the current  $A_I \times B_I$  increases by a factor of at least  $(1 - 3\delta)^2/\varphi \geq \frac{1}{2\varphi} \geq 2^{\varepsilon h - 3}$  (here we use the fact that

$\delta \leq 1/100$ ). Since the density can be at most one, the number of queries is upper bounded by

$$\frac{2C}{\varepsilon h - 3} \leq \frac{4C}{\varepsilon h}, \quad \text{when } h \geq 6/\varepsilon.$$

Finally, we argue that  $f(A \times B)$  at the termination of Algorithm 2 is the correct output. Given an input  $z \in \{0, 1\}^p$ , whenever the algorithm queries any  $z_i$ , the algorithm makes sure that all the input pairs  $(x, y)$  in the rectangle  $A \times B$  are such that  $g(x_i, y_i) = z_i$  — because  $U \times V$  is always a  $z_i$ -monochromatic rectangle of  $g$ . At the termination of the algorithm,  $I$  is the set of  $i$  such that  $z_i$  was not queried by the algorithm. As  $p > 4C/\varepsilon h$ ,  $I$  is non-empty. Since  $A_I \times B_I$  is  $\tau$ -thick, it follows from Lemma 3.12 that  $A \times B$  contains some input pair  $(x, y)$  such that  $g^{|I|}(x_I, y_I) = z_I$ , and so  $g^p(x, y) = z$ . Since  $\Pi$  is correct, it must follow that  $f(z) = f \circ g^p(A \times B)$ . This concludes the proof of correctness.  $\square$

With greater care the same argument will allow for  $\delta$  to be close to  $\frac{1}{2}$ . This would require also tightening the  $1 - 3\delta$  factors appearing in Lemma 3.11 to something close to  $1 - 2\delta$ . The details are left to the readers, should they be interested.

## 4 Hitting rectangle-distribution for IND

Here we derive the  $(\frac{1}{150}, \frac{3}{20} \log n)$ -hitting monochromatic rectangle distribution for  $\text{IND}_n$ . Consider the following distribution  $\sigma_c$  over  $c$ -monochromatic rectangles: Alice samples a subset of indices  $U \subset [n]$  of size  $n^{7/20}$ , and Bob picks  $V \subset \{0, 1\}^n$  where  $V = \{b \mid b_j = c \text{ for all } j \in U\}$ . We next show the following lemma.

**Lemma 4.1.** The distribution  $\sigma_c$ , for  $c \in \{0, 1\}$ , is a  $(\frac{1}{150}, \frac{3}{20} \log n)$ -hitting  $c$ -monochromatic distribution for  $\text{IND}_n$ .

The proof of this lemma is implicit in the proof of Lemma 7 (Projection lemma) of [GPW15]. Göös et al. [GPW15] show<sup>5</sup> the following properties of  $\sigma_c$  in the course of proving their Lemma 7.

**Lemma 4.2** ([GPW15]). If  $U \times V$  is sampled from  $\sigma_c$ , then

1. For any set  $A' \subseteq [n]$  that has size at least  $n^{17/20}$ ,  $\Pr_U[A' \cap U \neq \emptyset] \geq 1 - e^{-n^{1/5}}$ ,
2. For any set  $B' \subseteq \{0, 1\}^n$  with  $\frac{|B'|}{2^n} \geq 2^{-n^{11/20}}$ ,  $\Pr_U[V \cap B' \neq \emptyset] \geq \exp(-14(n^{-2/20} + n^{-6/20}))$ .

The inverse exponential term on RHS is lower bounded by  $3/4$  in [GPW15]. We can bound this term by  $199/200$  as well. Hence, for this distribution,  $\delta \leq 1/200 + e^{-n^{1/5}} \leq 1/150$ .

Now we bound  $h$ . We have  $\frac{|A'|}{n} \geq n^{-3/20} = 2^{-\frac{3}{20} \log n}$  from property (1). The bound on the size of  $B'$  comes from property (2), which is much smaller compared to  $\frac{|A'|}{n}$ . Hence we have  $h = \frac{3}{20} \log n$ .

