

# Graph polynomials from simple graph sequences

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26 March 2015

Hraniční zámeček, Hlohovec

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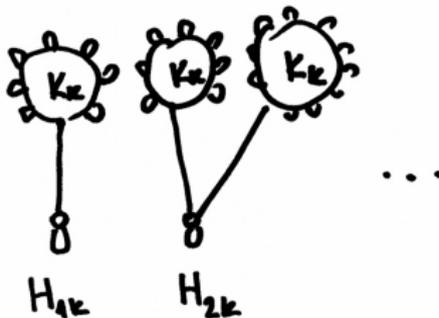
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# Chromatic polynomial

Definition by evaluations at positive integers

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Tutte polynomial

$T(G; x, y)$  universal graph invariant for deletion-contraction of edge  $e$ :

$$T(G; x, y) = T(G/e; x, y) + T(G \setminus e; x, y),$$

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For example,

$$P(G; k) = (-1)^{|V(G)| - c(G)} k^{c(G)} T(G; 1 - k, 0).$$

# Independence polynomial

## Definition by coefficients

$$I(G; x) = \sum_{1 \leq j \leq |V(G)|} b_j(G) x^j,$$

$$b_j(G) = \#\{\text{independent subsets of } V(G) \text{ of size } j\}.$$

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$$v \in V(G), \quad I(G; x) = I(G - v; x) + xI(G - N[v]; x)$$

## Definition

Graphs  $G, H$ .

$f : V(G) \rightarrow V(H)$  is a *homomorphism* from  $G$  to  $H$  if

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$H$  with adjacency matrix  $A = (a_{s,t})$ , weight  $a_{s,t}$  on  $st \in E(H)$ ,

$$\text{hom}(G, H) = \sum_{f: V(G) \rightarrow V(H)} \prod_{uv \in E(G)} a_{f(u), f(v)}$$

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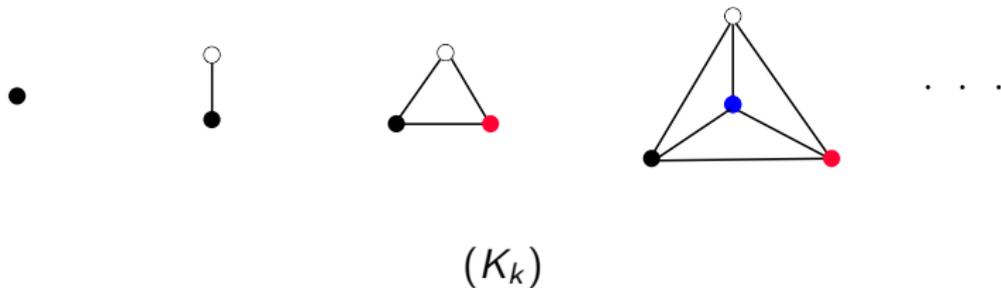
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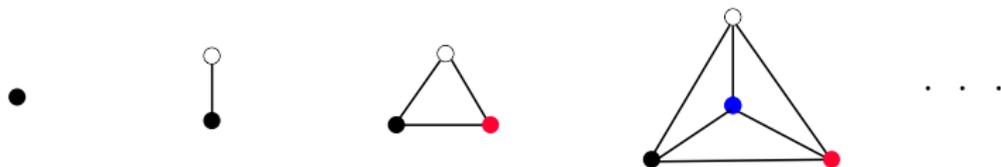
$H$  simple ( $a_{s,t} \in \{0, 1\}$ ) or multigraph ( $a_{s,t} \in \mathbb{N}$ ):

$$\begin{aligned} \text{hom}(G, H) &= \#\{\text{homomorphisms from } G \text{ to } H\} \\ &= \#\{H\text{-colourings of } G\} \end{aligned}$$

# Example 1



## Example 1



$(K_k)$

$$\text{hom}(G, K_k) = P(G; k)$$

*chromatic polynomial*

## Problem

Which sequences  $(H_k)$  of graphs are such that, for all graphs  $G$ , there is a fixed polynomial  $p(G)$  with

$$\text{hom}(G, H_k) = p(G; k)$$

for each  $k \in \mathbb{N}$ ?

