Graph polynomials and matroid invariants by counting graph homomorphisms

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Graph polynomials Graph homomorphisms

# Chromatic polynomial

### Definition by evaluations at positive integers

 $k \in \mathbb{N}, \quad P(G; k) = #\{ \text{proper vertex } k \text{-colourings of } G \}.$ 

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$$P(G;k) = \sum_{1 \le j \le |V(G)|} (-1)^j b_j(G) k^{|V(G)|-j}$$

 $b_j(G) = #\{j \text{-subsets of } E(G) \text{ containing no broken cycle}\}.$ 

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 $(-1)^{|V(G)|} P(G; -1) = \# \{ \text{acyclic orientations of } G \}$  $uv \in E(G), \quad P(G; k) = P(G \setminus uv; k) - P(G/uv; k)$ 

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# Independence polynomial

#### Definition by coefficients

$$I(G;x) = \sum_{1 \le j \le |V(G)|} b_j(G) x^j,$$

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$$v \in V(G), \quad I(G;x) = I(G-v;x) + xI(G-N[v];x)$$

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(Chudnovsky & Seymour, 2006)  $K_{1,3} \not\subseteq_i G \Rightarrow I(G; x)$  real roots  $b_j(G)^2 \ge b_{j-1}(G)b_{j+1}(G)$ , (implies  $b_1, \ldots, b_{|V(G)|}$  unimodal)

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# Flow polynomial

### Definition (Evaluation at positive integers)

 $k \in \mathbb{N}$ ,  $F(G; k) = #\{$ nowhere-zero  $\mathbb{Z}_k$ -flows of  $G \}$ .

$$F(G;k) = \begin{cases} F(G/e) - F(G \setminus e) & e \text{ ordinary} \\ 0 & e \text{ a bridge} \\ (k-1)F(G \setminus e) & e \text{ a loop} \end{cases}$$

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# Tutte polynomial

#### Definition

For graph G = (V, E),

$$T(G; x, y) = \sum_{A \subseteq E} (x - 1)^{r(E) - r(A)} (y - 1)^{|A| - r(A)}$$

where r(A) is the rank of the spanning subgraph (V, A) of G.

Graph polynomials Graph homomorphisms

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$$T(G; x, y) = \begin{cases} T(G/e; x, y) + T(G \setminus e; x, y) & e \text{ ordinary} \\ xT(G/e; x, y) & e \text{ a bridge} \\ yT(G \setminus e; x, y) & e \text{ a loop,} \end{cases}$$

and T(G; x, y) = 1 if G has no edges.

Graph polynomials Graph homomorphisms



Graph polynomials Graph homomorphisms

### Definition

Graphs G, H.  $f: V(G) \rightarrow V(H)$  is a homomorphism from G to H if  $uv \in E(G) \Rightarrow f(u)f(v) \in E(H)$ .

Graph polynomials Graph homomorphisms

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#### Definition

H with adjacency matrix  $(a_{s,t})$ , weight  $a_{s,t}$  on  $st \in E(H)$ ,

$$\hom(G,H) = \sum_{f:V(G)\to V(H)} \prod_{uv\in E(G)} a_{f(u),f(v)}$$

Graph polynomials Graph homomorphisms

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 $hom(G, H) = \#\{homomorphisms \text{ from } G \text{ to } H\}$  $= \#\{H\text{-colourings of } G\}$ 

when H simple  $(a_{s,t} \in \{0,1\})$  or multigraph  $(a_{s,t} \in \mathbb{N})$ 

Examples Strongly polynomial sequences of graphs



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# Example 1



 $(K_k)$ 

## $\hom(G, K_k) = P(G; k)$

chromatic polynomial

Examples Strongly polynomial sequences of graphs

#### Problem 1

Which sequences  $(H_k)$  of graphs are such that, for all graphs G, for each  $k \in \mathbb{N}$  we have

$$\hom(G,H_k)=p(G;k)$$

for polynomial p(G)?

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#### Example

For all graphs G,  $hom(G, K_k) = P(G; k)$  is the evaluation of the chromatic polynomial of G at k.