## 5 Hitting rectangle-distributions for GH

We construct a hitting rectangle distribution for  $\text{GH}_{n, \frac{1}{4}}$ . Subsequently, we will show a  $(\delta, h)$ -hitting rectangle distribution where  $\frac{|A \times B|}{|\{0, 1\}^n \times \{0, 1\}^n|} \geq 2^{-h}$ .

Recall that  $d_H(x, y)$  denotes the Hamming distance between the strings  $x$  and  $y$ . Let  $B_r(x)$  be the Hamming ball of radius  $r$  around  $x$ , i.e.  $B_r(x) = \{y \in \{0, 1\}^n \mid d_H(x, y) \leq r\}$ ; for a set  $A \subset \{0, 1\}^n$ ,  $B_r(A) = \cup_{a \in A} B_r(a)$ .

Let  $\varepsilon = \frac{1}{8}$  and  $\mathcal{H}$  be the set of all strings in  $\{0, 1\}^n$  with Hamming weight  $n/2$ . Now consider the rectangle distributions  $\sigma_0$  and  $\sigma_1$  obtained from the following sampling procedure:

<sup>5</sup>This is not stated as a separate lemma in [GPW15]. Property (1) is proven in the proof of property (0) of [GPW15], Property (2) is proven in the proof of Claim 9 of [GPW15]

- Choose a random string  $x \in \mathcal{H}$ , and let  $\bar{x} \in \mathcal{H}$  be its bit-wise complement.
- Now let  $U_x = B_{\varepsilon n}(x)$  and  $V_x = B_{\varepsilon n}(\bar{x})$ .
- The output of  $\sigma_1$  is the rectangle  $U_x \times V_x$ , and the output of  $\sigma_0$  is  $U_x \times U_x$ .

For the chosen value of  $\varepsilon$ ,  $U_x \times V_x$  is a 1-monochromatic rectangle, since for any  $u \in U_x, v \in V_x$ ,

$$d_H(u, v) \geq n - 2\varepsilon n \geq \frac{3}{4}n.$$

On the other hand,  $U_x \times U_x$  is 0-monochromatic, since for any  $u, u' \in U_x$ ,

$$d_H(u, u') \leq 2\varepsilon n \leq \frac{1}{4}n.$$

Both inequalities are obtained by a straight-forward application of triangle inequality.

**Lemma 5.1.** The distributions  $\sigma_0$  and  $\sigma_1$  are  $(2^{-\frac{n}{100}}, \frac{n}{100})$ -hitting monochromatic rectangle distributions for  $\text{GH}_{n, \frac{1}{4}}$ .

To prove Lemma 5.1, we need the following theorem due to Harper. We will call  $S \subset \{0, 1\}^n$  a *Hamming ball with center  $c \in \{0, 1\}^n$*  if  $B_r(c) \subseteq S \subseteq B_{r+1}(c)$  for some non-negative integer  $r$ . For sets  $S, T \subset \{0, 1\}^n$ , we define the *distance* between  $S$  and  $T$  as  $d(S, T) = \min\{d_H(s, t) \mid s \in S, t \in T\}$ .

**Theorem 5.2** (Harper's theorem, [FF81, Har66]). Given any non-empty subsets  $S$  and  $T$  of  $\{0, 1\}^n$ , there exist a Hamming ball  $S_0$  with center  $\bar{1}$  and Hamming ball  $T_0$  with center  $\bar{0}$  such that  $|S| = |S_0|, |T| = |T_0|$  and  $d(S_0, T_0) \geq d(S, T)$ .

Note that Claim 5.2 also tells us when  $B_r(S)$  is smallest for a set  $S \subset \{0, 1\}^n$ . This can be argued in the following way: Given a set  $S \in \{0, 1\}^n$ , let  $T_S = \{0, 1\}^n \setminus B_r(S)$ . It is immediate that  $d(S, T_S) = r + 1$ . Now let us suppose that  $S$  is such that it achieves the smallest  $B_r(S')$  among all  $S' \in \{0, 1\}^n$  with  $|S'| = |S|$ . This also means that  $T_S$  is the biggest such set. Using Harper's theorem, we can find set  $S_0$  and  $T_0$  such that  $d(S_0, T_0) \geq r + 1$  where  $S_0$  is centered around  $\bar{1}$  and  $T_0$  is centered around  $\bar{0}$  with  $|S_0| = |S|$  and  $|T_0| = |T_S|$ . Now it is easy to see that  $T_0 \subseteq \{0, 1\}^n \setminus B_r(S_0)$ , i.e.,  $|T_S| = |T_0| \leq |T_{S_0}|$ , which is a contradiction. This means that  $|B_r(S)|$  will be the smallest if  $S$  is a Hamming ball centered around  $\bar{1}$ . This gives us the following corollary.