## Problem

Which double sequences  $(H_{k,\ell})$  of graphs are such that, for all graphs  $G$ , there is a fixed bivariate polynomial  $p(G)$  with

$$\text{hom}(G, H_{k,\ell}) = p(G; k, \ell)$$

for each  $k, \ell \in \mathbb{N}$ ?

## Polynomials and homomorphisms

Sequences giving graph polynomials

Coloured rooted tree construction

Interpretation schemes

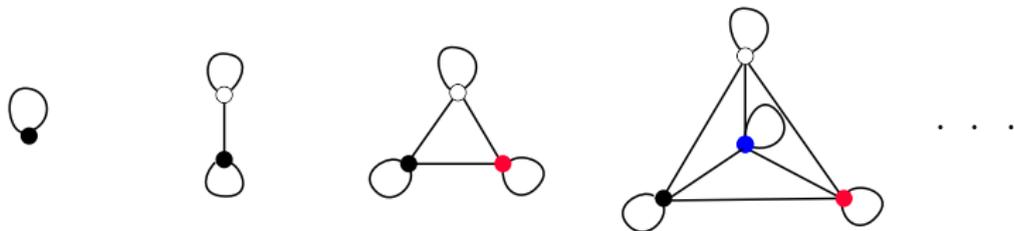
Some problems

Graph polynomials

Graph homomorphisms



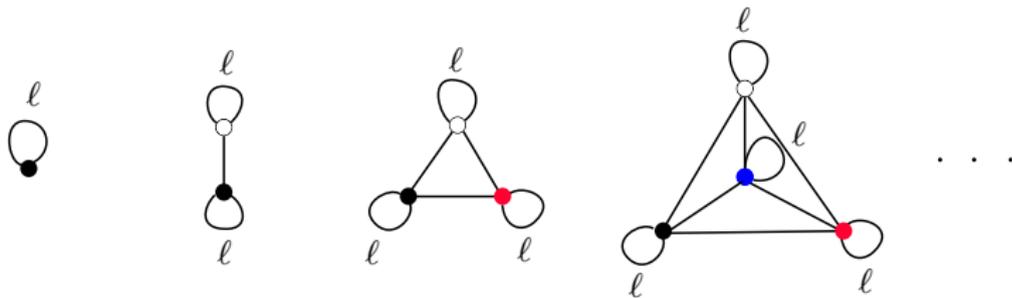
## Example 2



$$(K_k^1)$$

$$\text{hom}(G, K_k^1) = k^{|V(G)|}$$

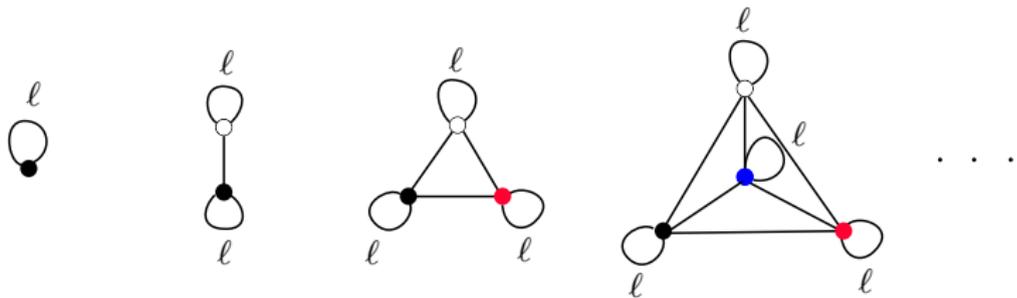
## Example 3



$(K_k^l)$

$$\text{hom}(G, K_k^l) = \sum_{f: V(G) \rightarrow [k]} \ell^{\#\{uv \in E(G) \mid f(u)=f(v)\}}$$