Examples Strongly polynomial sequences of graphs

# Example 2: add loops





Examples Strongly polynomial sequences of graphs

# Example 3: add $\ell$ loops



Examples Strongly polynomial sequences of graph

# Example 3: add $\ell$ loops



Examples Strongly polynomial sequences of gra

Example 4: add loops weight 1 - k



 $(K_k^{1-k})$ 

$$\hom(G, \mathcal{K}_{k}^{1-k}) = \sum_{f: V(G) \to [k]} (1-k)^{\#\{uv \in E(G) \mid f(u) = f(v)\}}$$

Examples Strongly polynomial sequences of grap

# Example 4: add loops weight 1 - k



Examples Strongly polynomial sequences of graphs

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Examples Strongly polynomial sequences of graphs



Examples Strongly polynomial sequences of graphs

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# Example 6



 $(K_2^{\Box k}) = (Q_k)$  (hypercubes)

Examples Strongly polynomial sequences of graphs

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Proposition (Garijo, G., Nešetřil, 2015)

 $hom(G, Q_k) = p(G; k, 2^k)$  for bivariate polynomial p(G)

Examples Strongly polynomial sequences of graphs

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# Example 7



 $hom(C_3, C_3) = 6$ ,  $hom(C_3, C_k) = 0$  when k = 2 or  $k \ge 4$ 

Examples Strongly polynomial sequences of graphs

### Definition

 $(H_k)$  is strongly polynomial (in k) if  $\forall G \exists$  polynomial p(G) such that  $\hom(G, H_k) = p(G; k)$  for all  $k \in \mathbb{N}$ .

Examples Strongly polynomial sequences of graphs

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- $(K_k^\ell)$  is strongly polynomial (in  $k, \ell$ )

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De la Harpe & Jaeger (1995) construct families of strongly polynomial sequences, extended by Garijo, G. & Nešetřil (2015), and further by G., Nešetřil & Ossona de Mendez (2016) using quantifier-free interpretation schemes for finite relational structures (digraphs with added unary relations).

Examples Strongly polynomial sequences of graphs



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# Gluing 1-labelled graphs


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## Gluing 2-labelled graphs



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# Whitney flip



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# Whitney 2-isomorphism theorem

### Theorem (Whitney, 1933)

Two graphs G and G' have the same cycle matroid if and only if G' can be obtained from G by a sequence of operations of the following three types:

(cut)  $G_1 \sqcup_1 G_2 \longmapsto G_1 \sqcup G_2$ 

$$(\mathsf{glue}) \ G_1 \sqcup G_2 \longmapsto G_1 \sqcup_1 G_2$$

(flip)  $G_1 \sqcup_2 G_2 \longmapsto G_1 \sqcup_2 G_2^T$ 

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(flip) 
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#### Example

Any two forests with the same number of edges have the same cycle matroid.

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## Proper colourings and 1-gluing



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# Proper colourings and 1-gluing



 $P(G_1 \sqcup_1 G_2; k) = P(G_1 \sqcup G_2; k)/k = \frac{P(G_1; k)P(G_2; k)}{P(K_1; k)}$ 

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# Proper colourings and 2-gluing



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# Proper colourings and 2-gluing



 $P(G_1 \sqcup_2 G_2) = P(G_1 \sqcup_2 G_2^T)$ 

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## Chromatic polynomial as a cycle matroid invariant

#### Proposition

The graph invariant

$$\frac{P(G;k)}{k^{c(G)}} = \frac{\hom(G,K_k)}{k^{c(G)}}$$

depends just on the cycle matroid of G.

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## Chromatic polynomial as a cycle matroid invariant

### Proposition

The graph invariant

$$\frac{P(G;k)}{k^{c(G)}} = \frac{\hom(G,K_k)}{k^{c(G)}}$$

depends just on the cycle matroid of G.

#### Problem 2

Which graphs H are such that, for a graph G, we have  $\frac{\hom(G,H)}{|V(H)|^{c(G)}} = p(G)$ 

where p(G) depends only on the cycle matroid of G?

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### Definition

The action of a group  $\Gamma$  on a set S is transitive if for each  $s, t \in S$ there is  $\gamma \in \Gamma$  such that  $s\gamma = t$ . The action of a group  $\Gamma$  on a set S is generously transitive if for each  $s, t \in S$  there is  $\gamma \in \Gamma$  such that  $s\gamma = t$  and  $s = t\gamma$ .

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Theorem (de la Harpe & Jaeger, 1995)

The graph invariant

$$G\mapsto rac{\hom(G,H)}{|V(H)|^{c(G)}}$$

depends just on the cycle matroid of G if H has generously transitive automorphism group.