**Corollary 5.3.** For any non-negative integer  $r \in [n]$  and among the set  $\mathbf{A} = \{A \subset \{0, 1\}^n \mid |A| = k\}$  for any  $k$ , if  $A$  is a Hamming ball centered around either  $\bar{1}$  or  $\bar{0}$ , then  $|B_r(A)| \leq |B_r(A')|$  for any  $A' \in \mathbf{A}$ .

Now we state the proof of Lemma 5.1.

*Proof of Lemma 5.1.* We will show that any set  $A \subset \{0, 1\}^n$  of size  $|A| \geq 2^{\frac{99}{100}n}$  will be hit by  $U_x$  with probability  $\geq 1 - 2^{-\frac{n}{100}}$ . The lemma now follows since  $U_x$  and  $V_x$  have the same marginal distribution.

The event  $U_x \cap A = \emptyset$  happens exactly when  $x \notin B_{\varepsilon n}(A)$ :

$$\Pr_x[U_x \cap A = \emptyset] = \Pr_x[x \notin B_{\varepsilon n}(A)] \leq \frac{2^n - |B_{\varepsilon n}(A)|}{2^n}.$$

From Corollary 5.3 we know that  $|B_{\varepsilon n}(A)|$  is smallest when  $A$  is itself a Hamming ball around 0 of the same density as  $A$ . I.e., if  $|B_{\gamma n}(0)| \leq |A|$ , then

$$|B_{\varepsilon n}(A)| \geq |B_{\varepsilon n}(B_{\gamma n}(0))| = |B_{(\gamma+\varepsilon)n}(0)|.$$

For  $\gamma = \frac{1}{2} - \frac{\varepsilon}{2} = \frac{1}{2} - \frac{1}{16}$ , and since  $H(\gamma) < \frac{99}{100}$ , we have

$$|B_{\gamma n}(0)| \leq 2^{H(\gamma)n} \leq 2^{\frac{99}{100}n} \leq |A|.$$

And so  $|B_{\varepsilon n}(A)| \geq |B_{(\gamma+\varepsilon)n}(0)| = |B_{\frac{n}{2} + \frac{n}{16}}(0)| \geq 2^n - |B_{\frac{n}{2} - \frac{n}{16}}(1)| \geq 2^n - 2^{\frac{99}{100}n}$ . It now follows

$$\Pr_x[U_x \cap A = \emptyset] \leq \frac{2^{\frac{99}{100}n}}{2^n} \leq 2^{-\frac{n}{100}}. \quad \square$$

## 6 Hitting rectangle-distributions for $\text{IP}$

In this section, we will show that  $\text{IP}_n$  has  $(4 \cdot 2^{-n/20}, n/5)$ -hitting monochromatic rectangle-distributions. This will show a deterministic simulation result when the inner function is  $\text{IP}_n$ , i.e.,

$$\mathcal{D}^{cc}(f \circ \text{IP}_n^p) \geq \mathcal{D}^{dt}(f) \cdot \Omega(n).$$

We will use the following well-known variant of Chebyshev's inequality:

**Proposition 6.1** (Second moment method). Suppose that  $X_i \in [0, 1]$  and  $X = \sum_i X_i$  are random variables. Suppose also that for all  $i$  and  $j$ ,  $X_i$  and  $X_j$  are *anti-correlated*, in the sense that

$$\mathbf{E}[X_i X_j] \leq \mathbf{E}[X_i] \cdot \mathbf{E}[X_j].$$

Then  $X$  is well-concentrated around its mean, namely, for every  $\varepsilon$ :

$$\Pr[X \in \mu(1 \pm \varepsilon)] \geq 1 - \frac{1}{\varepsilon^2 \mu}.$$

All of the rectangle-distributions rely on the following fundamental anti-correlation property:

**Lemma 6.2** (Hitting probabilities of random subspaces). Let  $0 \leq d \leq n$  be natural numbers. Fix any  $v \neq w$  in  $\mathbb{F}_2^n$ , and pick a random subspace  $V$  of dimension  $d$ . Then the probability that  $v \in V$  is exactly

$$p_v = \begin{cases} \frac{2^d - 1}{2^n - 1} & \text{if } v \neq 0 \\ 1 & \text{if } v = 0. \end{cases}$$

And the probability that both  $v, w \in V$  is exactly

$$p_{v,w} = \begin{cases} \binom{2^d - 1}{2} / \binom{2^n - 1}{2} & \text{if } v, w \neq 0 \\ p_v & \text{if } w = 0, \text{ and} \\ p_w & \text{if } v = 0. \end{cases}$$

Hence it always holds that  $p_{v,w} \leq p_v p_w$ .

*Proof.* The case when  $v$  or  $w$  are 0 is trivial. The value  $p_v = \Pr[v \in V]$  for a random subspace  $V$  of dimension  $d$  equals  $\Pr[Mv = 0]$  for a random non-singular  $(n-d) \times n$  matrix  $M$ , letting  $V = \ker M$ . For any  $v \neq 0, v' \neq 0$ ,  $M$  will have the same distribution as  $MN$ , where  $N$  is some fixed linear bijection of  $\mathbb{F}_2^n$  mapping  $v$  to  $v'$ ; it then follows that  $p_v = p_{v'}$  always. But then

$$\sum_{v \neq 0} p_v = \mathbf{E} \left[ \sum_{v \neq 0} [v \in V] \right] = 2^d - 1,$$

and since all  $p_v$ 's are equal, then  $p_v = \frac{2^d - 1}{2^n - 1}$ .

Now let  $p_{v,w} = \Pr[v \in V, w \in V]$ . In the same way we can show that  $p_{v,w} = p_{v',w'}$  for all two such pairs, since a linear bijection will exist mapping  $v$  to  $v'$  and  $w$  to  $w'$  (because every  $v \neq w$  is linearly independent in  $\mathbb{F}_2^n$ ). And now

$$\sum_{v,w \neq 0} p_{v,w} = \mathbf{E} \left[ \sum_{v,w \neq 0} [v \in V][w \in V] \right] = \binom{2^d - 1}{2}.$$

The value of  $p_{v,w}$  is then as claimed. We conclude by estimating

$$\frac{p_{v,w}}{p_v p_w} = \frac{\binom{2^d - 1}{2}}{\binom{2^n - 1}{2}} \cdot \frac{1}{p_v p_w} = \frac{2^d - 2}{2^d - 1} \cdot \frac{2^n - 1}{2^n - 2} < 1. \quad \square$$

It can now be shown that a random subspace of high dimension will hit a large set w.h.p.:

**Lemma 6.3.** Let  $\varepsilon < \frac{1}{2}$  be a positive real number, and consider a set  $B \subseteq \{0, 1\}^n$  of density  $\beta = \frac{|B|}{2^n} \geq 2^{-(\frac{1}{2} - \varepsilon)n}$ . Pick  $V$  to be a random linear subspace of  $\{0, 1\}^n$  of dimension  $d$ , where  $d \geq (\frac{1}{2} - \frac{\varepsilon}{4})n + 6$ . Then

$$\Pr \left[ \frac{|B \cap V|}{|V|} \in (1 \pm 2^{-\frac{\varepsilon}{4}n}) \cdot \beta \right] \geq 1 - \frac{1}{4} \cdot 2^{-\frac{\varepsilon}{4}n}.$$