## Example 3



$(K_k^l)$

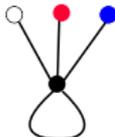
$$\begin{aligned} \text{hom}(G, K_k^l) &= \sum_{f: V(G) \rightarrow [k]} \ell^{\#\{uv \in E(G) \mid f(u) = f(v)\}} \\ &= k^{c(G)} (\ell - 1)^{r(G)} T(G; \frac{\ell - 1 + k}{\ell - 1}, \ell) \quad (\text{Tutte polynomial}) \end{aligned}$$

## Example 4



$$(K_1^1 + K_{1,k})$$

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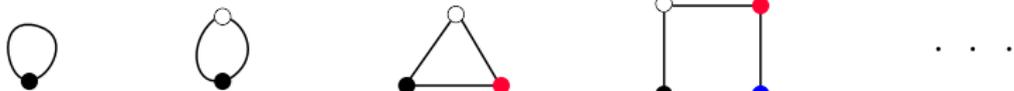
...

$$(K_1^1 + K_{1,k})$$

$$\text{hom}(G, K_1^1 + K_{1,k}) = I(G; k)$$

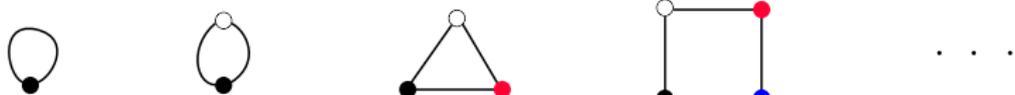
*independence polynomial*

# Non-Example



$(C_k)$

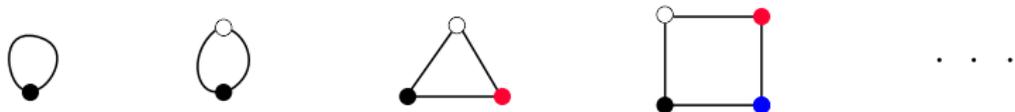
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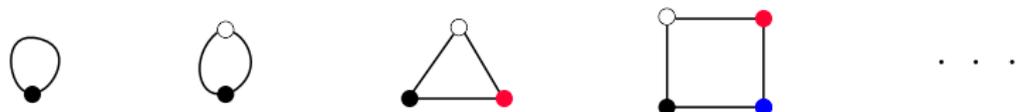


$(C_k)$

$$\text{hom}(K_1, C_k) = k,$$

$$\text{hom}(K_2, C_1) = 1, \quad \text{hom}(K_2, C_k) = 2k \text{ when } k \geq 2$$

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$$\text{hom}(K_3, C_1) = 1, \quad \text{hom}(K_3, C_2) = 0, \quad \text{hom}(K_3, C_3) = 6, \\ \text{hom}(K_3, C_k) = 0 \text{ when } k \geq 4$$

## Sort-of-Example 5



$$(K_2^{\square k}) = (Q_k) \text{ (hypercubes)}$$

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Proposition (Garijo, G., Nešetřil, 2013+)

$\text{hom}(G, Q_k) = p(G; k, 2^k)$  for bivariate polynomial  $p(G)$

## Definition

$(H_k)$  is *strongly polynomial* (in  $k$ ) if  $\forall G \exists$  polynomial  $p(G)$  such that  $\text{hom}(G, H_k) = p(G; k)$  for all  $k \in \mathbb{N}$ .

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## Example

- $(K_k), (K_k^1)$  are strongly polynomial
- $(K_k^\ell)$  is strongly polynomial (in  $k, \ell$ )

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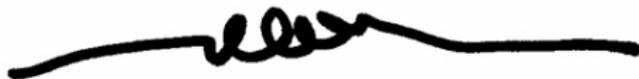
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## Proposition (de la Harpe & Jaeger 1995)

*Simple graphs*  $(H_k)$  form strongly polynomial sequence  $\iff$   
 $\forall$  connected  $S \# \{\text{induced subgraphs } \cong S \text{ in } H_k\}$  polynomial in  $k$

Polynomials and homomorphisms  
Sequences giving graph polynomials  
Coloured rooted tree construction  
Interpretation schemes  
Some problems