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The action of a group  $\Gamma$  on a set S is *transitive* if for each  $s, t \in S$ there is  $\gamma \in \Gamma$  such that  $s\gamma = t$ . The action of a group  $\Gamma$  on a set S is *generously transitive* if for each  $s, t \in S$  there is  $\gamma \in \Gamma$  such that  $s\gamma = t$  and  $s = t\gamma$ .

### Theorem (G., Regts & Vena, 2016)

The graph invariant

$$G\mapsto rac{\hom(G,H)}{|V(H)|^{c(G)}}$$

depends just on the cycle matroid of G only if H has generously transitive automorphism group.

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## Connection matrices

### Definition

Graph invariant  $G \mapsto f(G)$  has  $\ell$ th connection matrix

 $(f(G_1 \sqcup_{\ell} G_2))_{G_1,G_2}$ 

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For a graph H,  $\operatorname{orb}_{\ell}(H)$  equals number of orbits on  $\ell$ -tuples of vertices of H under the action of  $\operatorname{Aut}(H)$ . H is twin-free if its adjacency matrix has no two rows equal.

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#### Theorem (Lovász, 2005)

Let *H* be a twin-free graph. Then the  $\ell$ th connection matrix of  $G \mapsto \hom(G, H)$  has rank  $\operatorname{orb}_{\ell}(H)$ .

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### Definition

For labelling 
$$\phi : [\ell] \to V(H)$$
 and  $\ell$ -labelled  $G$ ,  

$$\hom_{\phi}(G, H) = \sum_{\substack{f: V(G) \to V(H) \\ f \text{ extends } \phi}} \prod_{uv \in E(G)} a_{f(u), f(v)}.$$

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• For  $\ell$ -labelled G,  $\hom(G, H) = \sum_{\phi: [\ell] \to V(H)} \hom_{\phi}(G, H).$ 

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- the  $\ell$ th connection matrix of  $G \mapsto \hom(G, H)$  is ( $\hom_{\phi}(G, H)$ ) $_{\phi, G}^{T}$ ( $\hom_{\phi}(G, H)$ ) $_{\phi, G}$ .

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### Theorem (Lovász, 2005)

Let H be a twin-free graph. Then the column space of  $(\hom_{\phi}(G, H))_{\phi,G}$  is precisely the set of vectors invariant under automorphisms of H.

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### Theorem (Lovász, 2005)

Let H be a twin-free graph. Then the column space of  $(\hom_{\phi}(G, H))_{\phi,G}$  is precisely the set of vectors invariant under automorphisms of H.

### Proof sketch of our result

Use Lovász' theorem<sup>a</sup> and the fact that when  $\frac{\hom(G,H)}{|V(H)|^{\epsilon(G)}}$  depends just on the cycle matroid of G the column space of  $(\hom_{\phi}(G,H))_{\phi,G}$  is invariant under (generously) transitive action of a subgroup of  $\operatorname{Aut}(H)$ . (Taking connection matrices with  $\ell = 1$ and  $\ell = 2$ .)

<sup>a</sup>Actually, an extension of it by Guus Regts

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## Proper vertex 3-colouring



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## Nowhere-zero $\mathbb{Z}_3$ -tension



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## Nowhere-zero $\mathbb{Z}_3$ -tension



 $1+1+2-1=0 \text{ in } \mathbb{Z}_3$ 

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## Tensions

Graph G with arbitrary orientation of its edges.

Traverse edges around a circuit C and let  $C^+$  be its forward edges and  $C^-$  its backward edges.

### Definition

 $f: E \to \mathbb{Z}_k$  is a  $\mathbb{Z}_k$ -tension of G if, for each signed circuit  $C = C^+ \sqcup C^-$ ,  $\sum_{e \in C^+} f(e) - \sum_{e \in C^-} f(e) = 0.$ 

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 $\frac{P(G;k)}{k^{c(G)}} = \#\{\text{nowhere-zero } \mathbb{Z}_k \text{-tensions of } G\}$ 

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## Nowhere-zero $\mathbb{Z}_3$ -flow



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## Nowhere-zero $\mathbb{Z}_3$ -flow



1 + 2 - 1 - 2 = 0 in  $\mathbb{Z}_3$ 

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## Nowhere-zero $\mathbb{Z}_3$ -flow



1 + 2 + 1 - 2 - 2 = 0 in  $\mathbb{Z}_3$ 

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## Flows

For a cutset  $B = E(U, V \setminus U)$ , let  $B^+$  be edges of B directed out from U to  $V \setminus U$  and  $B^-$  edges of B directed in to U from  $V \setminus U$ .