*Proof.* Let  $b_1, \dots, b_N$  be the elements of  $B$ , and define the random variables  $X_i = [b_i \in V]$  and  $X = |B \cap V| = \sum_i X_i$ . The  $\mathbf{E}[X_i]$  were computed in the proof of Lemma 6.2, which gives us

$$\mu = \mathbf{E}[X] = \sum_i \mathbf{E}[X_i] = \begin{cases} \beta 2^n \frac{2^d - 1}{2^n - 1} & \text{if } \bar{0} \notin B \\ \beta 2^n \frac{2^d - 1}{2^n - 1} + (1 - \frac{2^d - 1}{2^n - 1}) & \text{otherwise.} \end{cases}$$

Let's look at the case where  $\bar{0} \notin B$ . We can estimate  $\mu$  as follows:<sup>6</sup>

$$\mu = \left(1 + \frac{1}{2^n - 1}\right) (1 - 2^{-d}) \beta |V| \in (1 \pm 2^{-(\frac{1}{2} - \frac{\varepsilon}{2})n})^2 \beta |V| \subseteq \left(1 \pm \frac{1}{3} \cdot 2^{-\frac{\varepsilon}{2}n}\right) \beta |V|.$$

When  $\bar{0} \in B$  we still have  $\mu \in (1 \pm 2^{-\frac{\varepsilon}{2}n}) \beta |V|$ , because  $1 - \frac{2^d - 1}{2^n - 1} \leq 1 \ll \frac{1}{3} \cdot 2^{-\frac{\varepsilon}{2}n} \beta |V|$ . So this holds in both cases.

Lemma 6.2 also says that  $\mathbf{E}[X_i X_j] \leq \mathbf{E}[X_i] \mathbf{E}[X_j]$  for all  $i \neq j$ . And so by the second moment method (Lemma 6.1):

$$\Pr[X \in \mu(1 \pm \delta)] \geq 1 - \frac{1}{\delta^2 \mu}$$

which means,

$$\Pr[X \in (1 \pm 2^{-\frac{\varepsilon}{2}n})(1 \pm \delta) \beta |V|] \geq 1 - \frac{1}{\delta^2 \cdot \beta \cdot 2^d \cdot (1 - 2^{-\frac{\varepsilon}{2}n})}$$

Taking  $\delta = \frac{1}{3} 2^{-\frac{\varepsilon}{4}n}$ , we get,

$$\Pr[X \in (1 \pm 2^{-\frac{\varepsilon}{4}n}) \beta |V|] \geq 1 - \frac{9}{2^{-\frac{\varepsilon}{2}n} \cdot 2^{-(\frac{1}{2} - \varepsilon)n} \cdot 64 \cdot 2^{(\frac{1}{2} - \frac{\varepsilon}{4})n}} \geq 1 - \frac{1}{4} \cdot 2^{-\frac{\varepsilon}{4}n}. \quad \square$$

We will show a similar result when we pick the set  $V$  in the following manner: First we pick a uniformly random odd-Hamming weight vector  $a \in \{0, 1\}^n$ , and then we pick  $W$  to be a random subspace of dimension  $d$  within  $a^\perp$ , where  $d \geq (\frac{1}{2} - \frac{\varepsilon}{4})n + 6$ ; then  $V = a + W$ .

<sup>6</sup>Throughout the proof we will use the fact that  $(1 \pm \delta)^2 \subseteq 1 \pm 3 \cdot \delta$ , and also that  $1 \pm \delta \subseteq 1 \pm \delta'$  whenever  $\delta \leq \delta'$ .

**Lemma 6.4.** Consider a set  $B \subseteq \{0, 1\}^n$  of density  $\beta = \frac{|B|}{2^n} \geq 2^{-(\frac{1}{2}-\varepsilon)n}$ . Pick  $V$  as described above. Then

$$\Pr_V \left[ \frac{|B \cap V|}{|V|} \in \beta(1 \pm 2^{-\frac{\varepsilon}{4}n}) \right] \geq 1 - 2^{-\frac{\varepsilon}{4}n}.$$

*Proof.* Let  $B' = (B - a) \cap a^\perp$  and let  $\beta' = \frac{|B'|}{|a^\perp|}$ . A string  $a \in \{0, 1\}^n$  is called *good* when

$$\beta' \stackrel{\text{def}}{=} \frac{|(B - a) \cap a^\perp|}{|a^\perp|} \in \beta \cdot (1 \pm 2^{-\frac{\varepsilon}{4}n}).$$