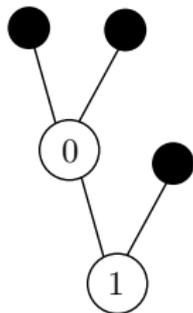
Examples  
Strongly polynomial sequences of graphs



# Cotrees

① join

① disjoint  
union

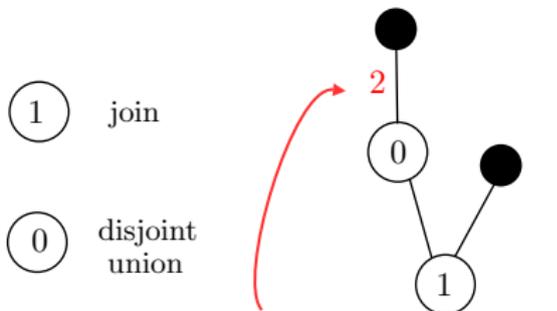


cotree

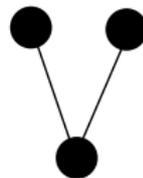


...and the  
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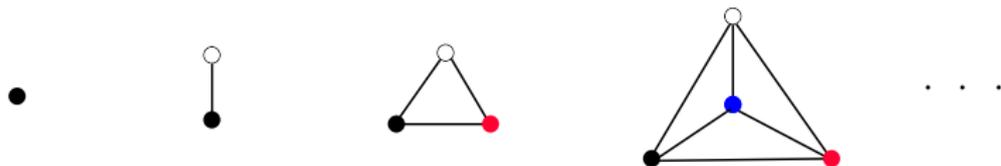


marked edge gives multiplicity of subtree  
pendant from its root-endpoint

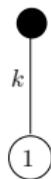


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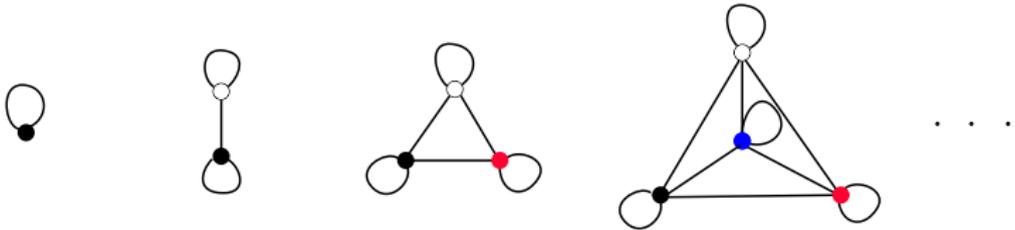
## Example 1



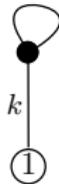
$(K_k)$  — *chromatic polynomial*



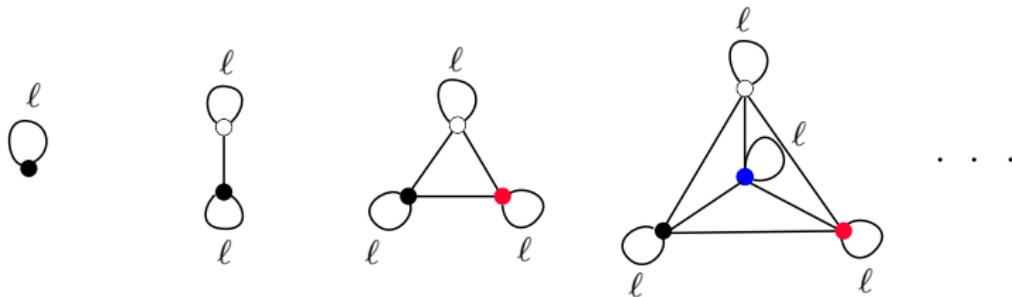
## Example 2



$(K_k^1)$



## Example 3



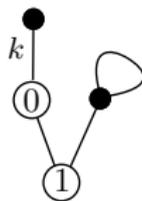
$(K_k^l)$  — Potts model/ Tutte polynomial

