

# Polynomiality for Bin Packing with a Constant Number of Item Types

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## Bin packing

### D(BIN PACKING):

**Input:** A pair of vectors  $(s, a)$ , where  $s_1, s_2, s_3, \dots, s_d$  are *item types*, i.e. all possible sizes of our input items ( $s_i \in [0, 1]$ ) and  $a_1, a_2, \dots, a_d$  are *item multiplicities*, i.e. how many items of each item type we need to pack ( $a_i \in \mathbb{Z}_{\geq 0}$ ).

**Goal:** Find a minimum number of *bins* of capacity 1 such that all items are packed.

We are only considering a constant number of item types  $d$ .

We can look at BIN PACKING also in this manner:

**Input:** A pair of vectors  $(s, a)$  as before. From these two vectors, define a *configuration space*  $\mathbb{P} \equiv \{x \in \mathbb{Z}_{\geq 0}^d \mid s^T x \leq 1\}$ . An element  $x$  in the configuration space represents one valid packing of a bin.

**Goal:** Select a minimum number of vectors in  $\mathbb{P}$  such that we use all items with respect to their multiplicities, i.e. the vectors of configuration space we use sum up to  $a$ :

$$\min \left\{ \sum_i \lambda_i \mid \sum_{x \in \mathbb{P}} \lambda_x \cdot x = a; \lambda \in \mathbb{Z}_{\geq 0}^{\mathbb{P}} \right\}.$$

*Note:* Even for fixed  $d$ , both  $\mathbb{P}$  and  $\lambda_x$  will be exponentially large.

**T(Main result):** For any BIN PACKING instance  $(s, a)$ , an optimum integral solution can be computed in time  $O(\log \Delta)^{2^{O(\Delta)}}$ , where  $\Delta$  is the largest integer appearing in the denominator  $s_i$  or in a multiplicity  $a_i$ .

## The polyhedral cookbook

**D:** Given a set  $X \subseteq \mathbb{R}^d$ , we define a *convex cone* as  $\text{cone}(X) \equiv \{\sum_{x \in X} \lambda_x x \mid \lambda_x \geq 0 \forall x \in X\}$  and an *integer cone* as  $\text{intcone}(X) \equiv \{\sum_{x \in X} \lambda_x x \mid \lambda_x \in \mathbb{Z}_{\geq 0} \forall x \in X\}$ .

**D:** For a polytope  $P = \{x \in \mathbb{R}^d \mid Ax \leq b\}$ , we define  $\text{enc}(P)$  as the number of bits that it takes to write down the inequalities defining  $P$ .

**D:** For a vector  $\lambda$  we define *support*  $\text{supp}(\lambda)$  as the non-zero indices of  $\lambda$ .

**D:** Define a  $d$ -dimensional *parallelepiped*  $\Pi$  with center  $v_0$  as

$$\Pi = \left\{ v_0 + \sum_{i=1}^k \mu_i v_i \mid |\mu_i| \leq 1 \right\}.$$

Usually we assume that parallelepipeds have linearly independent vectors  $v_i$ .

**T(Finding conic combinations):** Given polytopes  $P, Q \subseteq \mathbb{R}^d$ , one can find a  $y \in \text{intcone}(P \cap \mathbb{Z}^d) \cap Q$  and a vector  $\lambda \in \mathbb{Z}_{\geq 0}^{P \cap \mathbb{Z}^d}$  such that  $y = \sum_{x \in P \cap \mathbb{Z}^d} \lambda_x x$  in time  $\text{enc}(P)^{2^{O(d)}} \cdot \text{enc}(Q)^{O(1)}$ , or decide that no such  $y$  exists. Moreover,  $|\text{supp}(\lambda)|$  is upper bounded by  $2^{2d+1}$ .

The previous theorem can be proven using the Structure Theorem, stated as follows:

**T(Structure Theorem):** Let  $P = \{x \in \mathbb{R}^d \mid Ax \leq b\}$  be a polytope with  $A \in \mathbb{Z}^{m \times d}$ ,  $b \in \mathbb{Z}^m$  such that all coefficients are absolute-bounded by  $\Delta$ . Then there exists a set  $X \subseteq P \cap \mathbb{Z}^d$  of size  $|X| \leq N \equiv m^d d^{O(d)} (\log \Delta)^d$  that can be computed in time  $N^{O(1)}$  with the following property:

For any vector  $a \in \text{intcone}(P \cap \mathbb{Z}^d)$  there exists an integral vector  $\lambda \in \mathbb{Z}_{\geq 0}^{P \cap \mathbb{Z}^d}$  such that  $\sum_{x \in P \cap \mathbb{Z}^d} \lambda_x \cdot x = a$  and

1.  $\lambda_x \in 0, 1$
2.  $|\text{supp}(\lambda)| \leq 2^{2d}$
3.  $|\text{supp}(\lambda) \setminus X| \leq 2^{2d}$ .

## The recipe

The key idea behind the Structure Theorem is as follows:

- Split the polytope into polynomially many full-dimensional cells. The cells are not equicardinal, their sizes are chosen strategically.
- For each cell, we do the following:
  - We fix an arbitrary integral point of the cell.
  - We envelop all integral points of the cell by a blowup convex hull with few vertices.
  - Using the hull, we cover all integral points with polynomially many parallelepipeds.
  - If too many points are selected into  $\lambda_x$ , we redistribute their weight to the vertices of the parallelepiped.