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When G planar, circuits in  $G^*$  are bonds (minimal cutsets) in G.

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When G planar, circuits in  $G^*$  are bonds (minimal cutsets) in G.

 $F(G; k) = #\{$ nowhere-zero  $\mathbb{Z}_k$ -flows of  $G\}$ 

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## Whitney flip preserves cycle matroid


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# Whitney flip preserves cycle matroid



edges in flipped half are traversed in reverse order and opposite sign

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# Tensions



Gluing product of graphs Main result **Tensions and flows** From proper to fractional colourings and beyond

## Flows



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# Duality

For a planar graph G,

$$T(G^*; x, y) = T(G; y, x).$$

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# Duality

## For a planar graph G,

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## For a graph G,

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• Tutte polynomial extends to any matroid M = (E, r) defined on  $2^E$  by size || and rank function r (or rank/nullity).

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- Tutte polynomial extends to any matroid M = (E, r) defined on 2<sup>E</sup> by size || and rank function r (or rank/nullity).
- Tensions/flows defined for orientable matroids.

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Tutte polynomial matroid rank/nullity nowhere-zero tensions/flows orientable matroid signed circuits/ cocircuits

nowhere-zero tensions cycle matroid of a graph signed circuits (from edge orientations) nowhere-zero flows cycle matroid of a graph signed cutsets (from edge orientation)

chromatic polynomial

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## Definition

Generalized Johnson graph  $J_{k,r,D}$ ,  $D \subseteq \{0, 1, ..., r\}$ vertices  $\binom{[k]}{r}$ , edge uv when  $|u \cap v| \in D$ 

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- Johnson graphs  $D = \{k 1\}$  J(k, r)
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## Petersen graph = $K_{5:2}$

Figure by Watchduck (a.k.a. Tilman Piesk). Wikimedia Commons

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## Johnson graph J(5,2)

Figure by Watchduck (a.k.a. Tilman Piesk). Wikimedia Commons

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## Fractional chromatic number of graph G:

$$\chi_f(G) = \inf\{\frac{k}{r}: k, r \in \mathbb{N}, \ \hom(G, \mathcal{K}_{k:r}) > 0\},\$$

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#### Fractional chromatic number of graph G:

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For  $k \geq 2r$ ,  $\chi(K_{k:r}) = k - 2r + 2$ , while  $\chi_f(K_{k:r}) = \frac{k}{r}$ 

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# Fractional colouring example: $C_5$ to $K_{k:r}$



 $\chi(C_5) = 3$  but by the homomorphism from  $C_5$  to Kneser graph  $K_{5:2}$  (Petersen graph)  $\chi_f(C_5) \leq \frac{5}{2}$  (in fact with equality)

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## Proposition

For a graph G and  $k, r \ge 1$ , hom $(G, K_{k:r}) = (r!)^{-|V(G)|} P(G[K_r]; k).$ 

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Proposition (de la Harpe & Jaeger, 1995; Garijo, G., Nešetřil, 2015)

For every r, D, sequence  $(J_{k,r,D})$  is strongly polynomial (in k).

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#### Proposition (de la Harpe & Jaeger, 1995)

The graph parameter  $\binom{k}{r}^{-c(G)}$ hom $(G, J_{k,r,D})$  depends only on the cycle matroid of G.

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#### Problem

Interpret  $\binom{k}{r}^{-c(G)} \hom(G, J_{k,r,D})$  in terms of the cycle matroid of *G* alone.

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#### Problem

Interpret  $\binom{k}{r}^{-c(G)}$ hom $(G, J_{k,r,D})$  in terms of the cycle matroid of G alone. E.g what is its evaluation at k = -1 (acyclic orientations for the chromatic polynomial  $= 1, D = \{0\}$ ).

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nowhere-zero tensions cycle matroid of a graph signed circuits (from edge orientations)  $k^{-c(G)}P(G;k)$ 

> proper vertex colourings chromatic polynomial P(G;k)

nowhere-zero flows cycle matroid of a graph signed cutsets (from edge orientation) F(G;k)





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# Current thoughts...