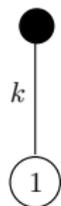


## Example 4



$(K_1^1 + K_{1,k})$  — *Independence polynomial*





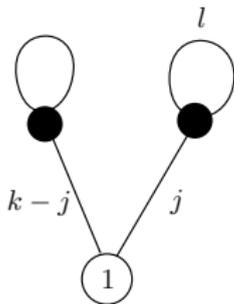
$K_k$

chromatic



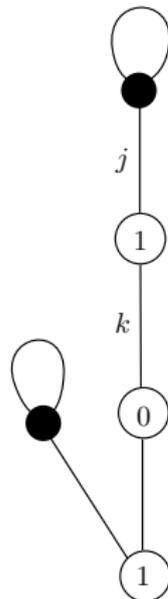
$K_k^l$

Potts



$K_{k-j}^1 + K_j^l$

Averbouch–Godlin–Makowsky  
 ( $l = 0$  is Dohmen–Ponitz–Tittmann)



$K_{1,k}[K_1^1(\text{centre}); K_j^1(\text{leaves})]$

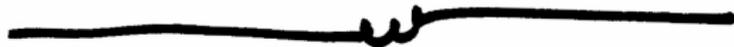
Tittmann–Averbouch–Makowsky

## Construction

[Garijo, G., Nešetřil, 2013+] Strongly polynomial sequences in  $k, l, \dots$  by representation of graphs by coloured rooted trees (such as cotrees, clique-width parse trees,  $m$ -partite cotrees, tree-depth embeddings in closures of rooted trees) with edges marked by polynomials in  $k, l, \dots$ .

Polynomials and homomorphisms  
Sequences giving graph polynomials  
**Coloured rooted tree construction**  
Interpretation schemes  
Some problems

By way of example: cotrees  
**General rooted tree construction**  
But this is not all of them...



## Definition

Generalized Johnson graph  $J_{k,\ell,D}$ ,  $D \subseteq \{0, 1, \dots, \ell\}$   
vertices  $\binom{[k]}{\ell}$ , edge  $uv$  when  $|u \cap v| \in D$

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*For every  $\ell, D$ , sequence  $(J_{k,\ell,D})$  is strongly polynomial (in  $k$ ).*

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*For every  $\ell, D$ , sequence  $(J_{k,\ell,D})$  is strongly polynomial (in  $k$ ).*

However, apart from cocliques and cliques, and the same graphs with a loop on each vertex, the sequence  $(J_{k,\ell,D})$  seems not to be generated by our coloured rooted tree construction.

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By way of example: cotrees  
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**But this is not all of them...**



Simple graph sequence  $(H_k)$  strongly polynomial iff

- $\forall G \exists$  polynomial  $p(G) \forall k \in \mathbb{N} : \text{hom}(G, H_k) = p(G; k)$

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- $\forall G \exists$  polynomial  $p(G) \quad \forall k \in \mathbb{N} : \text{hom}(G, H_k) = p(G; k)$
- $\forall F \exists$  polynomial  $q(F) \quad \forall k \in \mathbb{N} : \text{ind}(F, H_k) = q(F; k)$

## Satisfaction sets

**Quantifier-free** formula  $\phi$  with  $p$  free variables ( $\phi \in \text{QF}_p$ ) with symbols from relational structure  $\mathbf{H}$  with domain  $V(\mathbf{H})$ .

Satisfaction set  $\phi(\mathbf{H}) = \{(v_1, \dots, v_n) \in V(\mathbf{H})^n : \mathbf{H} \models \phi\}$ .

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e.g. for graph structure  $H$  (symmetric binary relation  $x \sim y$  interpreted as  $x$  adjacent to  $y$ ), and given graph  $G$  on  $n$  vertices,

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$$|\phi_G(H)| = \text{hom}(G, H).$$

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Recap  
**Relational structures**  
Example interpretations  
Everything?