We will later show that if  $a$  is a uniformly random string of odd Hamming weight, then

$$\Pr_a [a \text{ is good}] \geq 1 - \frac{2}{4} \cdot 2^{-\frac{\varepsilon}{4}n}. \quad (*)$$

For every good  $a$ , Lemma 6.3 gives us:

$$\Pr_W \left[ \frac{|B' \cap W|}{|W|} \in \beta'(1 \pm 2^{-\frac{\varepsilon}{4}n}) \mid a \right] \geq 1 - \frac{1}{4} \cdot 2^{-\frac{\varepsilon}{4}n}.$$

Our result then follows by Bayes' rule.

To prove (\*), suppose that  $a$  is chosen to be a uniformly random non-zero string (i.e. with either even or odd Hamming weight). Then  $a^\perp$  is a uniformly random subspace of dimension  $n - 1 \gg (\frac{1}{2} - \frac{\varepsilon}{4})n + 6$ . Hence by Lemma 6.3,

$$\Pr_a \left[ \frac{|B \cap a^\perp|}{|a^\perp|} \in \beta \cdot (1 \pm 2^{-\frac{\varepsilon}{4}n}) \right] \geq 1 - \frac{1}{4} \cdot 2^{-\frac{\varepsilon}{4}n}. \quad (**)$$

Now  $|a^\perp| = 2^{n-1}$ , so if  $a^\parallel$  denotes the complement of  $a^\perp$  (in  $\{0, 1\}^n$ ), then  $|a^\parallel| = 2^{n-1}$  also, and

$$\frac{|B \cap a^\perp|}{|a^\perp|} \in \beta \cdot (1 \pm 2^{-\frac{\varepsilon}{4}n}) \iff |B \cap a^\perp| \in \frac{1}{2}|B| \cdot (1 \pm 2^{-\frac{\varepsilon}{4}n}) \iff \frac{|B \cap a^\parallel|}{|a^\parallel|} \in \beta \cdot (1 \pm 2^{-\frac{\varepsilon}{4}n}).$$

So (\*\*) also holds with respect to the rightmost (equivalent) event. Since a uniformly random non-zero  $a$  has odd Hamming weight with probability  $> \frac{1}{2}$ , it must then follow that if we pick a uniformly random  $a$  with odd Hamming weight, then:

$$\Pr_a \left[ \frac{|B \cap a^\parallel|}{|a^\parallel|} \in \beta \cdot (1 \pm 2^{-n/20}) \right] \geq 1 - \frac{2}{4} \cdot 2^{-\frac{\varepsilon}{4}n}.$$

Now notice that  $|a^\parallel| = |a^\perp|$  and that for odd Hamming weight  $a$ ,  $B \cap a^\parallel = (B - a) \cap a^\perp$ ; this establishes (\*).  $\square$

The lemmas above are the key to constructing rectangle-distributions for  $\text{IP}$ .

**Lemma 6.5.** For all  $0 < \varepsilon < 1/2$  and every sufficiently large  $n$ ,  $\text{IP}_n$  has  $(2 \cdot 2^{-\frac{\varepsilon}{4}n}, (\frac{1}{2} - \varepsilon)n)$ -hitting monochromatic rectangle-distributions.

*Proof.* We define the distributions  $\sigma_0$  and  $\sigma_1$  by the following sampling methods:

**Sampling from  $\sigma_0$ :** We choose a uniformly-random  $\frac{n}{2}$ -dimensional subspaces  $V$  of  $\mathbb{F}_2^n$ , and let  $V^\perp$  be its orthogonal complement; output  $V \times V^\perp$ .

**Sampling from  $\sigma_1$ :** First we pick  $a \in \{0, 1\}^n$  uniformly at random conditioned on the fact that  $a$  has odd Hamming weight; then we pick random subspace  $W$  of dimension  $(n - 1)/2$  from  $a^\perp$ , and let  $W^\perp$  be the orthogonal complement of  $W$  inside  $a^\perp$ . We output  $V \times V^\parallel$ , where  $V = a + W$  and  $V^\parallel = a + W^\perp$ .