## The pre-baked ingredients

**T(Solving integer programs of fixed dimension):** Given  $A \in \mathbb{Z}^{m \times d}$  and  $b \in \mathbb{Z}^m$  with  $\Delta \equiv \max(\|A\|_{\infty}, \|b\|_{\infty})$ , one can find an  $x \in \mathbb{Z}^d$  with  $Ax \leq b$  (or deciding that none exists) in time  $d^{O(d)} \cdot m^{O(1)}$ .

**T(Few vertices in an int. hull):** Consider any polytope  $P$  with  $m$  constraints and  $\Delta \equiv \max(\|A\|_{\infty}, \|b\|_{\infty}) \geq 2$ . Then  $P_I = \text{conv}(P \cap \mathbb{Z}^d)$  has at most  $(m \cdot O(\log \Delta))^d$  extreme points. In fact a list of the extreme points can be computed in time  $d^{O(d)} (m \cdot O(\log \Delta))^{O(d)}$ .

**T(Encapsulate a polytope by a blowup with few vertices):** For a centrally symmetric polytope  $P \subseteq \mathbb{R}^d$ , there are  $k \leq \frac{d}{2}(d+3)$  many extreme points  $x_1, \dots, x_k \in \text{vert}(P)$  such that  $P \subseteq \text{conv}(\pm \sqrt{d} \cdot x_j \mid j \in [k])$ .

**T(Computing a minimum volume ellipsoid):** Given a set of points  $S$  in  $\mathbb{R}^d$ , we can use SDP to compute a minimum volume ellipsoid  $E$  containing the given points in time polynomial to their encoding. Moreover, using the dual solution of the SDP, we can determine the contact points of  $E \cap \text{conv}(S)$ .

## Cooking

**L(1):** Let  $P = \{x \in \mathbb{R}^d \mid Ax \leq b\}$  be a polytope defined by  $m$  inequalities with integral coefficients of absolute value at most  $\Delta$ . Then there exists a set  $\text{Par}$  of at most  $N \equiv m^d d^{O(d)} (\log \Delta)^d$  integral parallelepipeds such that

$$P \cap \mathbb{Z}^d \subseteq \bigcup_{\Pi \in \text{Par}} \Pi \subseteq P.$$

**L(2):** For any polytope  $P \subseteq \mathbb{R}^d$  and any integral vector  $\lambda \in \mathbb{Z}_{\geq 0}^{P \cap \mathbb{Z}^d}$  there exists a  $\mu \in \mathbb{Z}_{\geq 0}^{P \cap \mathbb{Z}^d}$  such that  $|\text{supp}(\mu)| \leq 2^d$  and  $\sum_x \mu_x x = \sum_x \lambda_x x$ . Furthermore,  $\text{supp}(\mu) \subseteq \text{conv}(\text{supp}(\lambda))$ .

**L(3):** Given an integral parallelepiped  $\Pi$  with vertices  $X$ . Then for any  $x^* \in \Pi \cap \mathbb{Z}^d$  and  $\lambda^* \in \mathbb{Z}_{\geq 0}^d$  there is an integral vector  $\mu \in \mathbb{Z}_{\geq 0}^{\Pi \cap \mathbb{Z}^d}$  such that the following holds:

1.  $\lambda^* x^* = \sum_x \mu_x x$ ,
2.  $|\text{supp}(\mu \setminus X)| \leq 2^d$ ,
3.  $\mu_x \in \{0, 1\} \forall x \in X$ .

**P(Finding conic combinations):** Let  $P = \{x \mid Ax \leq b\}, Q = \{x \mid \bar{A}x \leq \bar{b}\}$ .

Compute the set  $X$  of size at most  $N = m^d d^{O(d)} (\log \Delta)^d$  from the Structure Theorem in time  $N^{O(1)}$ . Let  $y^*$  be the (unknown) target vector. Using the Structure Theorem, we get  $\lambda^*$ .

At the expense of a factor  $N^{2^{2d}}$  guess  $X' = X \cap \text{supp}(\lambda^*)$ . At the expense of factor  $2^{2d} + 1$  guess the number  $k = \sum_{x \in X'} \lambda_x^* \in [2^{2d}]$  of extra points.

Create the following ILP:

$$\begin{aligned} \forall i \in [k] : Ax_i &\leq b \\ \sum_{x \in X'} \lambda_x x + \sum_{i=1}^k x_i &= y \\ \bar{A}y &\leq \bar{b} \\ \forall x \in X' : \lambda_x &\in \mathbb{Z}_{\geq 0} \\ \forall i \in [k] : x_i &\in \mathbb{Z}^d \end{aligned}$$

The number of variables is  $X' + (k+1)d \leq 2^{O(d)}$ , the number of constraints is  $km + d + \bar{m} + |X'|d = 2^{O(d)}m + \bar{m}$ . Maximal coefficient is  $\max(d! \Delta^d, \bar{\Delta})$ .

*Bon appetit!*