## Strongly polynomial sequences of relational structures

### Definition

Sequence  $(\mathbf{H}_k)$  of relational structures *strongly polynomial* iff  
 $\forall \phi \in QF \quad \exists$  polynomial  $r(\phi) \quad \forall k \in \mathbb{N} : \quad |\phi(\mathbf{H}_k)| = r(\phi; k)$

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### Lemma

*Equivalently,*

- $\forall \mathbf{G} \exists$  polynomial  $p(\mathbf{G}) \quad \forall k \in \mathbb{N} \quad \text{hom}(\mathbf{G}, \mathbf{H}_k) = p(\mathbf{G}; k),$

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- $\forall \mathbf{G} \exists$  polynomial  $p(\mathbf{G}) \forall k \in \mathbb{N} \quad \text{hom}(\mathbf{G}, \mathbf{H}_k) = p(\mathbf{G}; k)$ , or
- $\forall \mathbf{F} \exists$  polynomial  $q(\mathbf{F}) \forall k \in \mathbb{N} \quad \text{ind}(\mathbf{F}, \mathbf{H}_k) = q(\mathbf{F}; k)$ .

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## Definition

Sequence  $(\mathbf{H}_k)$  of relational structures *strongly polynomial* iff  
 $\forall \phi \in QF \quad \exists$  polynomial  $r(\phi) \quad \forall k \in \mathbb{N} : \quad |\phi(\mathbf{H}_k)| = r(\phi; k)$

## Lemma

*Equivalently,*

- $\forall \mathbf{G} \exists$  polynomial  $p(\mathbf{G}) \forall k \in \mathbb{N} \quad \text{hom}(\mathbf{G}, \mathbf{H}_k) = p(\mathbf{G}; k)$ , or
- $\forall \mathbf{F} \exists$  polynomial  $q(\mathbf{F}) \forall k \in \mathbb{N} \quad \text{ind}(\mathbf{F}, \mathbf{H}_k) = q(\mathbf{F}; k)$ .

**Transitive tournaments**  $(\vec{T}_k)$  strongly polynomial sequence of digraphs.

## Graphical QF interpretation schemes

$I$  : Relational  $\sigma$ -structures **A**  $\longrightarrow$  Graphs  $H$

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### Definition (Graphical QF interpretation scheme)

Exponent  $p \in \mathbb{N}$ , formula  $\iota \in \text{QF}_p(\sigma)$  and symmetric formula  $\rho \in \text{QF}_{2p}(\sigma)$ .

For every  $\sigma$ -structure  $\mathbf{A}$ , the interpretation  $I(\mathbf{A})$  has

vertex set  $V = \iota(\mathbf{A})$ ,

edge set  $E = \{\{\mathbf{u}, \mathbf{v}\} \in V \times V : \mathbf{A} \models \rho(\mathbf{u}, \mathbf{v})\}$ .

## Graphical QF interpretation schemes

### Example

- **(Complementation)**  $\rho = 1$ ,  $\iota = 1$  (constantly true),  
 $\rho(x, y) = \neg R(x, y)$  ( $R(x, y)$ : adjacency between  $x$  and  $y$ ).

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- **(Square of a graph)**  $\rho = 1$ ,  $\iota = 1$ , and  
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- **( $K_k$  from  $\vec{T}_k$ )**  $\rho = 1$ ,  $\iota = 1$ ,  $\rho(x, y) = (x < y) \vee (y < x)$   
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- **( $C_k$  from  $\vec{T}_k$ )**  $p = 1, \iota = 1, \rho(x, y) = \rho'(x, y) \vee \rho'(x, y),$   
 $\rho'(x, y) = [x < y \wedge (x < z < y \rightarrow z = x \vee z = y)] \vee \quad i, i + 1$   
 $[\forall z(z < x \vee z = x) \wedge \forall z(y < z \vee y = z)] \quad k, 1$

## Example (Kneser graphs $J_{k,\ell,\{0\}}$ )

- $p = \ell$ ,

$$\iota(x_1, \dots, x_\ell) = \bigwedge_{i=1}^{\ell-1} (x_i < x_{i+1})$$

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- graphs represented (interpreted in) **coloured rooted trees**.