The rectangles produced above are monochromatic as required. Also,  $V$  and  $V^\perp$  of  $\sigma_0$  are both random subspaces of dimension  $\geq (\frac{1}{2} - \frac{\varepsilon}{4})n + 6$  — as required by Lemma 6.3 — and  $V$  and  $V^\parallel$  of  $\sigma_1$  are both obtained by the the kind of procedure required in Lemma 6.4. It then follows by a union bound that if  $R$  is chosen by either  $\sigma_0$  or  $\sigma_1$  that, if  $A, B$  are subsets of  $\{0, 1\}^n$  of densities  $\alpha, \beta \geq 2^{-(\frac{1}{2} - \varepsilon)n}$ , then

$$\Pr_R \left[ \frac{|A \times B \cap R|}{|R|} = (1 \pm 9 \cdot 2^{-\frac{\varepsilon}{4}n}) \cdot \alpha\beta \right] \geq 1 - 2 \cdot 2^{-\frac{\varepsilon}{4}n}.$$

Hence the same probability lower-bounds the event that  $A \times B \cap R \neq \emptyset$ .  $\square$

## 7 Partition number vs. communication complexity

In this section, we prove Theorem 1.4. This proof is similar to the proof of an analogous theorem in [GPW15]. Here we show a quadratic separation between logarithm of 1-partition number and deterministic communication complexity. The other separation, i.e., the separation between logarithm of partition number and deterministic communication complexity follows similarly.

Fix any function  $s : \mathbb{Z} \rightarrow \mathbb{Z}$  and an  $N \in \mathbb{Z}$ , — we know from the assumption that  $s(N) \leq \frac{\sqrt{N}}{\log n}$ . We will exhibit a function  $F$  such that  $F : \{0, 1\}^N \times \{0, 1\}^N \rightarrow \{0, 1\}$ ,  $\log \chi_1(F) = \tilde{O}(s(N))$  and  $\mathcal{D}^{cc}(F) \geq s(N)^2$ . Consider the function  $f$  for which [GPW15] proved a quadratic separation between unambiguous non-deterministic query complexity and deterministic communication complexity (*viz.* Theorem 5 of [GPW15]).  $f$  is a function which takes input from the alphabet  $\Sigma = \{0, 1\}^{k \times k} \times ([k] \times [k] \cup \{\perp\})$ . i.e., the input size is  $p \approx k^2 + 2 \log k$ . For this function  $f$ , which we refer to as GPW function, the authors show the following:

$$\begin{aligned} \mathcal{UN}^{dt}(\text{GPW}) &\leq 2k - 1, \\ \mathcal{D}^{dt}(\text{GPW}) &\geq k^2. \end{aligned}$$

where  $\mathcal{UN}^{dt}$  is the unambiguous non-deterministic query complexity. We can use our simulation theorem with Inner-product gadget (or Gap-Hamming gadget) (Theorem 1.3) to show the following immediately.

$$\begin{aligned} \mathcal{UN}^{cc}(\text{GPW} \circ \text{IP}) &\leq (2k - 1) \cdot n, \\ \mathcal{D}^{cc}(\text{GPW} \circ \text{IP}) &\geq k^2 \cdot n. \end{aligned}$$

Note that Theorem 1.3 holds even when  $p = 2^{\frac{n}{200}}$ . So, if we replace the value of  $n$  in the previous equation, we get,

$$\begin{aligned} \mathcal{UN}^{cc}(\text{GPW} \circ \text{IP}) &\leq 200(2k - 1) \cdot \log p \approx 200\sqrt{p - \log p} \log p \leq 200\sqrt{p} \log p, \\ \mathcal{D}^{cc}(\text{GPW} \circ \text{IP}) &\geq 200k^2 \cdot \log p \approx 200(p - \log p) \log p \geq 100p \log p. \end{aligned}$$

where the input size is  $N' = 200p \log p$ . We will set  $N' = s(N)^2$  and will pad  $(N - N')$  dummy bits to the function  $F$  to achieve our desired separation and input size. This, combined with the observation that  $\log \chi_1(F) = \mathcal{UN}^{cc}(F)$ , proves the theorem.

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