? delta matroid? ? nowhere-zero tensions/flows "rotatable" orientable matroid? signed/rotated circuits/ cocircuits? ?

non-Abelian tensions? cycle matroid of a graph signed circuits (from edge orientations) circuit rotations (graph traversal)  $\binom{k}{r}^{-c(G)}$ hom $(G, J_{k,r,D})$  non-Abelian flows? cycle matroid of a graph signed cutsets (from edge orientation) vertex rotations (orientable embedding)

Kneser/Johnson colourings

 $\hom(G, J_{k,r,D})$ 

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When is hom(G, Cayley(A<sub>k</sub>, B<sub>k</sub>)) a fixed polynomial (dependent on G) in |A<sub>k</sub>|, |B<sub>k</sub>|, where B<sub>k</sub> = −B<sub>k</sub> ⊆ A<sub>k</sub>?

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  - (hypercubes) hom(G, Cayley(Z<sup>k</sup><sub>2</sub>, S<sub>1</sub>)) polynomial in 2<sup>k</sup> and k (S<sub>1</sub> = {weight 1 vectors}). [Garijo, G., Nešetřil 2015]

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- Which graph polynomials defined by strongly polynomial sequences of graphs satisfy a reduction formula (size-decreasing recurrence) like the chromatic polynomial and independence polynomial?

# VVV

# Beyond polynomials? Rational generating functions

• For strongly polynomial sequence  $(H_k)$ ,

$$\sum_{k} \hom(G, H_k) t^k = \frac{P_G(t)}{(1-t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$  of degree at most |V(G)|.
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▶ For eventually polynomial sequence  $(H_k)$  such as  $(C_k)$ ,

$$\sum_{k} \hom(G, H_k) t^k = \frac{P_G(t)}{(1-t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$ .

### Beyond polynomials? Rational generating functions

For quasipolynomial sequence of Turán graphs  $(T_{k,r})$ 

$$\sum_{k} \hom(G, T_{k,r})t^k = \frac{P_G(t)}{Q(t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$  of degree at most |V(G)| and polynomial Q(t) with zeros *r*th roots of unity.

# Beyond polynomials? Rational generating functions

► For quasipolynomial sequence of Turán graphs (T<sub>k,r</sub>)

$$\sum_{k} \hom(G, T_{k,r})t^{k} = \frac{P_{G}(t)}{Q(t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$  of degree at most |V(G)| and polynomial Q(t) with zeros *r*th roots of unity.

• For sequence of hypercubes  $(Q_k)$ ,

$$\sum_k \hom(G, Q_k) t^k = \frac{P_G(t)}{Q(t)^{|V(G)|+1}}$$

with polynomial  $P_G(t)$  of degree at most |V(G)| and polynomial Q(t) with zeros powers of 2.

# Beyond polynomials? Algebraic generating functions

• For sequence of odd graphs  $O_k = J_{2k-1,k-1,\{0\}}$ 

$$\sum_k \hom(G, O_k) t^k$$

is algebraic (e.g. 
$$\frac{1}{2}(1-4t)^{-\frac{1}{2}}$$
 when  $G = K_1$ ).

# VVV



 P. de la Harpe and F. Jaeger, Chromatic invariants for finite graphs: theme and polynomial variations, *Lin. Algebra Appl.* 226–228 (1995), 687–722

Defining graphs invariants from counting graph homomorphisms. Examples. Basic constructions.

 D. Garijo, A. Goodall, J. Nešetřil, Polynomial graph invariants from homomorphism numbers. Discrete Math., 339 (2016), no. 4, 1315–1328. Early version at arXiv: 1308.3999 [math.CO]

Further examples. New construction using rooted tree representations of graphs (e.g. cotrees).

#### Four papers

 A. Goodall, J. Nešetřil, P. Ossona de Mendez, Strongly polynomial sequences as interpretation of trivial structures. J. Appl. Logic, to appear. Also at arXiv:1405.2449 [math.CO].

General relational structures: counting satisfying assignments for quantifier-free formulas. Building new polynomial invariants by interpretation of "trivial" sequences of marked tournaments.

 A.J. Goodall, G. Regts and L. Vena Cros, Matroid invariants and counting graph homomorphisms. Linear Algebra Appl. 494 (2016), 263–273. Preprint: arXiv:1512.01507 [math.CO]

Cycle matroid invariants from counitng graph homomorphisms.