## Graphical QF interpretation schemes

$I : \text{Relational } \sigma\text{-structures } \mathbf{A} \longrightarrow \text{Graphs } H$

### Lemma

*There is*

$$\tilde{I} : \text{QF}(\text{Graphs}) \longmapsto \text{QF}(\sigma\text{-structures})$$

*such that*

$$\phi(I(\mathbf{A})) = \tilde{I}(\phi)(\mathbf{A})$$

*In particular,  $(\mathbf{A}_k)$  strongly polynomial  $\Rightarrow (H_k) = (I(\mathbf{A}_k))$  strongly polynomial.*

## From graphs to graphs

- All previously known operations preserving strongly polynomial property (complementation, line graph, disjoint union, join, direct product,...) special cases of interpretation schemes / from **Marked Graphs** (added unary relations) to **Graphs**.

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- All previously known operations preserving strongly polynomial property (complementation, line graph, disjoint union, join, direct product,...) special cases of interpretation schemes / from **Marked Graphs** (added unary relations) to **Graphs**.
- **Cartesian product** and other more complicated graph products are special kinds of such interpretation schemes too.

## Example

- (Cartesian product of graphs  $G_1$  and  $G_2$ )

$$\mathbf{A} = G_1 \sqcup G_2$$

$$U_i(v) \Leftrightarrow v \in V(G_i),$$

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Interpretation scheme  $I$  of exponent  $p = 2$  defined on  
 $(U_1, U_2, R_1, R_2)$ -relational structures  $\mathbf{A}$  by

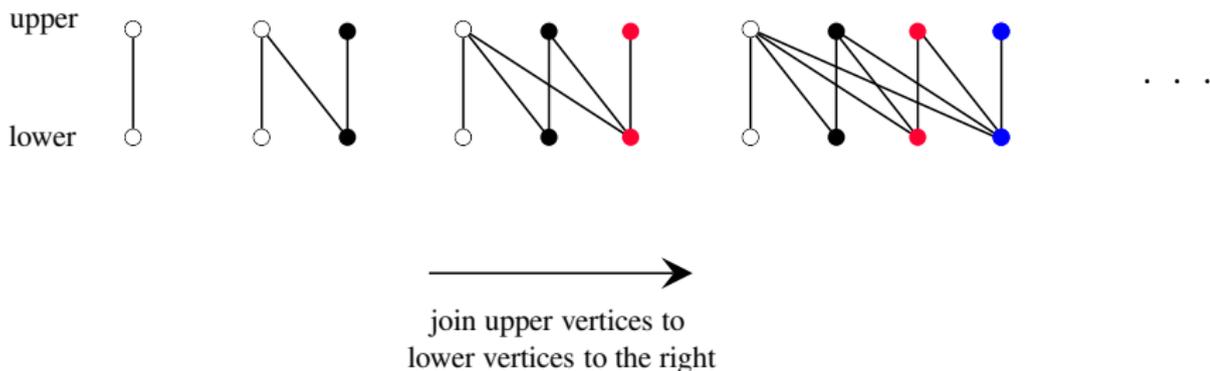
$$\iota(x_1, x_2) : U_1(x_1) \wedge U_2(x_2)$$

$$\rho(x_1, x_2, y_1, y_2) : [R_1(x_1, y_1) \wedge (x_2 = y_2)] \vee [(x_1 = y_1) \wedge R_2(x_2, y_2)]$$

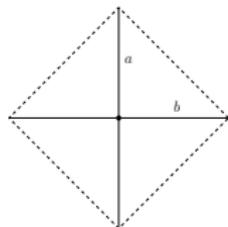
- QF interpretation of **transitive tournament**  $\vec{T}_k$  yields a strongly polynomial sequence.

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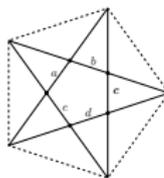
- QF interpretation of **transitive tournament**  $\vec{T}_k$  yields a strongly polynomial sequence.  
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- **Half-graphs** are QF interpretations of  $\vec{T}_k$  together with  $\vec{T}_2$  and two unary relations to specify "upper" and "lower" vertices, and so form a strongly polynomial sequence.



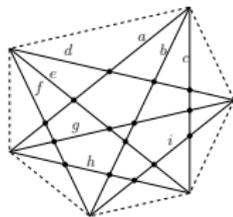
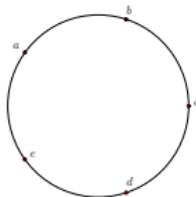
- Intersection graphs of chords of a  $k$ -gon form a strongly polynomial sequence



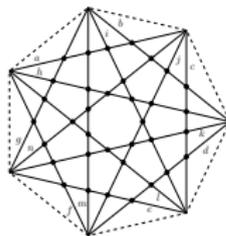
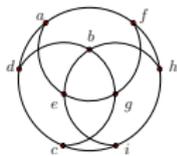
(a) Square



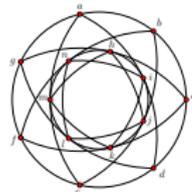
(b) Pentagon



(c) Hexagon



(d) Heptagon



## Conjecture

*All strongly polynomial sequences of graphs  $(H_k)$  such that  $H_k \subseteq_{\text{ind}} H_{k+1}$  can be obtained by QF interpretation of a "basic sequence" (finite disjoint union of transitive tournaments of size polynomial in  $k$  with unary relations).*

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## Theorem (G., Nešetřil, Ossona de Mendez , 2014+)

*A sequence  $(H_k)$  of graphs of uniformly bounded degree is a strongly polynomial sequence if and only if it is a QF-interpretation of a basic sequence.*

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Recap  
Relational structures  
Example interpretations  
**Everything?**



- ▶ When is  $\text{hom}(G, \text{Cayley}(A_k, B_k))$  a fixed polynomial (dependent on  $G$ ) in  $|A_k|, |B_k|$ , where  $B_k = -B_k \subseteq A_k$ ?

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  - (hypercubes)  $\text{hom}(G, \text{Cayley}(\mathbb{Z}_2^k, S_1))$  polynomial in  $2^k$  and  $k$  ( $S_1 = \{\text{weight 1 vectors}\}$ ). [Garijo, G., Nešetřil 2013+]

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**Theorem** (G., Nešetřil, Ossona de Mendez, 2014+)

*If  $(H_k)$  is strongly polynomial then there are only finitely many terms that belong to a quasi-random sequence of graphs.*

## Beyond polynomials? Rational generating functions

- ▶ For **strongly polynomial** sequence  $(H_k)$ ,

$$\sum_k \text{hom}(G, H_k) t^k = \frac{P_G(t)}{(1-t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$  of degree at most  $|V(G)|$ .

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- ▶ For **eventually polynomial** sequence  $(H_k)$  such as  $(C_k)$ ,

$$\sum_k \text{hom}(G, H_k) t^k = \frac{P_G(t)}{(1-t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$ .

## Beyond polynomials? Rational generating functions

- For **quasipolynomial sequence** of Turán graphs ( $T_{k,r}$ )

$$\sum_k \text{hom}(G, T_{k,r}) t^k = \frac{P_G(t)}{Q(t)^{|V(G)|+1}}$$

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- ▶ For sequence of hypercubes  $(Q_k)$ ,

$$\sum_k \text{hom}(G, Q_k) t^k = \frac{P_G(t)}{Q(t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$  of degree at most  $|V(G)|$  and polynomial  $Q(t)$  with zeros powers of 2.

## Beyond polynomials? Algebraic generating functions

- For sequence of **odd graphs**  $O_k = J_{2k-1, k-1, \{0\}}$ , is

$$\sum_k \text{hom}(G, O_k) t^k$$

algebraic? (e.g. it is  $\frac{1}{2}(1 - 4t)^{-\frac{1}{2}}$  when  $G = K_1$ ).

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## Three papers

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